

BrokerCheck Report

JONATHAN NOEL COOMER

CRD# 2669462

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**JONATHAN N. COOMER**

CRD# 2669462

Currently employed by and registered with the following Firm(s):**IA CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.**

322 W. Main St
Ste. A
Troy, OH 45373
CRD# 134139
Registered with this firm since: 04/22/2016

B CAMBRIDGE INVESTMENT RESEARCH, INC.

322 W. Main St
Suite A
Troy, OH 45373
CRD# 39543
Registered with this firm since: 04/22/2016

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 17 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):****IA TRANSAMERICA FINANCIAL ADVISORS, INC.**

CRD# 16164
PHILADELPHIA, PA
07/2000 - 05/2016

B TRANSAMERICA FINANCIAL ADVISORS, INC

CRD# 16164
TROY, OH
07/2000 - 05/2016

B PROEQUITIES, INC.

CRD# 15708
BIRMINGHAM, AL
09/1998 - 07/2000

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 17 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.**

Main Office Address: **1776 PLEASANT PLAIN RD.
FAIRFIELD, IA 52556-8757**

Firm CRD#: **134139**

	U.S. State/ Territory	Category	Status	Date
IA	Ohio	Investment Adviser Representative	Approved	04/22/2016
IA	Texas	Investment Adviser Representative	Restricted Approval	04/22/2019

Branch Office Locations

322 W. Main St
Ste. A
Troy, OH 45373

23 N Center St.
Versailles, OH 45380

6317 US 36
Greenville, OH 45331

Employment 2 of 2

Firm Name: **CAMBRIDGE INVESTMENT RESEARCH, INC.**

Main Office Address: **1776 PLEASANT PLAIN RD.
FAIRFIELD, IA 52556-8757**

Firm CRD#: **39543**



Broker Qualifications

Employment 2 of 2, continued

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	04/22/2016
B	FINRA	General Securities Principal	Approved	04/13/2017

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	01/02/2025
B	Arizona	Agent	Approved	04/22/2016
B	California	Agent	Approved	04/22/2016
B	Colorado	Agent	Approved	05/23/2022
B	Florida	Agent	Approved	04/22/2016
B	Indiana	Agent	Approved	04/22/2016
B	Kentucky	Agent	Approved	06/01/2018
B	Michigan	Agent	Approved	04/22/2016
B	Nebraska	Agent	Approved	10/30/2019
B	Nevada	Agent	Approved	08/24/2017
B	New York	Agent	Approved	04/25/2025
B	North Carolina	Agent	Approved	07/24/2024
B	Ohio	Agent	Approved	04/22/2016
B	Pennsylvania	Agent	Approved	04/22/2016
B	Texas	Agent	Approved	04/22/2019
B	Virginia	Agent	Approved	06/11/2018
B	West Virginia	Agent	Approved	04/22/2016

Broker Qualifications



Employment 2 of 2, continued

Branch Office Locations

CAMBRIDGE INVESTMENT RESEARCH, INC.

322 W. Main St
Suite A
Troy, OH 45373

CAMBRIDGE INVESTMENT RESEARCH, INC.

23 N Center ST
Versailles, OH 45380

CAMBRIDGE INVESTMENT RESEARCH, INC.

6317 US 36
Greenville, OH 45331



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	04/13/2017

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	10/19/1995

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	12/17/1999
B Uniform Securities Agent State Law Examination	Series 63	12/21/1995

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 07/2000 - 05/2016	TRANSAMERICA FINANCIAL ADVISORS, INC.	16164	TROY, OH
B 07/2000 - 05/2016	TRANSAMERICA FINANCIAL ADVISORS, INC	16164	TROY, OH
B 09/1998 - 07/2000	PROEQUITIES, INC.	15708	BIRMINGHAM, AL
B 10/1995 - 09/1998	ADVANTAGE CAPITAL CORPORATION	146	ATLANTA, GA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
04/2016 - Present	Cambridge Investment Research Advisors, Inc.	Investment Adviser Representative	Y	Fairfield, IA, United States
04/2016 - Present	Cambridge Investment Research, Inc.	Registered Representative	Y	Fairfield, IA, United States
02/2003 - Present	COOMER & COMPANY FINANCIAL SERVICES	OWNER	Y	TROY, OH, United States
05/1994 - Present	SELF EMPLOYED	INDEPENDENT INS. AGENT	Y	TROY, OH, United States
07/2000 - 04/2016	TRANSAMERICA FINANCIAL ADVISORS, INC.	REG. REP.	Y	TROY, OH, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1.JONATHAN N COOMER, INDEPENDENT INSURANCE AGENT, 322 W MAIN ST, STE A, TROY, OH, 1/05/1996 AS OWNER/AGENT SELLING/SERVICING LIFE, HEALTH, ACCIDENT, DISABILITY, FIXED ANNUITY, & LTC PRODUCTS THROUGH VARIOUS INDEPENDENT



Registration and Employment History

Other Business Activities, continued

INSURANCE COMPANIES. INV REL - 2 HR/WK - .5/TRADING.

2-JONATHAN AND AMANDA COOMER, 6455 COUNTRY CLUB DRIVE, RENTAL REAL ESTATE OWNER OF APARTMENT IN TROY, OH, 1/03/2003. NIR - 1 HR/MO - 0/TRADING.

3.CIRA, 1776 PLEASANT PLAIN RD, FAIRFIELD, IA, 4/2016 AS ADVISORY REP OF A RIA. INV REL - 20 HR/WK - 4/TRADING. 04/22/16

4.JON COOMER INVESTMENT MANAGEMENT, 322 W MAIN ST, STE A, TROY, OH, 11/31/2012. INV REL - FINANCIAL PROFESSIONAL UTILIZING DBA NAME. 40 HR/WK - 6.5/TRADING.

5.COOMER & COMPANY FINANCIAL SERVICES INC, 322 W MAIN ST, STE A, TROY, OH, 3/08/2004 AS PRESIDENT - S-CORP FOR LIABILITY PURPOSES. INV REL - 40 HR/WK - 6.5/TRADING.

6.AESTHETIC FINISHERS INC, 1502 S MAIN ST, PIQUA, OH, 4/01/1992 AS BUSINESS CONSULTANT. NIR - 2 HR/MO - 0/TRADING.

7.JONATHAN N COOMER, NOTARY PUBLIC, 322 W MAIN ST, STE A, TROY, OH, 1/15/2004. INV REL - 1 HR/MO - .25/TRADING.

8.DREAMVILLE ACRES, LLC, 6455 COUNTRY CLUB DRIVE, GREENVILLE, OH, FARM OWNER, 04/15/21, NIR, 5 HR/WK- 0/TRADING HR.

9.MIAMI VALLEY SCOUTING (FORMERLY BSA), 7285 Poe Avenue, Dayton OH 45414, United States, 10/2025, Committee Member, Volunteer, NIR, 1 HR/MO, 0 HR/MO TRADING

End of Report



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