

**BrokerCheck Report**  
**LARRY RAY KNISLEY**  
CRD# 272481

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**LARRY R. KNISLEY**

CRD# 272481

**Currently employed by and registered with the following Firm(s):****IA OSAIC WEALTH, INC.**PORTLAND, OR  
CRD# 23131

Registered with this firm since: 09/01/2023

**B OSAIC WEALTH, INC.**PORTLAND, OR  
CRD# 23131

Registered with this firm since: 09/01/2023

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 36 U.S. states and territories

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

**This broker has passed:**

- 2 Principal/Supervisory Exams
- 4 General Industry/Product Exams
- 2 State Securities Law Exams

**Registration History****This broker was previously registered with the following securities firm(s):****IA SAGEPOINT FINANCIAL, INC.**CRD# 133763  
PHOENIX, AZ  
10/2008 - 09/2023**B SAGEPOINT FINANCIAL, INC.**CRD# 133763  
PORTLAND, OR  
10/2008 - 09/2023**B AMERICAN GENERAL SECURITIES INCORPORATED**CRD# 13626  
BEAVERTON, OR  
10/2002 - 10/2008

## Broker Qualifications



### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 36 U.S. states and territories through his or her employer.**

### Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**

Main Office Address: **18700 N. HAYDEN ROAD  
SUITE 255  
SCOTTSDALE, AZ 85255**

Firm CRD#: **23131**

SRO	Category	Status	Date
 FINRA	General Securities Principal	Approved	09/01/2023
 FINRA	General Securities Representative	Approved	09/01/2023
 FINRA	Municipal Securities Principal	Approved	09/01/2023
 FINRA	Municipal Securities Representative	Approved	09/01/2023

U.S. State/ Territory	Category	Status	Date
 Alaska	Agent	Approved	09/01/2023
 Arizona	Agent	Approved	09/01/2023
 Arkansas	Agent	Approved	09/01/2023
 California	Agent	Approved	09/01/2023
 Colorado	Agent	Approved	09/01/2023
 District of Columbia	Agent	Approved	09/01/2023
 Florida	Agent	Approved	09/01/2023
 Georgia	Agent	Approved	09/01/2023
 Hawaii	Agent	Approved	09/01/2023

## Broker Qualifications



## Employment 1 of 1, continued

U.S. State/ Territory	Category	Status	Date
B Idaho	Agent	Approved	09/01/2023
IA Idaho	Investment Adviser Representative	Approved	09/01/2023
B Illinois	Agent	Approved	09/01/2023
B Indiana	Agent	Approved	11/22/2024
B Iowa	Agent	Approved	09/01/2023
B Kansas	Agent	Approved	09/01/2023
B Maryland	Agent	Approved	09/01/2023
B Missouri	Agent	Approved	09/01/2023
B Montana	Agent	Approved	09/01/2023
IA Montana	Investment Adviser Representative	Approved	05/13/2024
B Nevada	Agent	Approved	09/01/2023
B New Mexico	Agent	Approved	09/01/2023
B New York	Agent	Approved	09/01/2023
B North Carolina	Agent	Approved	09/01/2023
B North Dakota	Agent	Approved	09/01/2023
B Ohio	Agent	Approved	09/01/2023
B Oklahoma	Agent	Approved	09/01/2023
B Oregon	Agent	Approved	09/01/2023
IA Oregon	Investment Adviser Representative	Approved	09/01/2023
B Pennsylvania	Agent	Approved	09/01/2023
B South Dakota	Agent	Approved	09/01/2023

## Broker Qualifications



### Employment 1 of 1, continued

U.S. State/ Territory	Category	Status	Date
B Tennessee	Agent	Approved	09/01/2023
B Texas	Agent	Approved	09/01/2023
IA Texas	Investment Adviser Representative	Approved	02/13/2025
B Utah	Agent	Approved	09/01/2023
B Vermont	Agent	Approved	09/01/2023
B Virginia	Agent	Approved	09/01/2023
B Washington	Agent	Approved	09/01/2023
IA Washington	Investment Adviser Representative	Approved	09/01/2023
B West Virginia	Agent	Approved	09/01/2023
B Wisconsin	Agent	Approved	09/01/2023
B Wyoming	Agent	Approved	09/01/2023
IA Wyoming	Investment Adviser Representative	Approved	03/07/2025

### Branch Office Locations

**OSAIC WEALTH, INC.**  
PORTLAND, OR

## Broker Qualifications



### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
<span style="border: 1px solid blue; border-radius: 50%; padding: 2px 5px; font-weight: bold;">B</span> Municipal Securities Principal Examination	Series 53	07/23/2008
<span style="border: 1px solid blue; border-radius: 50%; padding: 2px 5px; font-weight: bold;">B</span> General Securities Principal Examination	Series 00	02/03/1970

#### General Industry/Product Exams

Exam	Category	Date
<span style="border: 1px solid blue; border-radius: 50%; padding: 2px 5px; font-weight: bold;">B</span> Municipal Securities Representative Examination	Series 52TO	09/25/2025
<span style="border: 1px solid blue; border-radius: 50%; padding: 2px 5px; font-weight: bold;">B</span> General Securities Representative Examination	Series 7TO	01/02/2023
<span style="border: 1px solid blue; border-radius: 50%; padding: 2px 5px; font-weight: bold;">B</span> Securities Industry Essentials Examination	SIE	10/01/2018
<span style="border: 1px solid blue; border-radius: 50%; padding: 2px 5px; font-weight: bold;">B</span> Registered Representative Examination	Series 1	04/10/1969

#### State Securities Law Exams

Exam	Category	Date
<span style="border: 1px solid blue; border-radius: 50%; padding: 2px 5px; font-weight: bold;">IA</span> Uniform Investment Adviser Law Examination	Series 65	01/10/2002
<span style="border: 1px solid blue; border-radius: 50%; padding: 2px 5px; font-weight: bold;">B</span> Uniform Securities Agent State Law Examination	Series 63	10/11/1995

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



### Professional Designations

This section details that the representative has reported **1** professional designation(s).

#### Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>

# Registration and Employment History



## Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 10/2008 - 09/2023	SAGEPOINT FINANCIAL, INC.	133763	PORLAND, OR
IA 10/2008 - 09/2023	SAGEPOINT FINANCIAL, INC.	133763	PORLAND, OR
B 10/2002 - 10/2008	AMERICAN GENERAL SECURITIES INCORPORATED	13626	BEAVERTON, OR
IA 07/2002 - 10/2008	AMERICAN GENERAL SECURITIES INCORPORATED	13626	BEAVERTON, OR
B 12/1970 - 10/2002	FRANKLIN FINANCIAL SERVICES CORPORATION	5435	HOUSTON, TX
B 05/1980 - 10/1981	INVESTMENT MANAGEMENT & RESEARCH, INC	6694	

## Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	OSAIC WEALTH, INC.	REGISTERED REP	Y	PORLAND, OR, United States
01/2009 - 09/2023	SAGEPOINT FINANCIAL, INC.	REGISTERED REP	Y	PHOENIX, AZ, United States

## Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

### 1) PACIFIC CASCADES FINANCIAL GROUP

POSITION: Owner - NATURE: Sole Proprietorship - INVESTMENT RELATED: Yes NUMBER OF HOURS: 60 SECURITIES TRADING HOURS: 50 START DATE: 10/01/2008

ADDRESS: 208 SW Santana PL, Portland OR 97225, United States

## Registration and Employment History



### Other Business Activities, continued

DESCRIPTION: Sell life insurance , annuities , long term care, and health insurance also recruit and manage agents to do the same

2) INVESTMENT ADVISORY SERVICES, CORPORATE REGISTERED INVESTMENT ADVISOR, INVESTMENT RELATED, SAGEPOINT FINANCIAL, INC.,16075 SW FLICKER CT, BEAVERTON, CO, 97007, START: 06/2010,100 HR/MO,100 HR/TD,MEET WITH CLIENTS, GATHER INFORMATION ON ASSETS AND GOALS. DEVELOP A FINANCIAL OR RETIREMENT DISTRIBUTION PLAN. PLACE ASSETS TO BE MANAGED THROUGH THE SAGEPOINT FINANCIAL PLATFORM OPTIONS. MONITOR THE MONEY MANAGERS AND KEEP CLIENTS INFORMED OF THE ACTIVITIES, RETURNS,AND SEE IF RESULTS ARE STILL IN LINE WITH THEIR OBJECTIVES AND ARE SUITABLE.ADVICE - FIXED OR HOURLY RATE,  
FINANCIAL PLANNING - FIXED OR HOURLY RATE, ACTIVE MANAGEMENT, - ASSET MONITORING

3) INSURANCE, INVESTMENT RELATED,PACIFIC CASACADES FINANCIAL GROUP, SOLE PROPRIETORSHIP,16075 SW FLICKER CT BEAVERTON, OR 97007,OWNER, START:SEPTEMBER 1995, 25-40 HR/MO, 20 HR/TD,MEET WITH CLIENTS GATHER INFORMATION,ANALIZE INSURANCE NEEDS, AND PROVIDE LIFE, HEALTH AND LONG TERM CARE INSURANCE COVERAGE, FIXED ANNUITIES, VARIABLE ANNUITIES, VARIABLE LIFE OR VARIABLE UNIVERSAL LIFE, FIXED LIFE, HEALTH, INSURANCE CARRIERS: AMERICAN GENERAL,AVIVA,LINCOLN BENEFIT ,LINCOLN FINANCIAL,METLIFE,PRUDENTIAL,PRINCIPAL,MUTUAL OF OMAHA,TRANSAMERICA,WEST COAST LIFE,AXA EQUITABLE,CHESAPEAKE LIFE,EQUITABLE LIFE AND CASUALTY,INTEGRITY LIFE,JACKSON NAT'L,NORTH AMERICAN,STANDARD INS.,TRAVELERS,SONS OF NORWAY.

### 4) RECLAIMING HOPE RANCH

POSITION: Treasure / Board member NATURE: 501 c (3) non-profit A Horse Ranch that exists to provide children with a history in foster care and adoption a safe place to be who they are by decreasing fear through play and stimulating the child's imagination . INVESTMENT RELATED: No  
NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 08/04/2020

ADDRESS: 844 NE 73rd Ave, Hillsborough OR 97124, United States

DESCRIPTION: I will oversee the books of the organization provided by a bookkeeper and report to the board cash flow expenditures and outlook .I do not have check signing authority .

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## End of Report



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