BrokerCheck Report

GARY CARMON HOLVICK JR

CRD# 2873203

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

GARY C. HOLVICK JR

CRD# 2873203

Currently employed by and registered with the following Firm(s):

IA **OSAIC WEALTH, INC.**
3309 SILVER LAKE ROAD
SUITE A
FENTON, MI 48430
CRD# 23131
Registered with this firm since: 04/14/2022

B **OSAIC WEALTH, INC.**
3309 SILVER LAKE ROAD
SUITE A
FENTON, MI 48430
CRD# 23131
Registered with this firm since: 04/14/2022

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 22 U.S. states and territories

This broker has passed:

- 2 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

IA **MML INVESTORS SERVICES, LLC**
CRD# 10409
SPRINGFIELD, MA
12/2015 - 04/2022

B **MML INVESTORS SERVICES, LLC**
CRD# 10409
Fenton, MI
11/2015 - 04/2022

IA **NORTHWESTERN MUTUAL INVESTMENT SERVICES,LLC**
CRD# 2881
MILWAUKEE, WI
01/2010 - 11/2015

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 22 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**

Main Office Address: **18700 N. HAYDEN ROAD**
SUITE 255
SCOTTSDALE, AZ 85255

Firm CRD#: **23131**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	04/14/2022
B	FINRA	General Securities Sales Supervisor	Approved	04/14/2022

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	04/14/2022
B	California	Agent	Approved	04/14/2022
B	Colorado	Agent	Approved	04/14/2022
B	Florida	Agent	Approved	04/14/2022
IA	Florida	Investment Adviser Representative	Approved	09/09/2024
B	Georgia	Agent	Approved	04/14/2022
IA	Georgia	Investment Adviser Representative	Approved	09/06/2024
B	Illinois	Agent	Approved	04/14/2022
B	Indiana	Agent	Approved	07/03/2024
B	Kentucky	Agent	Approved	04/14/2022
B	Maryland	Agent	Approved	07/10/2024

Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Michigan	Agent	Approved	04/14/2022
IA	Michigan	Investment Adviser Representative	Approved	04/14/2022
B	Minnesota	Agent	Approved	07/10/2024
B	Montana	Agent	Approved	07/10/2024
B	New Jersey	Agent	Approved	07/10/2024
B	New Mexico	Agent	Approved	04/14/2022
B	New York	Agent	Approved	04/14/2022
B	North Carolina	Agent	Approved	07/10/2024
B	Ohio	Agent	Approved	07/12/2022
B	Pennsylvania	Agent	Approved	07/10/2024
B	South Carolina	Agent	Approved	10/16/2023
B	Tennessee	Agent	Approved	07/11/2024
B	Washington	Agent	Approved	04/14/2022
B	Wisconsin	Agent	Approved	07/03/2024

Branch Office Locations

OSAIC WEALTH, INC.
3309 SILVER LAKE ROAD
SUITE A
FENTON, MI 48430

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - General Module Examination	Series 10	11/13/2004
B	General Securities Sales Supervisor - Options Module Examination	Series 9	07/19/2004

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	General Securities Representative Examination	Series 7	01/18/2000

State Securities Law Exams

	Exam	Category	Date
B IA	Uniform Combined State Law Examination	Series 66	09/29/2005
B	Uniform Securities Agent State Law Examination	Series 63	12/29/1999

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner
Chartered Financial Consultant

This representative holds or did hold **2** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
IA	12/2015 - 04/2022	MML INVESTORS SERVICES, LLC	10409	Fenton, MI
B	11/2015 - 04/2022	MML INVESTORS SERVICES, LLC	10409	Fenton, MI
IA	01/2010 - 11/2015	NORTHWESTERN MUTUAL INVESTMENT SERVICES,LLC	2881	GRAND BLANC, MI
B	01/2000 - 11/2015	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	2881	GRAND BLANC, MI
B	01/2000 - 01/2002	ROBERT W. BAIRD & CO. INCORPORATED	8158	MILWAUKEE, WI

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
04/2022 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	FENTON, MI, United States
11/2015 - 04/2022	MASSMUTUAL LIFE INSURANCE COMPANY	AGENT	Y	FENTON, MI, United States
11/2015 - 04/2022	MML INVESTORS SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	FENTON, MI, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

(1) SILVERLAKE 2015 LLC
POSITION: Owner NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 5 START DATE: 08/01/2015
ADDRESS: 3309 W Silver Lake Rd, Suite A, Fenton MI 48430, United States

Registration and Employment History

Other Business Activities, continued

DESCRIPTION: Management

(2) AUTUMN VIEW CT LLC
POSITION: Owner NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2010
ADDRESS: 6476 Shannon Glen Dr, Fenton MI 48430-9283, United States
DESCRIPTION: Nothing

(3) GARY HOLVICK JR. - INSURANCE BROKER
POSITION: Agent NATURE: S Corp INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 5 START DATE: 04/22/1999
ADDRESS: 3309 W Silver Lake Rd, Suite A, Fenton MI 48430, United States
DESCRIPTION: Insurance Sales

(4) HOLVICK & ASSOCIATES, ADVANCED BUSINESS PARTNERS
POSITION: CEO & Chief Strategist NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 133 START DATE: 04/14/2022
ADDRESS: 3309 W. Silver Lake Rd., Suite A, Fenton MI 48430, United States
DESCRIPTION: Holvick & Associates, Advanced Business Partners used as Marketing Name for BD
(5) PENSION PLAN CONSULTING LLC
POSITION: Passive NATURE: LLC INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE: 03/09/2016
ADDRESS: 3309 W Silver Lake Rd, Suite D, Fenton MI 48430, United States
DESCRIPTION: Shareholder Meetings

(6) ADVANCED BUSINESS PARTNERS CONSULTING LLC
POSITION: Owner/ Partner NATURE: LLC INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE: 04/05/2023
ADDRESS: 3309 Silver Lake Road, Suite A, Fenton MI 48430, United States
DESCRIPTION: Work with non-publicly traded companies to enhance their business operations and prepare for transfer of ownership whether internal or external. This may include; management consulting, Owner exit readiness assessments, team coaching, group insurance benefits & P&C review.*We may receive a referral fee from 3rd party business brokers when a company we referred sells

(7) ADVANCED BUSINESS PARTNERS CASCADE
POSITION: Advisor NATURE: Branch Office of ABP, LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 120 SECURITIES TRADING HOURS: 120 START DATE: 07/01/2024
ADDRESS: 4519 Cascade Rd, Grand Rapids MI 49546, United States
DESCRIPTION: Soliciting Clients and Managing a Financial Services Practice.

End of Report

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