

## BrokerCheck Report

**JOHN ERIK NIERMEYER**

CRD# 2435354

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**JOHN E. NIERMEYER**

CRD# 2435354

**Currently employed by and registered with the following Firm(s):**

- IA STRATOS WEALTH PARTNERS, LTD**  
 291 E. Livingston Avenue  
 Columbus, OH 43215  
 CRD# 153184  
 Registered with this firm since: 04/29/2016
- B LPL FINANCIAL LLC**  
 291 E LIVINGSTON AVE.  
 COLUMBUS, OH 43215  
 CRD# 6413  
 Registered with this firm since: 04/29/2016

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 31 U.S. states and territories

**This broker has passed:**

- 2 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

**Registration History****This broker was previously registered with the following securities firm(s):**

- IA WELLS FARGO ADVISORS, LLC**  
 CRD# 19616  
 ST. LOUIS, MO  
 06/2003 - 05/2016
- B WELLS FARGO ADVISORS, LLC**  
 CRD# 19616  
 COLUMBUS, OH  
 03/1999 - 05/2016
- B FIFTH THIRD/THE OHIO COMPANY**  
 CRD# 628  
 CINCINNATI, OH  
 03/1994 - 03/1999

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 31 U.S. states and territories through his or her employer.**

### Employment 1 of 2

Firm Name: **LPL FINANCIAL LLC**

Main Office Address: **1055 LPL WAY  
FORT MILL, SC 29715**

Firm CRD#: **6413**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	04/29/2016
B	FINRA	General Securities Sales Supervisor	Approved	04/29/2016

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	02/23/2021
B	Arizona	Agent	Approved	12/22/2021
B	California	Agent	Approved	08/06/2020
B	Colorado	Agent	Approved	06/17/2025
B	Connecticut	Agent	Approved	10/31/2024
B	Delaware	Agent	Approved	07/07/2016
B	District of Columbia	Agent	Approved	04/25/2022
B	Florida	Agent	Approved	06/14/2016
B	Georgia	Agent	Approved	04/04/2019
B	Illinois	Agent	Approved	12/22/2021
B	Indiana	Agent	Approved	02/23/2017



## Broker Qualifications

### Employment 1 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Kentucky	Agent	Approved	05/17/2018
B	Maryland	Agent	Approved	08/25/2016
B	Massachusetts	Agent	Approved	05/21/2024
B	Michigan	Agent	Approved	01/04/2018
B	Nevada	Agent	Approved	06/29/2016
B	New Hampshire	Agent	Approved	07/19/2025
B	New Jersey	Agent	Approved	04/22/2022
B	New Mexico	Agent	Approved	10/20/2021
B	New York	Agent	Approved	04/22/2022
B	North Carolina	Agent	Approved	05/13/2016
B	Ohio	Agent	Approved	04/29/2016
B	Pennsylvania	Agent	Approved	11/23/2016
B	South Carolina	Agent	Approved	07/07/2016
B	South Dakota	Agent	Approved	02/05/2024
B	Tennessee	Agent	Approved	10/25/2016
B	Texas	Agent	Approved	02/05/2024
B	Virginia	Agent	Approved	08/02/2016
B	Washington	Agent	Approved	03/10/2022
B	Wisconsin	Agent	Approved	04/26/2018

## Branch Office Locations



## Broker Qualifications

### Employment 1 of 2, continued

**LPL FINANCIAL LLC**

291 E LIVINGSTON AVE.  
COLUMBUS, OH 43215

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### Employment 2 of 2

Firm Name: **STRATOS WEALTH PARTNERS, LTD**

Main Office Address: **3750 PARK EAST DR  
STE 200  
BEACHWOOD, OH 44122**

Firm CRD#: **153184**

	U.S. State/ Territory	Category	Status	Date
IA	Louisiana	Investment Adviser Representative	Approved	04/29/2016
IA	Ohio	Investment Adviser Representative	Approved	04/29/2016
IA	Texas	Investment Adviser Representative	Approved	04/29/2016

### Branch Office Locations

291 E. Livingston Avenue  
Columbus, OH 43215

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## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> General Securities Sales Supervisor - General Module Examination	Series 10	08/28/2000
<b>B</b> General Securities Sales Supervisor - Options Module Examination	Series 9	07/07/2000

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	02/04/1994

### State Securities Law Exams

Exam	Category	Date
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	04/04/1994

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.





## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>IA</b> 06/2003 - 05/2016	WELLS FARGO ADVISORS, LLC	19616	COLUMBUS, OH
<b>B</b> 03/1999 - 05/2016	WELLS FARGO ADVISORS, LLC	19616	COLUMBUS, OH
<b>B</b> 03/1994 - 03/1999	FIFTH THIRD/THE OHIO COMPANY	628	CINCINNATI, OH

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
04/2016 - Present	LPL Financial LLC	Registered Representative	Y	Columbus, OH, United States
04/2016 - Present	STRATOS WEALTH PARTNERS, LTD	INVESTMENT ADVISER REPRESENTATIVE	Y	COLUMBUS, OH, United States
05/2009 - 04/2016	WELLS FARGO ADVISORS LLC	REGISTERED REP	Y	COLUMBUS, OH, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1) 3/9/16-Stratos Wealth Partners, Ltd,- DBA for LPL Business (entity for LPL business)- Inv. Related- at reported business location(s)- 100%
- 2) 3/9/16- Notary Public- Non-Inv. Related- start date 1/01/1991- 0%
- 3) 3/9/16- Attorney at Law- Non-Inv. Related- no client or practice at this time/ start date 1/01/1991-0%.
- 4) 04/29/2016 - LPL Financial, LLC (Registered Representative) - Investment Related - At Reported Business Location (s) - 100% time spent between LPL Financial, LLC and Stratos Wealth Partners, Ltd.

## Registration and Employment History



### Other Business Activities, continued

5) 5/2/2016 - STRATOS WEALTH PARTNERS, LTD - DBA: (Hybrid) Stratos Wealth Partners - Investment Related - At Reported Business Location(s) - Registered Investment Advisor Hybrid - IAR - Start Date: 4/2016 - 160 Hours Per Month/8 Hours During Securities Trading - Time Spent 80% - I provide investment advisory services through Stratos Wealth Partners LTD, an independent investment advisor firm. I started this business activity in 4/2016. I expect to spend approximately 160 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its services at <http://www.adviserinfo.sec.gov/IAPD>. The firm is separate from and independent of LPL Financial.

6) 10/16/2020 - No Business Name - Investment Related - Dixon Wells 5775 Perimeter Dr, Dublin, OH 43017 - Non-Variable Insurance - Started 10/09/2020 - 0 Hours Per Month - Life insurance.

7) 8/19/2021 - Parkview Partners Capital Management - Investment Related - At Reported Business Location(s) - Registered Investment Advisor DBA - IAR - Start Date: 8/16/2021 - 160 Hours Per Month/40 Hours During Securities Trading.

8) 08/27/2021 - Parkview Partners Capital Management - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Start Date: 08/16/2021 - 160 Hours Per Month/10 Hours During Securities Trading.

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## End of Report



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