

## **BrokerCheck Report**

# **JOHNSON CURTIS JIMISON**

CRD# 2430385

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When communicating online or investing with any professional, make sure you know who you're dealing with. <u>Imposters</u> might link to sites like BrokerCheck from <u>phishing</u> or similar scam websites, or through <u>social media</u>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

#### About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

#### What is included in a BrokerCheck report?

- BrokerCheck reports for individual brokers include information such as employment history, professional
  qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck
  reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the
  same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- Where did this information come from?
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- How current is this information?
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- What if I want to check the background of an investment adviser firm or investment adviser representative?
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- Are there other resources I can use to check the background of investment professionals?
- FINRA recommends that you learn as much as possible about an investment professional before deciding
  to work with them. Your state securities regulator can help you research brokers and investment adviser
  representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

www.finra.org/brokercheck User Guidance

#### **JOHNSON C. JIMISON**

CRD# 2430385

# Currently employed by and registered with the following Firm(s):

PRIMERICA ADVISORS
1 PRIMERICA PARKWAY
DULUTH, GA 30099
CRD# 10111
Registered with this firm since: 07/31/2019

B PFS INVESTMENTS INC.
1 PRIMERICA PARKWAY
DULUTH, GA 30099
CRD# 10111
Registered with this firm since: 07/30/2019

## **Report Summary for this Broker**



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

#### **Broker Qualifications**

#### This broker is registered with:

- 1 Self-Regulatory Organization
- 11 U.S. states and territories

#### This broker has passed:

- 1 Principal/Supervisory Exam
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

#### **Registration History**

This broker was previously registered with the following securities firm(s):

B MSC - BD, LLC CRD# 142927 LAKE OSWEGO, OR 01/2019 - 07/2019

NAPLES ASSET MANAGEMENT CO., LLC CRD# 133978 MOORESVILLE, NC 10/2018 - 04/2019

CRD# 141195 TUCSON, AZ 06/2017 - 05/2018

#### **Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? No

#### **Broker Qualifications**



## Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 11 U.S. states and territories through his or her employer.

#### **Employment 1 of 1**

Firm Name: PFS INVESTMENTS INC. Main Office Address: 1 PRIMERICA PARKWAY

DULUTH, GA 30099-0001

Firm CRD#: 10111

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	07/30/2019
B	FINRA	Invest. Co and Variable Contracts	Approved	07/30/2019
B	FINRA	Investment Co./Variable Contracts Prin	Approved	12/02/2019
	U.S. State/ Territory	Category	Status	Date
B	Arkansas	Agent	Approved	12/22/2020
B	Florida	Agent	Approved	02/10/2021
IA	Georgia	Investment Adviser Representative	Approved	07/31/2019
B	Georgia	Agent	Approved	02/16/2021
B	Maine	Agent	Approved	02/06/2020
B	Massachusetts	Agent	Approved	12/22/2020
B	Michigan	Agent	Approved	02/24/2022
B	Montana	Agent	Approved	06/24/2021
B	New Jersey	Agent	Approved	02/10/2021
B	New York	Agent	Approved	07/22/2020

## **Broker Qualifications**



## **Employment 1 of 1, continued**

	U.S. State/ Territory	Category	Status	Date
B	Tennessee	Agent	Approved	02/10/2021
B	Utah	Agent	Approved	12/22/2020

#### **Branch Office Locations**

PFS INVESTMENTS INC. 1 PRIMERICA PARKWAY DULUTH, GA 30099

PFS INVESTMENTS INC.

Loganville, GA

#### **Broker Qualifications**



#### **Industry Exams this Broker has Passed**

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

#### **Principal/Supervisory Exams**

Exam		Category	Date
В	Investment Company Products/Variable Contracts Principal Examination	Series 26	12/02/2019

### **General Industry/Product Exams**

Exam		Category	Date
В	Securities Industry Essentials Examination	SIE	05/01/2017
B	General Securities Representative Examination	Series 7	12/27/1996
В	Investment Company Products/Variable Contracts Representative Examination	Series 6	01/21/1994

#### **State Securities Law Exams**

Exam		Category	Date
B	Uniform Securities Agent State Law Examination	Series 63	07/22/2020
IA	Uniform Investment Adviser Law Examination	Series 65	08/30/1999

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

www.finra.org/brokercheck
User Guidance

## **Broker Qualifications**



## **Professional Designations**

This section details that the representative has reported **0** professional designation(s).

No information reported.

www.finra.org/brokercheck

# **Registration and Employment History**



## **Registration History**

The broker previously was registered with the following firms:

Reg	istration Dates	Firm Name	CRD#	Branch Location
B	01/2019 - 07/2019	MSC - BD, LLC	142927	LAKE OSWEGO, OR
IA	10/2018 - 04/2019	NAPLES ASSET MANAGEMENT CO., LLC	133978	LOGANVILLE, GA
IA	06/2017 - 05/2018	SECURE INVESTMENT MANAGEMENT, LLC	141195	Loganville, GA
IA	03/2014 - 05/2017	CETERA INVESTMENT ADVISERS LLC	105644	DULUTH, GA
B	03/2014 - 05/2017	CETERA INVESTMENT SERVICES LLC	15340	SNELLVILLE, GA
B	10/2012 - 03/2014	J.P. MORGAN SECURITIES LLC	79	STONE MOUNTAIN, GA
IA	10/2012 - 03/2014	J.P. MORGAN SECURITIES LLC	79	STONE MOUNTAIN, GA
IA	01/2012 - 10/2012	CHASE INVESTMENT SERVICES CORP.	25574	DECATUR, GA
B	01/2012 - 10/2012	CHASE INVESTMENT SERVICES CORP.	25574	DECATUR, GA
B	10/2009 - 12/2011	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	ATLANTA, GA
IA	10/2009 - 12/2011	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	ATLANTA, GA
IA	02/2001 - 10/2009	BANC OF AMERICA INVESTMENT SERVICES, INC.	16361	ATLANTA, GA
B	01/2001 - 10/2009	BANC OF AMERICA INVESTMENT SERVICES, INC.	16361	ATLANTA, GA
B	02/1997 - 02/2000	WACHOVIA BROKERAGE SERVICE	17503	WINSTON SALEM, NC
B	10/1996 - 01/1997	BANC ONE SECURITIES CORPORATION	16999	CHICAGO, IL
B	09/1994 - 10/1996	GNA SECURITIES, INC.	10465	GLEN ALLEN, VA
B	01/1994 - 10/1994	PRUCO SECURITIES CORPORATION	5685	NEWARK, NJ

## **Employment History**

#### **Registration and Employment History**



#### **Employment History, continued**

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

<b>Employment</b>	Employer Name	Position	Investment Related	<b>Employer Location</b>
07/2019 - Present	PRIMERICA FINANCIAL SERVICES	FIELD SUPERVISOR 1	Υ	DULUTH, GA, United States
04/2017 - Present	The Jimison Group	Realtor	Υ	Grayson, GA, United States
05/2017 - 07/2019	J.D. Mellberg Financial, LLC	Licensed Insurance Agent	N	Tucson, AZ, United States
05/2017 - 05/2018	Secure Investment Managment, LLC	Investment Adviser Representative	Υ	Tucson, AZ, United States
03/2014 - 05/2017	CETERA INVESTMENT ADVISERS LLC	IAR REGISTERED REPRESENTATIVE	Υ	DULUTH, GA, United States
03/2014 - 05/2017	CETERA INVESTMENT SERVICES LLC	REGISTERED REPRESENTATIVE	Υ	ST.CLOUD, MN, United States
03/2014 - 05/2017	REGIONS BANK	REGISTERED REPRESENTATIVE	Υ	DULUTH, GA, United States

#### **Other Business Activities**

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Sales of investment-related products; part-time or full-time, for companies affiliated with PFS Investments Inc. As of 04/2017 these sales activities will be conducted under the D/B/A of real estate agen: Coldwell Banker Commercial/Better Homes and Gardens Real Estate Metro Brokers, dba The Jimison Group - 516 Sosebee Farm Road, Suite 1246, Grayson, GA. 30017 - Georgia Real Estate Agent License- 15- 20 hours per week and during trading hours. ) Non-investment related business. I may also receive non-investment related compensation from Primerica Mortgage, LLC for the sale of loan products and/or Primerica Client Services, Inc. (a co-located affiliate of PFS Investments Inc.) for part-time referrals of home security and automation products, as well as other home related services.

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# **End of Report**



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