

BrokerCheck Report

Timothy C Bouslog

CRD# 2937609

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 13
Registration and Employment History	15 - 16



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



Timothy C. Bouslog

CRD# 2937609

Currently employed by and registered with the following Firm(s):

IA J.P. MORGAN SECURITIES LLC
7301 BAYMEADOWS WAY
JACKSONVILLE, FL 32256
CRD# 79
Registered with this firm since: 10/01/2012

B J.P. MORGAN SECURITIES LLC
260 Front St
Ponte Vedra Beach, FL 32082
CRD# 79
Registered with this firm since: 10/01/2012

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 26 Self-Regulatory Organizations
- 53 U.S. states and territories

This broker has passed:

- 5 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 3 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- B CHASE INVESTMENT SERVICES CORP.**
CRD# 25574
NOBLESVILLE, IN
04/2010 - 10/2012
- IA CHASE INVESTMENT SERVICES CORP.**
CRD# 25574
NEW YORK, NY
04/2010 - 10/2012
- B PNC INVESTMENTS**
CRD# 129052
CARMEL, IN
11/2009 - 03/2010

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 26 SROs and is licensed in 53 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **J.P. MORGAN SECURITIES LLC**

Main Office Address: **383 MADISON AVENUE
NEW YORK, NY 10179**

Firm CRD#: **79**

	SRO	Category	Status	Date
B	BOX Exchange LLC	General Securities Principal	Approved	10/05/2012
B	BOX Exchange LLC	General Securities Representative	Approved	10/05/2012
B	BOX Exchange LLC	General Securities Sales Supervisor	Approved	12/22/2022
B	Cboe BYX Exchange, Inc.	General Securities Principal	Approved	10/26/2018
B	Cboe BYX Exchange, Inc.	General Securities Representative	Approved	10/26/2018
B	Cboe BZX Exchange, Inc.	General Securities Principal	Approved	10/26/2018
B	Cboe BZX Exchange, Inc.	General Securities Representative	Approved	10/26/2018
B	Cboe C2 Exchange, Inc.	General Securities Representative	Approved	10/05/2012
B	Cboe C2 Exchange, Inc.	General Securities Principal	Approved	08/02/2021
B	Cboe C2 Exchange, Inc.	General Securities Sales Supervisor	Approved	12/22/2022
B	Cboe EDGA Exchange, Inc.	General Securities Principal	Approved	10/26/2018
B	Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	10/26/2018
B	Cboe EDGA Exchange, Inc.	General Securities Sales Supervisor	Approved	12/22/2022
B	Cboe EDGX Exchange, Inc.	General Securities Principal	Approved	10/26/2018
B	Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	10/26/2018

Broker Qualifications



Employment 1 of 1, continued

SRO	Category	Status	Date
B Cboe EDGX Exchange, Inc.	General Securities Sales Supervisor	Approved	12/22/2022
B Cboe Exchange, Inc.	General Securities Representative	Approved	10/05/2012
B Cboe Exchange, Inc.	General Securities Principal	Approved	08/02/2021
B Cboe Exchange, Inc.	General Securities Sales Supervisor	Approved	12/22/2022
B FINRA	General Securities Principal	Approved	10/01/2012
B FINRA	General Securities Representative	Approved	10/01/2012
B FINRA	Municipal Securities Principal	Approved	10/01/2012
B FINRA	Municipal Securities Representative	Approved	07/08/2016
B FINRA	Investment Co./Variable Contracts Prin	Approved	10/26/2018
B FINRA	General Securities Sales Supervisor	Approved	12/22/2022
B Investors' Exchange LLC	General Securities Representative	Approved	08/30/2016
B Investors' Exchange LLC	General Securities Principal	Approved	08/31/2016
B Long-Term Stock Exchange, Inc.	General Securities Principal	Approved	04/27/2020
B Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	04/27/2020
B MEMX LLC	General Securities Principal	Approved	02/16/2021
B MEMX LLC	General Securities Representative	Approved	02/16/2021
B MEMX LLC	General Securities Sales Supervisor	Approved	12/22/2022
B MIAX Emerald, LLC	General Securities Principal	Approved	03/22/2019
B MIAX Emerald, LLC	General Securities Representative	Approved	03/22/2019
B MIAX Emerald, LLC	General Securities Sales Supervisor	Approved	12/22/2022
B MIAX PEARL, LLC	General Securities Principal	Approved	10/26/2018
B MIAX PEARL, LLC	General Securities Representative	Approved	10/26/2018

Broker Qualifications



Employment 1 of 1, continued

SRO	Category	Status	Date
B MIAX PEARL, LLC	General Securities Sales Supervisor	Approved	12/22/2022
B MIAX Sapphire	General Securities Principal	Approved	09/23/2024
B MIAX Sapphire	General Securities Representative	Approved	09/23/2024
B MIAX Sapphire	General Securities Sales Supervisor	Approved	09/23/2024
B Miami International Securities Exchange, LLC	General Securities Principal	Approved	10/26/2018
B Miami International Securities Exchange, LLC	General Securities Representative	Approved	10/26/2018
B Miami International Securities Exchange, LLC	General Securities Sales Supervisor	Approved	12/22/2022
B NYSE American LLC	General Securities Principal	Approved	10/05/2012
B NYSE American LLC	General Securities Representative	Approved	10/05/2012
B NYSE American LLC	Municipal Securities Principal	Approved	10/05/2012
B NYSE American LLC	Municipal Securities Representative	Approved	10/26/2018
B NYSE American LLC	General Securities Sales Supervisor	Approved	12/22/2022
B NYSE Arca, Inc.	General Securities Principal	Approved	10/05/2012
B NYSE Arca, Inc.	General Securities Representative	Approved	10/05/2012
B NYSE Arca, Inc.	General Securities Sales Supervisor	Approved	12/22/2022
B NYSE National, Inc.	General Securities Principal	Approved	05/18/2018
B NYSE National, Inc.	General Securities Representative	Approved	05/18/2018
B NYSE National, Inc.	Municipal Securities Principal	Approved	05/18/2018
B NYSE National, Inc.	Municipal Securities Representative	Approved	05/18/2018
B NYSE National, Inc.	General Securities Sales Supervisor	Approved	12/22/2022

Broker Qualifications



Employment 1 of 1, continued

SRO	Category	Status	Date
B NYSE Texas, Inc.	General Securities Principal	Approved	10/05/2012
B NYSE Texas, Inc.	General Securities Representative	Approved	10/05/2012
B NYSE Texas, Inc.	General Securities Sales Supervisor	Approved	12/22/2022
B Nasdaq BX, Inc.	General Securities Principal	Approved	10/05/2012
B Nasdaq BX, Inc.	General Securities Representative	Approved	10/05/2012
B Nasdaq BX, Inc.	General Securities Sales Supervisor	Approved	12/22/2022
B Nasdaq GEMX, LLC	General Securities Principal	Approved	08/06/2013
B Nasdaq GEMX, LLC	General Securities Representative	Approved	08/06/2013
B Nasdaq GEMX, LLC	General Securities Sales Supervisor	Approved	12/22/2022
B Nasdaq ISE, LLC	General Securities Principal	Approved	10/05/2012
B Nasdaq ISE, LLC	General Securities Representative	Approved	10/05/2012
B Nasdaq ISE, LLC	General Securities Sales Supervisor	Approved	12/22/2022
B Nasdaq MRX, LLC	General Securities Representative	Approved	03/24/2016
B Nasdaq MRX, LLC	General Securities Principal	Approved	04/05/2016
B Nasdaq MRX, LLC	General Securities Sales Supervisor	Approved	12/22/2022
B Nasdaq PHLX LLC	General Securities Principal	Approved	10/05/2012
B Nasdaq PHLX LLC	General Securities Representative	Approved	10/05/2012
B Nasdaq PHLX LLC	General Securities Sales Supervisor	Approved	12/22/2022
B Nasdaq Stock Market	General Securities Principal	Approved	10/05/2012
B Nasdaq Stock Market	General Securities Representative	Approved	10/05/2012
B Nasdaq Stock Market	General Securities Sales Supervisor	Approved	12/22/2022
B New York Stock Exchange	General Securities Principal	Approved	10/05/2012



Broker Qualifications

Employment 1 of 1, continued

SRO	Category	Status	Date
B New York Stock Exchange	General Securities Representative	Approved	10/05/2012
B New York Stock Exchange	Municipal Securities Principal	Approved	10/05/2012
B New York Stock Exchange	Municipal Securities Representative	Approved	10/26/2018
B New York Stock Exchange	General Securities Sales Supervisor	Approved	12/22/2022

U.S. State/ Territory	Category	Status	Date
B Alabama	Agent	Approved	05/02/2023
B Alaska	Agent	Approved	05/02/2023
B Arizona	Agent	Approved	05/02/2023
B Arkansas	Agent	Approved	10/01/2012
B California	Agent	Approved	11/26/2018
B Colorado	Agent	Approved	07/26/2019
B Connecticut	Agent	Approved	10/01/2012
B Delaware	Agent	Approved	05/02/2023
B District of Columbia	Agent	Approved	07/02/2018
B Florida	Agent	Approved	10/01/2012
IA Florida	Investment Adviser Representative	Approved	01/10/2014
B Georgia	Agent	Approved	10/01/2012
B Hawaii	Agent	Approved	05/02/2023
B Idaho	Agent	Approved	10/26/2018
B Illinois	Agent	Approved	10/01/2012
B Indiana	Agent	Approved	10/01/2012

Broker Qualifications



Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
IA	Indiana	Investment Adviser Representative	Approved	10/01/2012
B	Iowa	Agent	Approved	05/02/2023
B	Kansas	Agent	Approved	08/13/2021
B	Kentucky	Agent	Approved	10/01/2012
B	Louisiana	Agent	Approved	10/01/2012
B	Maine	Agent	Approved	05/02/2023
B	Maryland	Agent	Approved	05/02/2023
B	Massachusetts	Agent	Approved	10/01/2012
B	Michigan	Agent	Approved	10/01/2012
B	Minnesota	Agent	Approved	05/02/2023
B	Mississippi	Agent	Approved	05/02/2023
B	Missouri	Agent	Approved	12/22/2021
B	Montana	Agent	Approved	05/02/2023
B	Nebraska	Agent	Approved	05/02/2023
B	Nevada	Agent	Approved	06/14/2019
B	New Hampshire	Agent	Approved	05/02/2023
B	New Jersey	Agent	Approved	08/01/2014
B	New Mexico	Agent	Approved	06/30/2015
B	New York	Agent	Approved	08/01/2014
B	North Carolina	Agent	Approved	10/01/2012
B	North Dakota	Agent	Approved	05/02/2023

Broker Qualifications



Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Ohio	Agent	Approved	10/01/2012
B	Oklahoma	Agent	Approved	05/02/2023
B	Oregon	Agent	Approved	05/02/2023
B	Pennsylvania	Agent	Approved	03/11/2016
B	Puerto Rico	Agent	Approved	05/02/2023
B	Rhode Island	Agent	Approved	05/02/2023
B	South Carolina	Agent	Approved	05/02/2023
B	South Dakota	Agent	Approved	05/02/2023
B	Tennessee	Agent	Approved	05/02/2023
B	Texas	Agent	Approved	10/01/2012
IA	Texas	Investment Adviser Representative	Restricted Approval	09/20/2013
B	Utah	Agent	Approved	05/02/2023
B	Vermont	Agent	Approved	05/02/2023
B	Virgin Islands	Agent	Approved	05/02/2023
B	Virginia	Agent	Approved	10/01/2012
B	Washington	Agent	Approved	03/06/2018
B	West Virginia	Agent	Approved	05/02/2023
B	Wisconsin	Agent	Approved	05/02/2023
B	Wyoming	Agent	Approved	05/02/2023

Branch Office Locations



Broker Qualifications

Employment 1 of 1, continued

J.P. MORGAN SECURITIES LLC

260 Front St
Ponte Vedra Beach, FL 32082

J.P. MORGAN SECURITIES LLC

7301 BAYMEADOWS WAY
JACKSONVILLE, FL 32256

J.P. MORGAN SECURITIES LLC

370 VILLAGE OAKS DR
ST JOHNS, FL 32259

J.P. MORGAN SECURITIES LLC

13520 BEACH BLVD
JACKSONVILLE, FL 32224

J.P. MORGAN SECURITIES LLC

4990 TOWN CENTER PKWY
JACKSONVILLE, FL 32246

J.P. MORGAN SECURITIES LLC

190 US HWY A1A N
PONTE VEDRA BEACH, FL 32082

J.P. MORGAN SECURITIES LLC

4495 Roosevelt Blvd, Ste 407
Jacksonville, FL 32210

J.P. MORGAN SECURITIES LLC

13245 Atlantic Blvd
Jacksonville, FL 32225

J.P. MORGAN SECURITIES LLC

1515 Atlantic Blvd
Jacksonville, FL 32207

J.P. MORGAN SECURITIES LLC

11389 San Jose Blvd
Jacksonville, FL 32223

J.P. MORGAN SECURITIES LLC

2293 Kingsley Ave
ORANGE PARK, FL 32073

J.P. MORGAN SECURITIES LLC

650 Atlantic Blvd



Broker Qualifications

Employment 1 of 1, continued

Neptune Beach, FL 32266

J.P. MORGAN SECURITIES LLC

1516 CR 220

Fleming Island, FL 32003

J.P. MORGAN SECURITIES LLC

13920 Old St Augustine Rd, Unit 1

Jacksonville, FL 32258

J.P. MORGAN SECURITIES LLC

1140 Dunn Ave

Jacksonville, FL 32218

J.P. MORGAN SECURITIES LLC

187 Capital Green Dr

Ponte Vedra, FL 32081-0584

J.P. MORGAN SECURITIES LLC

214 Palm Coast Pkwy NE

Palm Coast, FL 32137

J.P. MORGAN SECURITIES LLC

81 King St

St Augustine, FL 32084

J.P. MORGAN SECURITIES LLC

5464 NW 43rd ST

GAINESVILLE, FL 32653

J.P. MORGAN SECURITIES LLC

1340 W University Ave Ste20 FL1

Gainesville, FL 32603

J.P. MORGAN SECURITIES LLC

426 Durbin Pavilion

Saint Johns, FL 32259

J.P. MORGAN SECURITIES LLC

6900 W Newberry Rd

Gainsville, FL 32605

J.P. MORGAN SECURITIES LLC

5885 SW 75th St

Gainesville, FL 32608



Broker Qualifications

Employment 1 of 1, continued

J.P. MORGAN SECURITIES LLC

699 W Gaines St, Ste 105
Tallahassee, FL 32304

J.P. MORGAN SECURITIES LLC

2916 SW 35th Dr
Gainesville, FL 32608

J.P. MORGAN SECURITIES LLC

3528 Thomasville Rd
Tallahassee, FL 32309

J.P. MORGAN SECURITIES LLC

35 Meeting St
Savannah, GA 31411

J.P. MORGAN SECURITIES LLC

201 W Broughton St, Ste 100
Savannah, GA 31401

J.P. MORGAN SECURITIES LLC

7730 Abercorn St
Savannah, GA 31406

J.P. MORGAN SECURITIES LLC

112 W Adams St
Ste 101
Jacksonville, FL 32202

J.P. MORGAN SECURITIES LLC

325 Commerce Plaza Blvd
Saint Augustine, FL 32092

J.P. MORGAN SECURITIES LLC

7301 Baymeadows Way
Jacksonville, FL 32256

J.P. MORGAN SECURITIES LLC

463855 State Road 200
Yulee, FL 32097

J.P. MORGAN SECURITIES LLC

100 State Road 312 W
St Augustine, FL 32086



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 5 principal/supervisory exams, 3 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Sales Supervisor - General Module Examination	Series 10	01/02/2023
B General Securities Sales Supervisor - Options Module Examination	Series 9	11/12/2022
B Municipal Securities Principal Examination	Series 53	09/24/2010
B General Securities Principal Examination	Series 24	04/22/2010
B Investment Company Products/Variable Contracts Principal Examination	Series 26	01/03/2008

General Industry/Product Exams

Exam	Category	Date
B Municipal Securities Representative Examination	Series 52TO	01/02/2023
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	02/26/1998

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	12/13/2006
B IA Uniform Combined State Law Examination	Series 66	06/11/2004
B Uniform Securities Agent State Law Examination	Series 63	03/16/1998

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 04/2010 - 10/2012	CHASE INVESTMENT SERVICES CORP.	25574	NOBLESVILLE, IN
IA 04/2010 - 10/2012	CHASE INVESTMENT SERVICES CORP.	25574	NOBLESVILLE, IN
B 11/2009 - 03/2010	PNC INVESTMENTS	129052	CARMEL, IN
IA 11/2009 - 03/2010	PNC INVESTMENTS	129052	CARMEL, IN
IA 12/2006 - 11/2009	NATCITY INVESTMENTS, INC.	17490	NOBLESVILLE, IN
B 04/2005 - 11/2009	NATCITY INVESTMENTS, INC.	17490	NOBLESVILLE, IN
IA 06/2004 - 07/2004	CHARLES SCHWAB & CO., INC.	5393	INDIANAPOLIS, IN
B 01/2004 - 07/2004	CHARLES SCHWAB & CO., INC.	5393	WESTLAKE, TX
B 12/2001 - 01/2004	BANC ONE SECURITIES CORPORATION	16999	CHICAGO, IL
B 03/1998 - 12/2001	CHARLES SCHWAB & CO., INC.	5393	WESTLAKE, TX

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
10/2012 - Present	J.P. MORGAN SECURITIES LLC	REGISTERED REPRESENTATIVE	Y	Jacksonville, FL, United States
12/2017 - 01/2024	TAYNESSA INC	PARTIAL OWNER	N	JACKSONVILLE, FL, United States

Registration and Employment History



Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

No information reported.

End of Report



This page is intentionally left blank.