

BrokerCheck Report

DANIEL WILLIAM STAUDACHER

CRD# 2878221

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About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)

Thank you for using FINRA BrokerCheck.



DANIEL W. STAUDACHER

CRD# 2878221

Currently employed by and registered with the following Firm(s):

- B** **WORTH FINANCIAL GROUP INC.**
8312 Primrose Trail
Lantana, TX 76226
CRD# 13478
Registered with this firm since: 09/26/2017

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 9 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

- B** **PFS INVESTMENTS INC.**
CRD# 10111
DALLAS, TX
05/1997 - 09/2017

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	2
Customer Dispute	1
Judgment/Lien	6



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 9 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **WORTH FINANCIAL GROUP INC.**

Main Office Address: **16660 DALLAS PARKWAY
SUITE 2200
DALLAS, TX 75248**

Firm CRD#: **13478**

SRO	Category	Status	Date
B FINRA	Invest. Co and Variable Contracts	APPROVED	09/26/2017
B FINRA	Investment Co./Variable Contracts Prin	APPROVED	09/27/2017

U.S. State/ Territory	Category	Status	Date
B Arkansas	Agent	APPROVED	05/30/2019
B Colorado	Agent	APPROVED	02/16/2018
B Florida	Agent	APPROVED	08/22/2018
B Louisiana	Agent	APPROVED	11/13/2017
B Nebraska	Agent	APPROVED	06/02/2020
B Ohio	Agent	APPROVED	03/19/2019
B Oklahoma	Agent	APPROVED	03/13/2019
B Texas	Agent	APPROVED	10/23/2017
B Virginia	Agent	APPROVED	02/09/2018

Branch Office Locations

Broker Qualifications



Employment 1 of 1, continued

WORTH FINANCIAL GROUP INC.

8312 Primrose Trail
Lantana, TX 76226



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
B Investment Company Products/Variable Contracts Principal Examination	Series 26	05/15/2006

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B Investment Company Products/Variable Contracts Representative Examination	Series 6	05/06/1997

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	05/06/1997

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 05/1997 - 09/2017	PFS INVESTMENTS INC.	10111	DALLAS, TX

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
09/2017 - Present	Worth Financial Group Inc.	Registered Representative	Y	Dallas, TX, United States
04/2009 - 09/2017	PFS INVESTMENTS INC	SALES	Y	DALLAS, TX, United States
09/1996 - 09/2017	PRIMERICA FINANCIAL SERVICES	SALES	N	DALLAS, TX, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Daniel W. Staudacher
 Non-investment related
 8312 Primrose Trail Lantana, TX 76226
 Life, accident and health insurance marketing and sales
 Independent insurance agent
 Start date: 02/20/1997
 40 hours/month
 Duties: Marketing and sales of traditional life, accident and health insurance



Disclosure Events

What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - o A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - o A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
 - o
3. **Disclosure events in BrokerCheck reports come from different sources:**
 - o As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
 - o
4. **There are different statuses and dispositions for disclosure events:**
 - o A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - § A "pending" event involves allegations that have not been proven or formally adjudicated.
 - § An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - § A "final" event has been concluded and its resolution is not subject to change.
 - o A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - § An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - § A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - § A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Regulatory Event	0	2	0
Customer Dispute	0	1	N/A
Judgment/Lien	6	N/A	N/A



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Regulatory - Final

This type of disclosure event may involve (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulatory such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of a broker's authority to act as an attorney, accountant, or federal contractor.

Disclosure 1 of 2

Reporting Source: Regulator

Regulatory Action Initiated By: FINRA

Sanction(s) Sought:

Date Initiated: 09/05/2019

Docket/Case Number: [2017053574801](#)

Employing firm when activity occurred which led to the regulatory action: PFS Investments Inc.

Product Type: No Product

Allegations: Without admitting or denying the findings, Staudacher consented to the sanctions and to the entry of findings that he associated with his member firm while under a one-month, all capacities suspension from associating with any member firm pursuant to an AWC with FINRA. The findings stated that Staudacher associated with the firm while subject to a statutory disqualification that resulted from his FINRA suspension. The findings also stated that to accommodate his clients, Staudacher electronically affixed customers' signatures on new securities account applications by entering the last four digits of the customers' social security numbers on the applications.

Current Status: Final



Resolution: Acceptance, Waiver & Consent(AWC)

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 09/05/2019

Sanctions Ordered: Civil and Administrative Penalty(ies)/Fine(s)
Suspension

If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise? No

(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?



(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or

(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

Sanction 1 of 1

Sanction Type:	Suspension
Capacities Affected:	All Capacities
Duration:	two months
Start Date:	10/07/2019
End Date:	12/06/2019

**Monetary Sanction 1 of 1****Monetary Related Sanction:** Civil and Administrative Penalty(ies)/Fine(s)**Total Amount:** \$10,000.00**Portion Levied against individual:** \$10,000.00**Payment Plan:****Is Payment Plan Current:****Date Paid by individual:****Was any portion of penalty waived?** No**Amount Waived:****Reporting Source:** Broker**Regulatory Action Initiated By:** FINRA**Sanction(s) Sought:** Civil and Administrative Penalty(ies)/Fine(s)
Suspension**Date Initiated:** 09/05/2019**Docket/Case Number:** [2017053574801](#)**Employing firm when activity occurred which led to the regulatory action:** PFS Investments Inc.**Product Type:** No Product**Allegations:** Per FINRA, Mr. Staudacher violated Article III, Section 3(b) of FINRA's By-Laws and FINRA Rule 2010 by: submitting an investment application for a customer, reviewing and approving two 529 plan applications, submitting a Letter of Instruction and check from a customer, and facilitating redemption requests by two customers while suspended in February 2017; and electronically affixing customer signatures on four documents to accommodate his clients.**Current Status:** Final**Resolution:** Acceptance, Waiver & Consent(AWC)



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	09/05/2019
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s) Suspension
Sanction 1 of 1	
Sanction Type:	Suspension
Capacities Affected:	All Capacities
Duration:	two months
Start Date:	10/07/2019
End Date:	12/06/2019
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	\$10,000.00
Portion Levied against individual:	\$10,000.00
Payment Plan:	Installment Plan
Is Payment Plan Current:	Yes
Date Paid by individual:	
Was any portion of penalty waived?	No
Amount Waived:	
Broker Statement	Daniel Staudacher settled the matter with FINRA. In connection with the settlement, Mr. Staudacher neither admitted nor denied FINRA's findings.

Disclosure 2 of 2

Reporting Source: Regulator



Regulatory Action Initiated By:	FINRA
Sanction(s) Sought:	
Date Initiated:	01/12/2017
Docket/Case Number:	2016048603001
Employing firm when activity occurred which led to the regulatory action:	PFS Investments Inc.
Product Type:	Other: shares of a bond fund
Allegations:	Without admitting or denying the findings, Staudacher consented to the sanctions and to the entry of findings that he executed unauthorized transactions in two different customers' accounts. The findings stated that with respect to one of the customers, Staudacher did not contact her and obtain her authorization prior to executing any of the transactions in her account. With respect to the other customer, Staudacher did not contact her prior to executing the transactions in her accounts. After these customers complained, Staudacher's member firm reversed the transactions in their accounts and made them whole. Staudacher's firm imposed a total of \$10,000 in monetary sanctions on Staudacher as a result of his unauthorized trading in the customers' accounts. The findings also stated that Staudacher engaged in unapproved securities-related communications with the same two customers via text messaging, which violated his firm's written supervisory procedures (WSP). The firm did not capture, review or retain Staudacher's text message communications.
Current Status:	Final
Resolution:	Acceptance, Waiver & Consent(AWC)
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	01/12/2017
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s) Suspension



If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?

No

(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?

(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or



(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

Sanction 1 of 1

Sanction Type: Suspension
Capacities Affected: any capacity
Duration: one month
Start Date: 02/06/2017
End Date: 03/05/2017

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)
Total Amount: \$5,000.00
Portion Levied against individual: \$5,000.00
Payment Plan:
Is Payment Plan Current:
Date Paid by individual: 10/10/2017
Was any portion of penalty waived? No

Amount Waived:



Reporting Source:	Broker
Regulatory Action Initiated By:	FINRA
Sanction(s) Sought:	Civil and Administrative Penalty(ies)/Fine(s) Suspension
Date Initiated:	01/12/2017
Docket/Case Number:	2016048603001
Employing firm when activity occurred which led to the regulatory action:	PFS INVESTMENTS INC.
Product Type:	Other: SHARES OF A BOND FUND
Allegations:	WITHOUT ADMITTING OR DENYING THE FINDINGS, I CONSENTED TO SANCTIONS AND TO THE ENTRY OF FINDINGS THAT I EXECUTED UNAUTHORIZED TRANSACTIONS IN TWO DIFFERENT CUSTOMERS ACCOUNTS. MY FIRM REVERSED THE TRANSACTIONS IN THEIR ACCOUNTS AND MADE THEM WHOLE.
Current Status:	Final
Resolution:	Acceptance, Waiver & Consent(AWC)
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	01/12/2017
Sanctions Ordered:	Suspension
Sanction 1 of 1	
Sanction Type:	Suspension
Capacities Affected:	ANY CAPACITY
Duration:	ONE MONTH
Start Date:	02/06/2017
End Date:	03/05/2017



Monetary Sanction 1 of 1

Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	\$5,000.00
Portion Levied against individual:	\$5,000.00
Payment Plan:	\$1250.00 DOWN PAYMENT AND \$500 PER MONTH
Is Payment Plan Current:	Yes
Date Paid by individual:	10/17/2017
Was any portion of penalty waived?	No
Amount Waived:	
Broker Statement	Suspension and fine have been satisfied.



Customer Dispute - Settled

This type of disclosure event involves a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit containing allegations of sale practice violations against the broker that resulted in a monetary settlement to the customer.

Disclosure 1 of 1

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	PFS INVESTMENTS, INC.
Allegations:	CUSTOMER ALLEGES THAT UNAUTHORIZED TRANSACTIONS WERE MADE IN HER ACCOUNTS ON AUGUST 24, 2015.
Product Type:	Mutual Fund
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	NO DAMAGE AMOUNT IS ALLEGED. THE FIRM CANNOT MAKE A GOOD FAITH DETERMINATION THAT DAMAGES FROM THE ALLEGED CONDUCT WOULD BE LESS THAN \$5,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	12/15/2015
Complaint Pending?	No
Status:	Settled
Status Date:	01/15/2016
Settlement Amount:	\$6,605.33
Individual Contribution Amount:	\$3,910.42
Broker Statement	I HAD PRIOR DISCUSSION WITH THE CUSTOMER AND UNDERSTOOD THAT I WAS AUTHORIZED TO MAKE THE TRADES AT ISSUE.



Judgment / Lien

This type of disclosure event involves an unsatisfied and outstanding judgments or liens against the broker.

Disclosure 1 of 6

Reporting Source:	Broker
Judgment/Lien Holder:	Internal Revenue Service
Judgment/Lien Amount:	\$32,899.91
Judgment/Lien Type:	Tax
Date Filed with Court:	01/04/2018
Date Individual Learned:	01/10/2018
Type of Court:	County Court
Name of Court:	Denton County
Location of Court:	Denton TX
Judgment/Lien Outstanding?	Yes
Broker Statement	I filed form 12153 with the IRS to request the liens be removed because it would be in the best interest of both myself and the US Government, so that they would not hinder my ability to payoff the lien

Disclosure 2 of 6

Reporting Source:	Broker
Judgment/Lien Holder:	Internal Revenue Service
Judgment/Lien Amount:	\$5,638.56
Judgment/Lien Type:	Tax
Date Filed with Court:	01/04/2018
Date Individual Learned:	01/10/2018
Type of Court:	County Court
Name of Court:	Denton County
Location of Court:	Denton TX
Judgment/Lien Outstanding?	Yes
Broker Statement	I filed form 12153 with the IRS to request the liens be removed because it would be in the best interest of both myself and the US Government so it would no hinder



my ability to payoff the lien

Disclosure 3 of 6

Reporting Source:	Broker
Judgment/Lien Holder:	FEDERAL INTERNAL REVENUE SERVICE
Judgment/Lien Amount:	\$105,428.43
Judgment/Lien Type:	Tax
Date Filed with Court:	10/27/2015
Date Individual Learned:	11/27/2015
Type of Court:	State Court
Name of Court:	DENTON COUNTY COURT
Location of Court:	DENTON, TX
Docket/Case #:	2015-124547
Judgment/Lien Outstanding?	Yes
Broker Statement	TAX LIEN FILED FOR TAX YEARS 2011-2014

Disclosure 4 of 6

Reporting Source:	Broker
Judgment/Lien Holder:	IRS
Judgment/Lien Amount:	\$15,220.00
Judgment/Lien Type:	Tax
Date Filed with Court:	03/24/2014
Date Individual Learned:	08/11/2014
Type of Court:	N/A
Name of Court:	N/A
Location of Court:	N/A
Docket/Case #:	N/A
Judgment/Lien Outstanding?	Yes
Broker Statement	TAX YEAR 2010.



Disclosure 5 of 6

Reporting Source:	Broker
Judgment/Lien Holder:	INTERNAL REVENUE SERVICE-FTL
Judgment/Lien Amount:	\$186,000.00
Judgment/Lien Type:	Tax
Date Filed with Court:	02/01/2011
Type of Court:	Federal Court
Name of Court:	TARRANT COUNTY CLERK-FORT WORTH
Location of Court:	FORT WORTH, TX
Docket/Case #:	211026815
Judgment/Lien Outstanding?	Yes
Broker Statement	TAX YEARS 2004, 2005, 2006 & 2007. I ENTERED INTO AN INSTALLMENT AGREEMENT FOR INCOME TAXES, OF WHICH I PAY \$1075/MONTH DIRECTLY TO THE IRS. THE AMOUNT OWED IS UNDER DISPUTE, OF WHICH MOST OR ALL OF THE INTEREST AND PENALTIES MAY BE DISMISSED OF WHICH THIS COULD REDUCE THE AMOUNT BY AS MUCH AS 50%.

Disclosure 6 of 6

Reporting Source:	Broker
Judgment/Lien Holder:	INTERNAL REVENUE SERVICE-FTL
Judgment/Lien Amount:	\$35,430.00
Judgment/Lien Type:	Tax
Date Filed with Court:	08/01/2011
Type of Court:	Federal Court
Name of Court:	DENTON COUNTY CLERK-DENTON
Location of Court:	DENTON COUNTY, TX
Docket/Case #:	201100070925
Judgment/Lien Outstanding?	Yes
Broker Statement	FOR TAX YEARS 2008-09 THE AMOUNT OWED IS ALSO IN DISPUTE OF



WHICH MOST OF INTEREST AND PENALTIES MAY BE DISMISSED THIS AMOUNT OWED FOR THESE YEARS IS BEING PAID BACK AS PART OF THE INSTALLMENT AGREEMENT PREVIOUSLY MENTIONED FOR TAX YEARS 2004-07. THE TOTAL AMOUNT TO BE PAID IS \$1075.00/MONTH.

End of Report



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