

BrokerCheck Report

GREGORY PAUL KUSHNER

CRD# 2649890

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

GREGORY P. KUSHNER

CRD# 2649890

This broker is not currently registered.

Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is not currently registered.

This broker has passed:

- 1 Principal/Supervisory Exam
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- B INVESTMENT SECURITY CORPORATION**
CRD# 47536
Los Angeles, CA
04/2001 - 01/2021
- B MILESTONE FINANCIAL SERVICES, INC.**
CRD# 43295
BOHEMIA, NY
08/1998 - 04/2001
- B SPECTRUM SECURITIES, INC.**
CRD# 29305
AROURA HILLS, CA
08/1995 - 09/1998

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Investment Adviser Representative Information

The information below represents the individual's record as a broker. For details on this individual's record as an investment adviser representative, visit the SEC's Investment Adviser Public Disclosure website at

<https://www.adviserinfo.sec.gov>

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	03/27/2000

General Industry/Product Exams

Exam	Category	Date
B Operations Professional Examination	Series 99TO	01/02/2023
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	08/17/1995

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	12/31/2013
B Uniform Securities Agent State Law Examination	Series 63	08/21/1995

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 04/2001 - 01/2021	INVESTMENT SECURITY CORPORATION	47536	Los Angeles, CA
B 08/1998 - 04/2001	MILESTONE FINANCIAL SERVICES, INC.	43295	BOHEMIA, NY
B 08/1995 - 09/1998	SPECTRUM SECURITIES, INC.	29305	AROURA HILLS, CA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	IDB Lido Wealth, LLC	Investment Adviser Representative	Y	Los Angeles, CA, United States
02/2022 - Present	Lido Advisors, LLC	Founder & Chairman, Senior Managing Director	Y	Los Angeles, CA, United States
09/2021 - Present	Lido Consulting Group, LLC	Founder & Chairman	N	Los Angeles, CA, United States
07/2015 - Present	KUSHNER HOLDINGS, INC.	Chief Executive Officer	Y	LOS ANGELES, CA, United States
07/2015 - Present	LIDO ADVISORS HOLDING, LLC	MEMBER	Y	LOS ANGELES, CA, United States
04/2016 - 12/2022	Oakhurst Advisors, LLC	Senior Managing Director	Y	Los Angeles, CA, United States
05/2021 - 02/2022	Lido Advisors, LLC	Senior Managing Director, Wealth Advisor	Y	Los Angeles, CA, United States
11/1995 - 09/2021	LIDO CONSULTING, INC	PRESIDENT	N	Los Angeles, CA, United States



Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
07/2015 - 05/2021	LIDO ADVISORS, LLC	CHAIRMAN & CHIEF EXECUTIVE OFFICER	Y	BEVERLY HILLS, CA, United States
03/2001 - 01/2021	INVESTMENT SECURITY CORPORATION	REGISTERED REP, BRANCH MANAGER	Y	WOODLAND HILLS, CA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

(1) MEMBER OF LIDO ADVISORS HOLDINGS, LLC; INVESTMENT RELATED; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE 07/2015; HOLDING COMPANY FOR LIDO ADVISORS, LLC; DUTIES: MEMBER; DEV. APPROX. 5 HOURS P/M, INCL. 0 HOURS DURING TRADING HOURS. (2) CEO OF KUSHNER HOLDINGS, INC.; INVESTMENT RELATED; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE 07/2015; MEMBER OF LIDO ADVISORS HOLDINGS, LLC; MEMBER; DEV. APPROX. 5 HOURS P/M, INCL. 0 HOURS DURING TRADING HOURS. (3) FOUNDER AND PRESIDENT OF LIDO CONSULTING GROUP, LLC.; NON INVESTMENT RELATED; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE 11/1995; PROVIDING ADVICE TO FAMILY OFFICES & EDUCATION, CONSULTING SERVICES; DUTIES: NETWORKING, ORGANIZING LUNCHEONS AND ANNUAL CONFERENCE, EXECUTIVE PLACEMENT; DEV. APPROX. 50 HOURS P/M, INCL. ZERO HOURS DURING SECURITIES TRADING HOURS. (4) KUSHDON MANAGEMENT INC.; INVESTMENT RELATED (REAL ESTATE), 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE 04/2015; SERVICES AS CHIEF FINANCIAL OFFICER AND SHAREHOLDER; DUTIES: OVERSEEING THE FINANCIALS OF THE FIRM AND PERFORMING VARIOUS ADMINISTRATIVE DUTIES; DEV. APPROX. 10 HOURS P/M, INCL. 2 HOURS DURING TRADING HOURS. (5) SERVES AS COTRUSTEE TO 4 TRUSTS FOR A LIDO CLIENT; INVESTMENT RELATED; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE 03/2015; DUTIES: OVERSEEING INVESTMENTS AND PERFORMING VARIOUS ADMINISTRATIVE DUTIES; DEV. APPROX. 5 HOURS P/M, INCLUDING 1 HOUR DURING TRADING HOURS. (6) SERVES AS TRUSTEE TO 4 FAMILY RELATED TRUSTS; INVESTMENT RELATED; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE 12/2011; DEV. APPROX. 10 HOURS P/M, INCLUDING 1 HOUR DURING TRADING HOURS; DUTIES: OVERSEEING INVESTMENTS AND PERFORMING VARIOUS ADMINISTRATIVE DUTIES. (7) SERVES AS CHIEF INVESTMENT OFFICER AND CHIEF FINANCIAL OFFICER FOR TECH SGT. JACK KUSHNER RET'D FOUND.; INVESTMENT RELATED; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE 03/2015; DUTIES: OVERSEEING INVESTMENTS AND FINANCES, AND PERFORMING VARIOUS ADMINISTRATIVE DUTIES; DEV. APPROX. 5 HOURS P/M, INCLUDING 3 HOURS DURING TRADING HOURS. (8) SERVES AS MANAGING MEMBER FOR 6 LIMITED LIABILITY COMPANIES; INVESTMENT RELATED; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATES RANGE FROM 2010 TO 2013; DUTIES: OVERSEEING INVESTMENTS IN REAL ESTATE AND PERFORMING VARIOUS ADMINISTRATIVE DUTIES; DEVOTES APPROX. 5 HOURS P/M, INCLUDING ZERO HOURS DURING TRADING HOURS. (9) ESTELLE GORDON TRUST CREATED UNDER JACK KUSHNER TRUST; INVESTMENT RELATED; 1875 CENTURY PARK EAST, #950, LA, CA 90067; TRUST; TRUSTEE; START DATE 01/2013; DEVOTE 10 HOURS P/M; ZERO HOURS DURING TRADING HOURS SPENT OVERSEEING ALL ASPECTS OF INVESTMENTS; (10) MANAGING MEMBER OF SHANGRI-LA EQUINOX LLC; INV. RELATED; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE SEPTEMBER 2015; DUTIES: OVERSIGHT; 5 HRS. P/M.; (11) MG. MEMBER OF 1045 4TH FOSSIL CREEK LLC; INV. REL.; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE JUNE 2016; MANAGER OF LLC; DEVOTES APPROX. 4-6 HOURS P/M, INCL. 0 HOURS DURING TRADING HOURS; (12) MG. MEMBER OF WILDWOOD APARTMENTS LLC; INV. REL.; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DEC. 2017; DEVOTES APPROX 2-4 HRS. P/M, INCL. BUS. HRS.; OVERSIGHT OF FIN. INFO.; (13)

Registration and Employment History



Other Business Activities, continued

PRIN. OF LIDO PRIVATE FUNDS, LLC; INV. REL.; 1875 CENTURY PARK EAST, #950, LA, CA 90067; START DATE NOV. 2017; DEVOTES APPROX 2-4 HRS. P/M, INCL. BUS. HRS.; OVERSIGHT OF FIN. INFO. (14) Mr. Kushner is an Investment Adviser Representative of both Lido Advisors, LLC and IDB Lido Wealth, LLC. IDB Lido Wealth, LLC is a joint venture between Lido Advisors, LLC and IDB New York. Mr. Kushner is only employed and compensated by Lido Advisors, LLC, but performs similar services for IDB Lido Wealth, LLC by virtue of a services agreement between the two entities.

End of Report



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