BrokerCheck Report

Lynn Blair Thurgood

CRD# 2721398

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
    - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
    - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

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This broker is not currently registered.

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is not currently registered.

This broker has passed:

- 0 Principal/Supervisory Exams
- 1 General Industry/Product Exam
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

B **CENTER STREET SECURITIES, INC.**
CRD# 26898
RIO RANCHO, NM
10/2009 - 03/2014

B **BENEFICIAL INVESTMENT SERVICES, INC.**
CRD# 136433
RIO RANCHO, NM
07/2007 - 02/2008

B **EQUITY SERVICES, INC.**
CRD# 265
RIO RANCHO, NM
01/2006 - 08/2007

Disclosure Events

This broker has been involved in one or more disclosure events involving certain final criminal matters, regulatory actions, civil judicial proceedings, or arbitrations or civil litigations.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	1

Investment Adviser Representative Information

The information below represents the individual's record as a broker. For details on this individual's record as an investment adviser representative, visit the SEC's Investment Adviser Public Disclosure website at

https://www.adviserinfo.sec.gov

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs), states and U.S. territories the broker is currently registered and licensed with, the category of each registration, and the date on which the registration became effective. This section also provides, for each firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 1 general industry/product exam, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Investment Company Products/Variable Contracts Representative Examination	Series 6	04/26/1996

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination	Series 65	11/19/2013
B	Uniform Securities Agent State Law Examination	Series 63	04/22/1996

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History

Registration History

The broker previously was registered with the following securities firms:

	Registration Dates	Firm Name	CRD#	Branch Location
B	10/2009 - 03/2014	CENTER STREET SECURITIES, INC.	26898	RIO RANCHO, NM
B	07/2007 - 02/2008	BENEFICIAL INVESTMENT SERVICES, INC.	136433	RIO RANCHO, NM
B	01/2006 - 08/2007	EQUITY SERVICES, INC.	265	RIO RANCHO, NM
B	08/2002 - 01/2004	FINANCIAL NETWORK INVESTMENT CORPORATION	13572	EL SEGUNDO, CA
B	07/2001 - 07/2002	EQUITY SERVICES, INC.	265	MONTPELIER, VT
B	10/1999 - 07/2001	NYLIFE SECURITIES INC.	5167	NEW YORK, NY
B	10/1996 - 09/1999	MONY SECURITIES CORPORATION	4386	NEW YORK, NY
B	04/1996 - 08/1996	THE O.N. EQUITY SALES COMPANY	2936	CINCINNATI, OH

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
11/2023 - Present	Buck Wealth Strategies, LLC	Investment Advisor Representative	Y	Denver, CO, United States
11/2023 - Present	E.A. Buck Financial Services	Financial Advisor	Y	Denver, CO, United States
01/2015 - Present	LDT Enterprises, Inc	Manager	Y	Rio Rancho, NM, United States
10/1995 - Present	INSURANCE NETWORK, INC.	OTHER - OWNER/PRESIDENT	Y	RIO RANCHO, NM, United States
04/2023 - 11/2023	Portside Wealth Group	Investment Advisor Representative	Y	Provo, UT, United States

Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
09/2017 - 11/2023	National Gold Consultants	Solicitor	Y	Rio Rancho, NM, United States
09/2016 - 11/2023	Rare Coins of New Hampshire	Solicitor	Y	RIO RANCHO, NM, United States
11/2000 - 11/2023	OXFORD WEALTH ADVISORS, LLC	MANAGING MEMBER	Y	RIO RANCHO, NM, United States
04/2020 - 06/2023	TownSquare Capital	Investment Advisor Rep	Y	Rio Rancho, NM, United States
01/2018 - 12/2021	The Church of Jesus Christ of Latter Day Saints	Minister/Bishop	N	Albuquerque, NE, United States
03/2014 - 04/2020	HORTER INVESTMENT MANAGEMENT, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	CINCINNATI, OH, United States
10/2012 - 03/2017	Society For Financial Awareness	Member	Y	Rio Rancho, NM, United States
02/2015 - 09/2016	US GOLD AND SILVER ADVISORS, INC.	SOLICITOR	Y	RIO RANCHO, NM, United States
10/2014 - 05/2016	NEW MEXICO TAX PREP, LLC	PARTNER/OWNER	N	RIO RANCHO, NM, United States

Disclosure Events

What you should know about reported disclosure events:

1. **Disclosure events in BrokerCheck reports come from different sources:**
   - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, their employing firms, and regulators. When more than one source reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions are separated by a solid line with the reporting source labeled.

For your convenience, below is a matrix of the number and status of regulatory disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Final	On Appeal
Regulatory Event	1	0

Disclosure Event Details

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Regulatory - Final

This type of disclosure event involves a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulator such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations.

Disclosure 1 of 1

Reporting Source:	Broker
Regulatory Action Initiated By:	DEPT. OF INSURANCE - STATE OF NEW MEXICO
Sanction(s) Sought:	Other
Other Sanction(s) Sought:	ADMINISTRATIVE FINE OF $250.00
Date Initiated:	01/01/1994
Docket/Case Number:	94-222 IN
Employing firm when activity occurred which led to the regulatory action:	INDEPENDENT AGENT WITH BENEFIT PLANNERS, INC.
Product Type:	Insurance
Other Product Type(s):	HEALTH INSURANCE
Allegations:	THE STATE ALLEGED A VIOLATION OF NM INSURANCE CODE NMSA 1978, SECTION 59A-16-24(B). STATING THAT NO PERSON SHALL WILFULLY COLLECT ANY SUM IN EXCESS OF THE PREMIUM. AS SPECIFIED IN THE POLICY. I WAS ASKED BY THE CHAMBER OF COMMERCE TO PERFORM ADMINISTRATIVE FUNCTIONS ON THE CHAMBERS BEHALF FOR MEMBER BENEFITS. (SEE STIPULATION)
Current Status:	Final
Resolution:	Stipulation and Consent
Resolution Date:	09/14/1995
Sanctions Ordered:	Monetary/Fine $250.00
Other Sanctions Ordered:	
Sanction Details:	PAID AN ADMINISTATIVE FINE IN THE AMOUNT OF

$250.00 AND SIGNED A STIPULATION TO SETTLE.

Broker Statement	(SEE STIPULATION)

End of Report

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