BrokerCheck Report

LeeAnna Grace Kraus Glessing

CRD# 2381458

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
    - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
    - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

LeeAnna G. Glessing

CRD# 2381458

Currently employed by and registered with the following Firm(s):

B **PETRIE PARTNERS SECURITIES, LLC**
600 TRAVIS STREET, SUITE 7400
HOUSTON, TX 77002
CRD# 131783
Registered with this firm since: 11/29/2025

B **BOSONIC SECURITIES**
3761 W BEARTOOTH LOOP
SPEARFISH, SD 57783
CRD# 317012
Registered with this firm since: 09/17/2025

B **CHA FINANCIAL ADVISORS**
1700 LINCOLN STREET, SUITE 3030
DENVER, CO 80203
CRD# 46726
Registered with this firm since: 07/22/2024

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 4 U.S. states and territories

This broker has passed:

- 4 Principal/Supervisory Exams
- 5 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

B **J.P. MORGAN SECURITIES LLC**
CRD# 79
SEATTLE, WA
06/2018 - 07/2022

IA **J.P. MORGAN SECURITIES LLC**
CRD# 79
NEW YORK, NY
06/2018 - 12/2021

IA **CAPITAL ONE ADVISORS, LLC**
CRD# 136865
MCLEAN, VA
09/2012 - 02/2017

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 4 U.S. states and territories through his or her employer.

Employment 1 of 3

Firm Name: **BOSONIC SECURITIES**

Main Office Address: **3761 W BEARTOOTH LOOP**
SPEARFISH, SD 57783

Firm CRD#: **317012**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	09/17/2025
B	FINRA	General Securities Representative	Approved	09/17/2025
B	FINRA	Municipal Securities Principal	Approved	09/17/2025
B	FINRA	Municipal Securities Representative	Approved	09/17/2025

	U.S. State/ Territory	Category	Status	Date
B	South Dakota	Agent	Approved	09/17/2025

Branch Office Locations

BOSONIC SECURITIES
3761 W BEARTOOTH LOOP
SPEARFISH, SD 57783

Employment 2 of 3

Firm Name: **CHA FINANCIAL ADVISORS**

Main Office Address: **1700 LINCOLN STREET, SUITE 3030**
DENVER, CO 80203

Firm CRD#: **46726**

Broker Qualifications

Employment 2 of 3, continued

	SRO	Category	Status	Date
B	FINRA	Financial and Operations Principal	Approved	07/22/2024
B	FINRA	Operations Professional	Approved	07/22/2024

Branch Office Locations

CHA FINANCIAL ADVISORS
1700 LINCOLN STREET, SUITE 3030
DENVER, CO 80203

Employment 3 of 3

Firm Name: **PETRIE PARTNERS SECURITIES, LLC**

Main Office Address: **600 TRAVIS STREET, SUITE 7400**
HOUSTON, TX 77002

Firm CRD#: **131783**

	SRO	Category	Status	Date
B	FINRA	Financial and Operations Principal	Approved	11/29/2025
B	FINRA	General Securities Principal	Approved	11/29/2025
B	FINRA	General Securities Representative	Approved	11/29/2025
B	FINRA	Operations Professional	Approved	11/29/2025

	U.S. State/ Territory	Category	Status	Date
B	California	Agent	Approved	02/23/2026
B	New Jersey	Agent	Approved	11/29/2025
B	Texas	Agent	Approved	11/29/2025

Branch Office Locations

Broker Qualifications

Employment 3 of 3, continued

PETRIE PARTNERS SECURITIES, LLC
600 TRAVIS STREET, SUITE 7400
HOUSTON, TX 77002

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 4 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Financial and Operations Principal Examination	Series 27	08/01/2003
B	Municipal Securities Principal Examination	Series 53	05/16/2001
B	General Securities Principal Examination	Series 24	05/01/2001
B	Investment Company Products/Variable Contracts Principal Examination	Series 26	04/27/1994

General Industry/Product Exams

	Exam	Category	Date
B	Municipal Securities Representative Examination	Series 52TO	01/02/2023
B	Operations Professional Examination	Series 99TO	01/02/2023
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	General Securities Representative Examination	Series 7	04/13/2001
B	Investment Company Products/Variable Contracts Representative Examination	Series 6	10/11/1993

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination	Series 63	02/20/2026
IA	Uniform Investment Adviser Law Examination	Series 65	12/14/1999

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Industry Exams this Broker has Passed, continued

Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
B	06/2018 - 07/2022	J.P. MORGAN SECURITIES LLC	79	SEATTLE, WA
IA	06/2018 - 12/2021	J.P. MORGAN SECURITIES LLC	79	SEATTLE, WA
IA	09/2012 - 02/2017	CAPITAL ONE ADVISORS, LLC	136865	SEATTLE, WA
B	09/2012 - 02/2017	CAPITAL ONE INVESTING, LLC	45744	SEATTLE, WA
B	08/2006 - 12/2011	SYMETRA SECURITIES, INC.	739	BELLEVUE, WA
IA	05/2001 - 12/2011	SYMETRA INVESTMENT SERVICES, INC.	19061	BELLEVUE, WA
B	10/1993 - 12/2011	SYMETRA INVESTMENT SERVICES, INC.	19061	BELLEVUE, WA
B	04/1999 - 12/2001	TALBOT FINANCIAL SERVICES, INC.	46345	ALBUQUERQUE, NM

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	Petrie Partners Securities LLC	CCO, FinOp and Operations Professional	Y	Denver, CO, United States
09/2025 - Present	Bosonic Securities, LLC	Regisitered Principal	Y	Spearfish, SD, United States
11/2024 - Present	Glessing Consulting Services LLC (dba Glessing Consulting)	President, Member	Y	Sammamish, WA, United States
06/2024 - Present	Support Services Financial Advisors, Inc.	FinOp, Principal Financial Officer, Principal Operations Officer	Y	Denver, CO, United States
03/2024 - 11/2024	Bates Group LLC	Independent Consultant	Y	Portland, OR, United States

Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
11/2023 - 11/2024	Arootah, LLC	Independent Consultant	Y	New York, NY, United States
11/2023 - 11/2024	Self Employed	Independent Contractor	Y	Sammamish, WA, United States
07/2023 - 11/2023	Unemployed	Unemployed	N	Sammamish, WA, United States
03/2023 - 07/2023	Silicon Valley Bank, a division of First Citizen's Bank	Investment and Securities Compliance Director - FLOD	Y	Raleigh, NC, United States
07/2022 - 03/2023	Silicon Valley Bank	Investment and Securities Compliance Director - FLOD	Y	Santa Clara, CA, United States
06/2018 - 07/2022	JP MORGAN CHASE BANK, N.A.	Compliance Manager	Y	Irvine, CA, United States
06/2018 - 07/2022	JP MORGAN SEUCURITIES, LLC	Compliance Manager	Y	Irvine, CA, United States
08/2017 - 01/2021	GLESSING CONSULTING, LLC	PARTIAL OWNER	N	SAMMAMISH, WA, United States
02/2017 - 06/2018	City University	Student	N	SEATTLE, WA, United States
02/2017 - 06/2018	Phoenix University	Student	N	SEATTLE, WA, United States
05/2013 - 02/2017	CAPITAL ONE Financial Corp	DIRECTOR OF COMPLIANCE	Y	SEATTLE, WA, United States
09/2012 - 02/2017	Capital One Advisors, LLC (f/k/a Sharebuilder 401k)	Director of Compliance	Y	Seattle, WA, United States
09/2012 - 02/2017	Capital One Investing, LLC (f/k/a Capital One Sharebuilder)	Director of Compliance	Y	Seattle, WA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Glessing Consulting Services, LLC dba Glessing Consulting, 21909 NE 24th Ct, Sammamish, WA 98074. Launched in 2024, the business provides compliance, financial operations, and project management services for primarily investment-related businesses. As President of the firm, I perform business operations, marketing, and accounting functions, in addition to providing client services, for ~160 hours/mo, with up to 100 hours/mo during securities trading hours.

Registration and Employment History

Other Business Activities, continued

Hadrius, 30 Wall St. Ste 812, New York, NY 10005. On April 16, 2024, I entered into a mutual referral arrangement with Hadrius. Hadrius is a software development firm creating automated compliance solutions for investment-related firms (e.g. robo-advisors, funding portals, broker-dealers, RIAs, etc.). I currently spend less than 5 hours per month receiving updates on product developments and speaking to prospective Hadrius clients, which may occur during trading hours.

Arbolus Network (the trading name of Collective iQ Group Ltd.), 27 Alwyne Road, London, N1 2HN. Periodically, I'm invited to consult on financial operation projects that are not investment-related. I may perform research and consult with project teams during trading hours, for less than 5 hours per month.

End of Report

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