BrokerCheck Report

CHRISTIAN BAYN LYDON

CRD# 2766444

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Please be aware that fraudsters may link to BrokerCheck from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.

For more information read our investor alert on imposters.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
    - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
    - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

CHRISTIAN B. LYDON

CRD# 2766444

This broker is not currently registered.

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is not currently registered.

This broker has passed:

- 1 Principal/Supervisory Exam
- 1 General Industry/Product Exam
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

B **LINCOLN INVESTMENT PLANNING, INC.**
CRD# 519
FORT WASHINGTON, PA
06/2001 - 02/2004

B **MONEY CONCEPTS CAPITAL CORP**
CRD# 12963
PALM BEACH GARDENS, FL
02/2001 - 06/2001

B **XCU CAPITAL CORPORATION, INC.**
CRD# 19899
RALEIGH, NC
06/2000 - 12/2000

Disclosure Events

This broker has been involved in one or more disclosure events involving certain final criminal matters, regulatory actions, civil judicial proceedings, or arbitrations or civil litigations.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Criminal	1

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Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs), states and U.S. territories the broker is currently registered and licensed with, the category of each registration, and the date on which the registration became effective. This section also provides, for each firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.

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Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

This individual has passed 1 principal/supervisory exam, 1 general industry/product exam, and 2 state securities law exams.

Principal/Supervisory Exams

Exam		Category	Date
B	Investment Company Products/Variable Contracts Principal Examination	Series 26	11/01/1999

General Industry/Product Exams

Exam		Category	Date
B	Investment Company Products/Variable Contracts Representative Examination	Series 6	08/16/1996

State Securities Law Exams

Exam		Category	Date
IA	Uniform Investment Adviser Law Examination	Series 65	03/19/2001
B	Uniform Securities Agent State Law Examination	Series 63	09/16/1996

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

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Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

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Registration and Employment History

Registration History

The broker previously was registered with the following securities firms:

	Registration Dates	Firm Name	CRD#	Branch Location
B	06/2001 - 02/2004	LINCOLN INVESTMENT PLANNING, INC.	519	FORT WASHINGTON, PA
B	02/2001 - 06/2001	MONEY CONCEPTS CAPITAL CORP	12963	PALM BEACH GARDENS, FL
B	06/2000 - 12/2000	XCU CAPITAL CORPORATION, INC.	19899	RALEIGH, NC
B	06/1998 - 11/1999	FIS SECURITIES, INC.	30533	BOSTON, MA
B	08/1996 - 04/1998	COMMONWEALTH EQUITY SERVICES, INC.	8032	WALTHAM, MA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
06/2001 - Present	LINCOLN INVESTMENT PLANNING, INC.	FINANCIAL REPRESENTATIVE	Y	WELLESLEY, MA, United States

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Disclosure Events

What you should know about reported disclosure events:

1. **Disclosure events in BrokerCheck reports come from different sources:**
   - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, their employing firms, and regulators. When more than one source reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions are separated by a solid line with the reporting source labeled.

For your convenience, below is a matrix of the number and status of regulatory disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Final	On Appeal
Criminal	1	0

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Disclosure Event Details

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Criminal - Final Disposition

This type of disclosure event involves a conviction or guilty plea for any felony or certain misdemeanor offenses, including bribery, perjury, forgery, counterfeiting, extortion, fraud, and wrongful taking of property that is currently on appeal.

Disclosure 1 of 1

Reporting Source:	Broker
Court Details:	A VIDEO STORE MANAGER LOCATED IN MARLBOROUGH N.H. TRACKING #A29424/DOCKET#94-1511 KEENE DISTRICT COURT, KEENE, NH
Charge Date:	02/02/1994
Charge Details:	1."THEFT OF SERVICES" 1 COUNT 2. MISDEMEANOR 3. GUILTY 4. NOT INVESTMENT RELATED
Felony?	No
Current Status:	Final
Status Date:	01/05/2000
Disposition Details:	A. ANNULLED G. 11/04/1994 B. JANUARY 5, 2000 C. FINE & ADMINISTRATION FEE D. NONE E. NONE F. FINE $144, FEE $225
Broker Statement	3 YRS. AGO WHILE IN COLLEGE, I FAILED TO PAY A LATE CHARGE ON 2 MOVIES RENTED FROM A LOCAL VIDEO STORE. THE STORE OWNER FILED A COMPLAINT. I WAS ARRESTED & CHARGED W/ "THEFT OF SERVICES." (APPROX. $48.00) I PLED GUILTY, PAID MY COURT FEE & 3 WKS LATER PAID THE LATE CHARGE TO THE VIDEO RENTAL STORE.

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End of Report

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