

BrokerCheck Report

Juan Manuel Salguero

CRD# 2855462

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



Juan M. Salguero

CRD# 2855462

Currently employed by and registered with the following Firm(s):

IA VECTORGLOBAL IAG, INC.
1001 BRICKELL BAY DRIVE
SUITE 1900
MIAMI, FL 33131
CRD# 147129
Registered with this firm since: 11/12/2025

B VECTORGLOBAL WMG
1001 BRICKELL BAY DRIVE
SUITE 1900
MIAMI, FL 33131
CRD# 32396
Registered with this firm since: 04/02/2025

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

This broker has passed:

- 3 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

- IA JEFFERIES INVESTMENT ADVISERS LLC**
CRD# 325421
NEW YORK, NY
06/2023 - 12/2024
- B JEFFERIES LLC**
CRD# 2347
Miami, FL
06/2018 - 12/2024
- IA LEUCADIA ASSET MANAGEMENT LLC**
CRD# 121767
NEW YORK, NY
06/2018 - 08/2023

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Investigation	1
Termination	1



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.

Employment 1 of 2

Firm Name: **VECTORGLOBAL IAG, INC.**
 Main Office Address: **1001 BRICKELL BAY DRIVE
 SUITE 1900
 MIAMI, FL 33131**
 Firm CRD#: **147129**

	U.S. State/ Territory	Category	Status	Date
IA	Florida	Investment Adviser Representative	Approved	11/12/2025

Branch Office Locations

1001 BRICKELL BAY DRIVE
 SUITE 1900
 MIAMI, FL 33131

Employment 2 of 2

Firm Name: **VECTORGLOBAL WMG**
 Main Office Address: **1001 BRICKELL BAY DRIVE
 SUITE 1900
 MIAMI, FL 33131**
 Firm CRD#: **32396**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	04/02/2025
B	FINRA	General Securities Representative	Approved	04/02/2025
B	FINRA	General Securities Sales Supervisor	Approved	04/02/2025

Broker Qualifications



Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Florida	Agent	Approved	11/12/2025

Branch Office Locations

VECTORGLOBAL WMG
1001 BRICKELL BAY DRIVE
SUITE 1900
MIAMI, FL 33131



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 3 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	08/21/2012
B General Securities Sales Supervisor - General Module Examination	Series 10	02/27/2011
B General Securities Sales Supervisor - Options Module Examination	Series 9	01/18/2011

General Industry/Product Exams

Exam	Category	Date
B Operations Professional Examination	Series 99TO	01/02/2023
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	09/17/2008

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	07/26/2010

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
IA	06/2023 - 12/2024	JEFFERIES INVESTMENT ADVISERS LLC	325421	Miami, FL
B	06/2018 - 12/2024	JEFFERIES LLC	2347	Miami, FL
IA	06/2018 - 08/2023	LEUCADIA ASSET MANAGEMENT LLC	121767	MIAMI, FL
IA	10/2016 - 06/2018	BULLTICK WEALTH MANAGEMENT, LLC	141588	MIAMI, FL
B	09/2016 - 06/2018	BULLTICK, LLC	104005	MIAMI, FL
IA	12/2013 - 08/2016	INTERCAM ADVISORS, INC.	153799	MIAMI, FL
B	10/2013 - 08/2016	INTERCAM SECURITIES, INC.	146667	MIAMI, FL
B	09/2012 - 10/2013	STATETRUST INVESTMENTS INC.	104651	BOCA RATON, FL
IA	07/2010 - 09/2012	CITIGROUP GLOBAL MARKETS INC.	7059	MIAMI, FL
B	09/2008 - 09/2012	CITIGROUP GLOBAL MARKETS INC.	7059	MIAMI, FL

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
04/2025 - Present	VectorGlobalWMG	CHIEF COMPLIANCE OFFICER	Y	MIAMI, FL, United States
11/2024 - 03/2025	UNEMPLOYED	UNEMPLOYED	N	MIAMI, FL, United States
06/2018 - 11/2024	JEFFERIES LLC	Vice President	Y	Miami, FL, United States
09/2016 - 06/2018	Bulltick, LLC	VP of Compliance & AMLCO	Y	Miami, FL, United States
10/2013 - 09/2016	Intercam Securities Inc.	Chief Compliance Officer & AMLCO	Y	Miami, FL, United States

Registration and Employment History



Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Personal holding company named - "Sucursal del Cielo LLC" established owned equally with my wife.

Disclosure Events



What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
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3. **Disclosure events in BrokerCheck reports come from different sources:**
 - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Investigation	1	N/A	N/A
Termination	N/A	1	N/A



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Investigation

This type of disclosure event involves any ongoing formal investigation by an entity such as a grand jury state or federal agency, self-regulatory organization or foreign regulatory authority. Subpoenas, preliminary or routine regulatory inquiries, and general requests by a regulatory entity for information are not considered investigations and therefore are not included in a BrokerCheck report.

Disclosure 1 of 1

Reporting Source:	Broker
Initiated By:	FINRA
Notice Date:	01/23/2025
Details:	FINRA is investigating to determine if there were any violations of securities laws or SRO rules relating to my termination from my previous employer.
Is Investigation pending?	Yes



Employment Separation After Allegations

This type of disclosure event involves a situation where the broker voluntarily resigned, was discharged, or was permitted to resign after being accused of (1) violating investment-related statutes, regulations, rules or industry standards of conduct; (2) fraud or the wrongful taking of property; or (3) failure to supervise in connection with investment-related statutes, regulations, rules, or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Firm

Employer Name: JEFFERIES LLC

Termination Type: Discharged

Termination Date: 11/13/2024

Allegations: DISCHARGED - FACILITATED IMPERMISSIBLE MONEY-WIRE TRANSFERS BY FAILING TO SUPERVISE OR ESCALATE CONCERNS AND ENGAGED IN OFF-CHANNEL BUSINESS COMMUNICATIONS

Product Type: Other: OTHER - WIRE TRANSFERS

Reporting Source: Broker

Employer Name: JEFFERIES LLC

Termination Type: Discharged

Termination Date: 11/13/2024

Allegations: FINRA IS INVESTIGATING TO DETERMINE IF THERE WERE ANY VIOLATIONS OF SECURITIES LAWS OR SRO RULES RELATING TO MY TERMINATION FROM MY PREVIOUS EMPLOYER. PER U5 DISCHARGED - FACILITATED IMPERMISSIBLE MONEY-WIRE TRANSFERS BY FAILING TO SUPERVISE OR ESCALATE CONCERNS AND ENGAGED IN OFF-CHANNEL BUSINESS COMMUNICATIONS

Product Type: Other: NO PRODUCT RELATED, INVESTIGATION PENDING.

Broker Statement

On November 13, 2024, I was discharged by Jefferies LLC after six years of service. I strongly contest the stated reason for termination, which alleges that I facilitated impermissible money-wire transfers by failing to supervise or escalate concerns, and that I engaged in off-channel business communications. These allegations are unfounded and do not reflect my conduct or professional standards. Throughout my tenure, I was known for my integrity and diligence. Initially hired as a business analyst, my role evolved into a hybrid Risk Manager position due to increased third-party wire activity. I was responsible for interfacing with advisors, coordinating with Pershing, and approving or rejecting wire transfers. I consistently ensured compliance with firm policies, meticulously gathered documentation, and escalated concerns when necessary.



One notable example of my diligence was the identification and rejection of a high-risk \$300 million bond liquidation wire due to incomplete paperwork and questionable parties. This action was commended by the firm's Chief Compliance Officer.

My branch underwent regular audits, all of which resulted in satisfactory reviews with no AML or wire transfer deficiencies. I fully cooperated with the firm's internal investigation, including voluntarily submitting my personal cell phone for forensic analysis. The phone was returned after two days, and I was not contacted again regarding its contents.

Regarding off-channel communications, I acknowledged using WhatsApp occasionally for logistical coordination with team members (e.g., arrival times, task delegation). These communications were not intended to conceal information, and I did not delete any content to avoid detection.

I maintain that my actions were always aligned with the firm's interests and client protection. I am committed to high ethical standards and deeply offended by the accusations. I performed my duties to the best of my ability and never knowingly engaged in any conduct

End of Report



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