

BrokerCheck Report

MARK ANDREW MIRANDA

CRD# 2793426

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 3
Registration and Employment History	5 - 6
Disclosure Events	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

MARK A. MIRANDA

CRD# 2793426

This broker is not currently registered.

Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is not currently registered.

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

- B SPARTAN CAPITAL SECURITIES, LLC**
CRD# 146251
GARDEN CITY, NY
02/2020 - 08/2022
- B NETWORK 1 FINANCIAL SECURITIES INC.**
CRD# 13577
SYOSSET, NY
12/2012 - 02/2020
- B OBSIDIAN FINANCIAL GROUP, LLC**
CRD# 104255
WOODBURY, NY
12/2008 - 12/2012

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	1
Customer Dispute	5
Financial	1
Judgment/Lien	6

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	04/29/1997

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	09/03/1997

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 02/2020 - 08/2022	SPARTAN CAPITAL SECURITIES, LLC	146251	GARDEN CITY, NY
B 12/2012 - 02/2020	NETWORK 1 FINANCIAL SECURITIES INC.	13577	SYOSSET, NY
B 12/2008 - 12/2012	OBSIDIAN FINANCIAL GROUP, LLC	104255	WOODBURY, NY
B 10/2005 - 12/2008	EMPIRE FINANCIAL GROUP, INC.	28759	UNIONDALE, NY
B 12/2001 - 11/2005	EHRENKRANTZ KING NUSSBAUM, INC.	113525	MELVILLE, NY
B 10/2001 - 12/2001	EHRENKRANTZ KING NUSSBAUM	31140	NEW YORK, NY
B 09/1999 - 10/2001	WEATHERLY SECURITIES CORPORATION	11081	NEW YORK, NY
B 10/1997 - 10/1999	TASIN & COMPANY, INC.	30709	HAUPPAUGE, NY
B 06/1997 - 09/1997	IAR SECURITIES CORP.	5155	NEW YORK, NY
B 04/1997 - 05/1997	INVESTORS ASSOCIATES, INC.	958	HACKENSACK, NJ

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
01/2020 - Present	Spartan Capital Securities, LLC	Registered Representative	Y	New York, NY, United States
12/2012 - 01/2020	NETWORK 1 FINANCIAL SECURITIES, INC.	REGISTERED REPRESENTATIVE	Y	RED BANK, NJ, United States

Registration and Employment History



Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

OBA #1: MDGMD, INC., 1746 Arrowhead Trail, Vineland, NJ. POSITION: CEO. START: 2005. NATURE OF BUSINESS: HOLDING COMPANY. 2 HOURS DEVOTED/MONTH DURING NON-TRADING HOURS. DUTIES: ADMINISTRATIVE.

Disclosure Events



What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
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3. **Disclosure events in BrokerCheck reports come from different sources:**
 - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Regulatory Event	0	1	0
Customer Dispute	0	5	N/A
Financial	0	1	N/A
Judgment/Lien	6	N/A	N/A



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Regulatory - Final

This type of disclosure event may involve (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulatory such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of a broker's authority to act as an attorney, accountant, or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	FINRA
Sanction(s) Sought:	Suspension
Date Initiated:	11/29/2022
Docket/Case Number:	2021073013101
Employing firm when activity occurred which led to the regulatory action:	N/A
Product Type:	No Product
Allegations:	Respondent Miranda failed to respond to FINRA requests for information.
Current Status:	Final
Resolution:	Letter
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No



Resolution Date: 03/03/2023

Sanctions Ordered: Bar (Permanent)

If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise? No

(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?

(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or



(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

Sanction 1 of 2

Sanction Type: Bar (Permanent)
Capacities Affected: All Capacities
Duration: Indefinite
Start Date: 03/03/2023
End Date:

Sanction 2 of 2

Sanction Type: Suspension
Capacities Affected: All Capacities
Duration: n/a
Start Date: 12/23/2022
End Date: 03/02/2023

Regulator Statement

Pursuant to FINRA Rule 9552(h) and in accordance with FINRA's Notice of Suspension and Suspension from Association letters dated November 29, 2022 and December 23, 2022, respectively, on March 3, 2023, Miranda is barred from association with any FINRA member in all capacities. Miranda failed to request termination of his suspension within three months of the date of the Notice of Suspension; therefore, he is automatically barred from association with any FINRA member in all capacities.





Customer Dispute - Award / Judgment

This type of disclosure event involves a final, consumer-initiated, investment-related arbitration or civil suit containing allegations of sales practice violations against the broker that resulted in an arbitration award or civil judgment for the customer.

Disclosure 1 of 1

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	Network 1 Financial Securities Inc.
Allegations:	Mark Miranda was a subject of the customer's complaint against his member firm that asserted the following causes of action: misrepresentation, omissions, unsuitable investment recommendations, lack of supervision, breach of contract and industry rules.
Product Type:	Other: unspecified securities
Alleged Damages:	\$203,029.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: [FINRA - CASE #18-03033](#)

Date Notice/Process Served:	08/27/2018
Arbitration Pending?	No
Disposition:	Award
Disposition Date:	10/18/2019
Disposition Detail:	Miranda was a Subject Of the customer's complaint alleging Miranda and his member firm caused sales practice violations. Miranda's member firm is liable for and shall pay to Claimant the sum of \$203,029.00 in compensatory damages, is liable for and shall pay to Claimant interest, is liable for and shall pay to Claimant the sum of \$67,000.00 in attorneys' fees, shall reimburse Claimant for the \$300.00 non-refundable portion of the claim filing fee previously paid by Claimant to FINRA Office of Dispute Resolution.

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	Network 1 Financial Securities, Inc.
Allegations:	Suitability



Product Type: Other: ETF
Alleged Damages: \$191,025.00
Is this an oral complaint? No
Is this a written complaint? Yes
Is this an arbitration/CFTC reparation or civil litigation? Yes
Arbitration/Reparation forum or court name and location: FINRA
Docket/Case #: 18-03033
Filing date of arbitration/CFTC reparation or civil litigation: 08/27/2018

Customer Complaint Information

Date Complaint Received: 09/05/2018
Complaint Pending? No
Status: Arbitration Award/Monetary Judgment (for claimants/plaintiffs)
Status Date: 10/18/2019
Settlement Amount: \$203,029.00
Individual Contribution Amount: \$0.00
Broker Statement I was not a respondent in this claim - I contributed nothing to FINRA's decision to award the claimants damages.



Customer Dispute - Settled

This type of disclosure event involves a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit containing allegations of sale practice violations against the broker that resulted in a monetary settlement to the customer.

Disclosure 1 of 1

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	OBSIDIAN FINANCIAL GROUP. LLC
Allegations:	THE ACTION ALLEGES THE RESPONDENT EFFECTED NUMERIOUS UNSUITABLE TRANSACTIONS IN SPECULATIVE STOCKS, OFTEN ON MARGIN, CHURNING AND AUNTORIZED TRADING.
Product Type:	Equity Listed (Common & Preferred Stock)
Alleged Damages:	\$301,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA ARBITRATION
Docket/Case #:	10-03613
Filing date of arbitration/CFTC reparation or civil litigation:	08/19/2010

Customer Complaint Information

Date Complaint Received:	08/23/2010
Complaint Pending?	No
Status:	Evolved into Arbitration/CFTC reparation (the individual is a named party)
Status Date:	09/12/2011
Settlement Amount:	\$150,000.00
Individual Contribution Amount:	\$130,000.00

Arbitration Information



Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA
Docket/Case #:	10-03613
Date Notice/Process Served:	08/23/2010
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	09/12/2011
Monetary Compensation Amount:	\$150,000.00
Individual Contribution Amount:	\$130,000.00



Customer Dispute - Closed-No Action / Withdrawn / Dismissed / Denied

This type of disclosure event involves (1) a consumer-initiated, investment-related arbitration or civil suit containing allegations of sales practice violations against the individual broker that was dismissed, withdrawn, or denied; or (2) a consumer-initiated, investment-related written complaint containing allegations that the broker engaged in sales practice violations resulting in compensatory damages of at least \$5,000, forgery, theft, or misappropriation, or conversion of funds or securities, which was closed without action, withdrawn, or denied.

Disclosure 1 of 3

Reporting Source: Broker

Employing firm when activities occurred which led to the complaint: Network 1 Financial Securities

Allegations: Fees charged for securities transaction for the period Sept. 2015 to April 2016

Product Type: No Product

Alleged Damages: \$47,620.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/12/2016

Complaint Pending? No

Status: Closed/No Action

Status Date: 02/13/2018

Settlement Amount:

Individual Contribution Amount:

Disclosure 2 of 3

Reporting Source: Broker

Employing firm when activities occurred which led to the complaint: EMPIRE FINANCIAL GROUP

Allegations: UNAUTHORIZED TRANSACTIONS



Product Type: Options
Alleged Damages: \$12,000.00

Customer Complaint Information

Date Complaint Received: 01/01/2007
Complaint Pending? No
Status: Denied
Status Date: 01/17/2007
Settlement Amount: \$0.00
Individual Contribution Amount: \$0.00
Broker Statement FINRA ALSO INFORMED CLIENT THAT IT WAS CLOSING THE REVIEW OF CLIENT'S CLAIM WITH NO ACTION.

Disclosure 3 of 3

Reporting Source: Firm
Employing firm when activities occurred which led to the complaint: EKN FINANCIAL SERVICES, INC.
Allegations: EHRENKRANTZ KING NUSSBAUM, INC.
Product Type: Equity - OTC
Other Product Type(s): ALLEDGED UNAUTHORIZED USE OF MARGIN
Alleged Damages: \$240,000.00

Customer Complaint Information

Date Complaint Received: 05/12/2006
Complaint Pending? No
Status: Denied
Status Date: 06/09/2006
Settlement Amount:
Individual Contribution Amount:



Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	EHRENKRANTZ KING NUSSBAUM
Allegations:	UNAUTHORIZED TRADING
Product Type:	Equity - OTC
Alleged Damages:	\$240,000.00

Customer Complaint Information

Date Complaint Received:	05/12/2006
Complaint Pending?	No
Status:	Denied
Status Date:	03/14/2008
Settlement Amount:	\$0.00
Individual Contribution Amount:	\$0.00

Broker Statement	EMPIRE FINANCIAL GROUP WAS NOTIFIED ON THE DATE ABOVE THAT THE COMPLAINT CONTAINED HEREIN WAS DENIED BY THE PREVIOUS FIRM, EKN, ON 6/9/06 VIA A U-5 AMENDMENT FILED BY EKN ON 3/14/08. EMPIRE DOES NOT INTEND TO INCUR A LATE FILING FEE FOR THIS REPORTING.
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Financial - Final

This type of disclosure event involves a bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation involving the broker or an organization/brokerage firm the broker controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source:	Broker
Action Type:	Bankruptcy
Bankruptcy:	Chapter 7
Action Date:	12/18/2020
Organization Investment-Related?	
Type of Court:	Bankruptcy
Name of Court:	Bankruptcy Court
Location of Court:	Camden, NJ
Docket/Case #:	2023745
Action Pending?	No
Disposition:	Dismissed
Disposition Date:	01/28/2021



Judgment / Lien

This type of disclosure event involves an unsatisfied and outstanding judgments or liens against the broker.

Disclosure 1 of 6

Reporting Source:	Broker
Judgment/Lien Holder:	Suffolk County
Judgment/Lien Amount:	\$30,471.00
Judgment/Lien Type:	Tax
Date Filed with Court:	07/07/2017
Date Individual Learned:	01/22/2022
Type of Court:	County Court
Name of Court:	Suffolk County Clerk
Location of Court:	Melville, NY
Docket/Case #:	LFED000261108
Judgment/Lien Outstanding?	Yes
Broker Statement	I never received anything regarding this I never lived on 30 Old Country Rd. to the best of my knowledge this could be my ex-wife.

Disclosure 2 of 6

Reporting Source:	Broker
Judgment/Lien Holder:	Vyzas and Associates PC
Judgment/Lien Amount:	\$8,086.00
Judgment/Lien Type:	Civil
Date Filed with Court:	03/06/2020
Date Individual Learned:	01/22/2022
Type of Court:	County Court of New Jersey
Name of Court:	Ocean County Special Civil Part
Location of Court:	Manahawkin, NJ
Docket/Case #:	DC00926518
Judgment/Lien Outstanding?	Yes

**Broker Statement**

This was a lawyer that I hired back in 2009 or 2010 I hired him to get a loan modification for my home. House foreclosed, I've never received anything in writing the address is incorrect I never lived at this address.

Disclosure 3 of 6

Reporting Source: Broker
Judgment/Lien Holder: Lien
Judgment/Lien Amount: \$10,259.60
Judgment/Lien Type: Tax
Date Filed with Court: 06/01/2018
Date Individual Learned: 01/28/2020
Type of Court: COUNTY COURT
Name of Court: Office of the County Clerk Ocean
Location of Court: Toms River, NJ
Docket/Case #: 2018057492
Judgment/Lien Outstanding? Yes

Broker Statement

On May 23, 2019 I established a Installment Payment Agreement (IPA) with The Department of Treasury for the total of all four outstanding Liens reported on my Form U4.

Disclosure 4 of 6

Reporting Source: Broker
Judgment/Lien Holder: Lien
Judgment/Lien Amount: \$57,534.14
Judgment/Lien Type: Tax
Date Filed with Court: 04/24/2019
Date Individual Learned: 01/28/2020
Type of Court: COUNTY COURT
Name of Court: Office of the County Clerk Cumberland
Location of Court: Brigdeton, NJ



Docket/Case #: 576476

Judgment/Lien Outstanding? Yes

Broker Statement On May 23, 2019 I established a Installment Payment Agreement (IPA) with The Department of Treasury for the total of all four outstanding Liens reported on my Form U4.

Disclosure 5 of 6

Reporting Source: Broker

Judgment/Lien Holder: IRS

Judgment/Lien Amount: \$47,252.90

Judgment/Lien Type: Tax

Date Filed with Court: 06/12/2018

Date Individual Learned: 06/12/2018

Type of Court: Federal Court

Name of Court: IRS

Location of Court: New York

Judgment/Lien Outstanding? Yes

Broker Statement On May 23, 2019 I established a Installment Payment Agreement (IPA) with The Department of Treasury for the total of all four outstanding Liens reported on my Form U4.

Disclosure 6 of 6

Reporting Source: Broker

Judgment/Lien Holder: IRS

Judgment/Lien Amount: \$39,000.00

Judgment/Lien Type: Tax

Date Filed with Court: 09/20/2012

Date Individual Learned: 02/10/2014

Type of Court: Federal Court

Name of Court: IRS



Location of Court:	NEW YORK
Docket/Case #:	1308-30268-CMG
Judgment/Lien Outstanding?	Yes
Broker Statement	On May 23, 2019 I established a Installment Payment Agreement (IPA) with The Department of Treasury for the total of all four outstanding Liens reported on my Form U4.

End of Report



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