

BrokerCheck Report

OMAR MELO

CRD# 2894879

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)

**OMAR MELO**

CRD# 2894879

Currently employed by and registered with the following Firm(s):

- B FINALIS SECURITIES LLC**
31852 VIA PUNTERO
SAN JUAN CAPISTRANO, CA 92675
CRD# 305908
Registered with this firm since: 07/04/2025

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 3 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 4 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

- B CREWE CAPITAL**
CRD# 152527
SALT LAKE CITY, UT
03/2023 - 07/2025
- B CREWE CAPITAL**
CRD# 152527
SALT LAKE CITY, UT
02/2020 - 03/2023
- B BA SECURITIES, LLC**
CRD# 153489
W. CONSHOHOCKEN, PA
05/2019 - 01/2020

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 3 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **FINALIS SECURITIES LLC**
 Main Office Address: **31852 VIA PUNTERO
 SAN JUAN CAPISTRANO, CA 92675**
 Firm CRD#: **305908**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	07/04/2025
B	FINRA	Investment Banking Representative	Approved	07/04/2025

	U.S. State/ Territory	Category	Status	Date
B	Florida	Agent	Approved	07/17/2025
B	Utah	Agent	Approved	07/04/2025
B	Wisconsin	Agent	Approved	08/12/2025

Branch Office Locations

FINALIS SECURITIES LLC
 31852 VIA PUNTERO
 SAN JUAN CAPISTRANO, CA 92675



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination	Series 7TO	09/19/2021
B Securities Industry Essentials Examination	SIE	05/10/2019
B Investment Banking Registered Representative Examination	Series 79TO	04/06/2019
B General Securities Representative Examination	Series 7	05/27/1997

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	11/26/2018

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 03/2023 - 07/2025	CREWE CAPITAL	152527	SALT LAKE CITY, UT
B 02/2020 - 03/2023	CREWE CAPITAL	152527	SALT LAKE CITY, UT
B 05/2019 - 01/2020	BA SECURITIES, LLC	153489	W. CONSHOHOCKEN, PA
B 10/1997 - 06/2001	BANC OF AMERICA SECURITIES LLC	26091	NEW YORK, NY
B 05/1997 - 10/1997	MONTGOMERY SECURITIES	4357	SAN FRANCISCO, CA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
07/2025 - Present	Finalis Securities LLC	Registered Representative	Y	New York, NY, United States
06/2024 - Present	Glendora Capital	Owner	Y	South Jordan, UT, United States
04/2024 - Present	Riskdefy	Owner	N	South Jordan, UT, United States
01/2020 - 07/2025	Crewe Capital, LLC	Registered Representative	Y	Salt Lake City, UT, United States
09/2018 - 01/2020	BA SECURITIES, LLC	REGISTERED REPRESENTATIVE	Y	W. CONSHOHOCKEN, PA, United States
08/2018 - 01/2020	Peak Technology Partners	Vice President	Y	South Jordan, UT, United States
04/2010 - 12/2018	TERABIT MEDIA	MANAGER	N	OREM, UT, United States
06/2017 - 09/2018	LEARN FOUNTAIN	FOUNDER & CEO	N	SANDY, UT, United States
06/2017 - 12/2017	HYBRIDYNE POWER SYSTEMS	CFO	N	OREM, UT, United States



Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
08/2016 - 05/2017	UNEMPLOYED	UNEMPLOYED	N	OREM, UT, United States
06/2014 - 07/2016	TITAN SOLAR	DIRECTOR OF FINANCE	N	VAN NUYS, CA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1.) Zero Point Capital, LLC; Investment Related; 9690 South 300 West, 3rd floor, Sandy, Utah, 84070; Investment Banking; Managing Partner; 07/02/2025; 160; 5; As founder and Managing Partner, I am responsible for overseeing all operations of the firm.
- 2.) Riskdefy; not investment related; 9690 South 300 West, 3rd floor, Sandy, UT, 84070; SaaS business that provides automation for merchant brokers.; Founder and CEO; 04/19/2024; 20; 5; Oversee all operations as the founder and CEO.
- 3.) Glendora Capital; Investment Related; 9690 South 300 West, 3rd floor, Sandy, UT, 84070; Glendora is an independent sponsor focused on acquiring controlling interests in privately held, lower middle-market companies. We invest our own capital and raise additional financing through a mix of private credit, bank lenders, and minority equity partners. In select situations, we may partner with private equity firms who assume the controlling stake, with Glendora retaining a minority position. All capital is sourced exclusively from institutional investors.; Managing Member; 01/02/2024; Oversee all business operations.
- 4.) The Sol Foundation; not investment related ; 2945 Bell Road #316, Auburn, California, 95603; Volunteer; 08/27/2024; 20; 10; Strategic Guidance: ? Attend and actively participate in development board meetings when invited and as needed (typically on a quarterly basis) to provide insight and advice on key issues. ? Contribute to the development and refinement of the Foundation's strategic plan. ? Assist in identifying new opportunities for growth and impact. 2. Fundraising and Development: ? Support fundraising efforts, including donor cultivation, grant applications, and special events. ? Leverage your network to identify and engage potential donors and partners. 3. Advocacy and Public Relations: ? Represent the Foundation at community events, conferences, and other public forums when specifically invited and authorized to do so. ? Advocate for the Foundation's mission and programs to enhance its visibility and reputation. 5. Development Board Expansion: ? Assist in the recruitment and mentoring of new advisory board members. ? Participate in ongoing advisory board training and development activities.

End of Report



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