

## BrokerCheck Report

**ROBERT MICHAEL KUHN**

CRD# 2971886

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**ROBERT M. KUHN**

CRD# 2971886

This broker is not currently registered.

## Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

### Broker Qualifications

**This broker is not currently registered.**

#### This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 3 State Securities Law Exams

### Registration History

**This broker was previously registered with the following securities firm(s):**

- B UBS FINANCIAL SERVICES INC.**  
CRD# 8174  
PLANO, TX  
09/2011 - 11/2015
- B LPL FINANCIAL LLC**  
CRD# 6413  
MCKINNEY, TX  
05/2009 - 09/2011
- B DIRECTED SERVICES LLC**  
CRD# 21675  
WEST CHESTER, PA  
01/2007 - 04/2009

### Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

**The following types of disclosures have been reported:**

Type	Count
Regulatory Event	1
Customer Dispute	2
Termination	1
Financial	1
Judgment/Lien	1

### Investment Adviser Representative Information

The information below represents the individual's record as a broker. For details on this individual's record as an investment adviser representative, visit the SEC's Investment Adviser Public Disclosure website at

<https://www.adviserinfo.sec.gov>

## Broker Qualifications



### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.



## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 3 state securities law exams.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	11/16/2015
<b>B</b> General Securities Representative Examination	Series 7	03/05/1998

### State Securities Law Exams

Exam	Category	Date
<b>IA</b> Uniform Investment Adviser Law Examination	Series 65	06/24/2009
<b>B IA</b> Uniform Combined State Law Examination	Series 66	08/07/2000
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	03/28/1998

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>B</b> 09/2011 - 11/2015	UBS FINANCIAL SERVICES INC.	8174	PLANO, TX
<b>B</b> 05/2009 - 09/2011	LPL FINANCIAL LLC	6413	MCKINNEY, TX
<b>B</b> 01/2007 - 04/2009	DIRECTED SERVICES LLC	21675	WEST CHESTER, PA
<b>B</b> 04/2006 - 01/2007	NATIONWIDE INVESTMENT SERVICES CORPORATION	7110	COLUMBUS, OH
<b>B</b> 10/2005 - 04/2006	CAPITAL BROKERAGE CORPORATION	10465	GLEN ALLEN, VA
<b>B</b> 10/2003 - 09/2005	NATIONWIDE INVESTMENT SERVICES CORPORATION	7110	COLUMBUS, OH
<b>B</b> 05/2003 - 08/2003	A. G. EDWARDS & SONS, INC.	4	ST. LOUIS, MO
<b>B</b> 01/2003 - 05/2003	EDWARD JONES	250	ST. LOUIS, MO
<b>B</b> 08/2002 - 12/2002	CHARLES SCHWAB & CO., INC.	5393	WESTLAKE, TX
<b>B</b> 07/2000 - 07/2002	UBS PAINEWEBBER INC.	8174	WEEHAWKEN, NJ
<b>B</b> 04/1999 - 09/1999	DEAN WITTER REYNOLDS INC.	7556	PURCHASE, NY
<b>B</b> 02/1999 - 04/1999	EISNER SECURITIES, INC.	40585	ST. LOUIS, MO
<b>B</b> 03/1998 - 03/1999	DEAN WITTER REYNOLDS INC.	7556	PURCHASE, NY

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
09/2024 - Present	Bison Natural Resources	CEO & President	Y	Naperville, IL, United States



## Registration and Employment History

### Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
11/2023 - Present	KCP Energy Fund LP & KCP Energy Fund GP LLC	CEO & President	Y	Naperville, IL, United States
10/2022 - Present	KCP Opportunity Fund LP & KCP Opportunity Fund GP LLC	OWNER & MANAGER	Y	GENEVA, IL, United States
05/2016 - Present	KUHN CAPITAL PARTNERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	PLANO, TX, United States
11/2015 - Present	Independent Insurance Agent	INSURANCE AGENT	Y	PLANO, TX, United States
08/2018 - 10/2021	EPUS GLOBAL ENERGY, LLC	MARKETING ASSOCIATE	Y	PLANO, TX, United States
09/2011 - 11/2015	UBS FINANCIAL SERVICES INC	INVESTMENT ADVISOR REPRESENTATIVE/REGISTERED REPRESENTATIVE	Y	ADDISON, TX, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

INDEPENDENT INSURANCE AGENT; INVESTMENT RELATED; PLANO, TX; INSURANCE SALES; INSURANCE AGENT; START DATE 11/2015; APPROX 30% OF TIME

BISON NATURAL RESOURCES; INVESTMENT RELATED; 2020 CALAMOS COURT, SUITE 200, NAPERVILLE, IL 60563, USA; OWNER OF INVESTMENT RELATED BUSINESSES WITH INTENTION OF LAUNCHING ADDITIONAL INVESTMENT VEHICLES FOR QUALIFIED CLIENTS; CEO AND PRESIDENT RESPONSIBLE FOR DAY TO DAY MANAGEMENT AND ALL INVESTMENT RELATED DECISIONS, 2024-09-01; HOURS PER MONTH DEVOTED TO BUSINESS DURING TRADING HOURS: 4; HOURS PER MONTH DEVOTED TO BUSINESS OUTSIDE TRADING HOURS: 5

KCP ENERGY FUND LP & KCP ENERGY FUND GP LLC; AND KCP OPPORTUNITY FUND LP & KCP OPPORTUNITY FUND GP LLC; OWNER OF THE GP LLC AND MANAGER OF THE HEDGE FUND; INVESTMENT RELATED: YES; 2020 CALAMOS COURT, SUITE 200, NAPERVILLE, IL 60563, USA; OWNER AND PORTFOLIO MANAGER RESPONSIBLE FOR MAKING THE DAY TO DAY INVESTMENT DECISIONS FOR PORTFOLIOS TAILORED TO QUALIFIED CLIENTS; LAUNCHED 2023-11-01 AND 2022-10-01 RESPECTIVELY; HOURS PER MONTH DEVOTED TO BUSINESS DURING TRADING HOURS: 4; HOURS PER MONTH DEVOTED TO BUSINESS OUTSIDE TRADING HOURS: 5



## Disclosure Events



### What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
  - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
  - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
  -
3. **Disclosure events in BrokerCheck reports come from different sources:**
  - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
  - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
    - A "pending" event involves allegations that have not been proven or formally adjudicated.
    - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" event has been concluded and its resolution is not subject to change.
  - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Regulatory Event	0	1	0
Customer Dispute	0	2	N/A
Termination	N/A	1	N/A
Financial	0	1	N/A
Judgment/Lien	1	N/A	N/A





## Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

### Regulatory - Final

This type of disclosure event may involve (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulatory such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of a broker's authority to act as an attorney, accountant, or federal contractor.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Regulator
<b>Regulatory Action Initiated By:</b>	Texas
<b>Sanction(s) Sought:</b>	Civil and Administrative Penalty(ies)/Fine(s) Suspension
<b>Date Initiated:</b>	05/23/2016
<b>Docket/Case Number:</b>	REG16-SUS-01
<b>URL for Regulatory Action:</b>	<a href="https://www.ssb.texas.gov/sites/default/files/files/news/Order%20No.%20REG16-SUS-01_1.pdf">https://www.ssb.texas.gov/sites/default/files/files/news/Order%20No.%20REG16-SUS-01_1.pdf</a>
<b>Employing firm when activity occurred which led to the regulatory action:</b>	UBS Financial Services, Inc.
<b>Product Type:</b>	No Product
<b>Allegations:</b>	Robert Kuhn received approval from his firm to invest in a private company. However, Kuhn also personally guaranteed multiple lines of credit on behalf of the company, which was not told to UBS. The company ultimately failed to make payments on at least four lines of credit. As a result, certain lenders filed liens against Kuhn. Sections 115.9 and 116.9 of the Rules and Regulations of the Texas State Securities Board require registrants to update within thirty days certain information that has previously been disclosed to the Securities Commissioner. Kuhn had



previously indicated on his Form U4 that he had no unsatisfied judgments or liens against him. After receiving notice of the liens, Kuhn failed to disclose the liens on his Form U4 within thirty days.

As a result of the aforementioned conduct, Kuhn violated §115.9 and 116.9 of the Board Rules. These violations provide a basis for the assessment of an administrative fine of \$5,000 and a thirty day suspension. Kuhn consented to the entry of the Order. Kuhn's registration was granted on August 23, 2016, on which day the suspension also began.

<b>Current Status:</b>	Final
<b>Resolution:</b>	Consent
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	No
<b>Resolution Date:</b>	05/23/2016
<b>Sanctions Ordered:</b>	Civil and Administrative Penalty(ies)/Fine(s) Suspension
<b>Sanction 1 of 1</b>	
<b>Sanction Type:</b>	Suspension
<b>Capacities Affected:</b>	Investment Adviser Representative
<b>Duration:</b>	30 Days
<b>Start Date:</b>	05/23/2016
<b>End Date:</b>	06/21/2016
<b>Monetary Sanction 1 of 1</b>	
<b>Monetary Related Sanction:</b>	Civil and Administrative Penalty(ies)/Fine(s)
<b>Total Amount:</b>	\$5,000.00
<b>Portion Levied against individual:</b>	\$5,000.00
<b>Payment Plan:</b>	
<b>Is Payment Plan Current:</b>	
<b>Date Paid by individual:</b>	05/23/2016



**Was any portion of penalty waived?** No

**Amount Waived:**



## Customer Dispute - Settled

This type of disclosure event involves (1) a consumer-initiated, investment-related arbitration or civil suit containing allegations of sales practice violations against the individual broker that was dismissed, withdrawn, or denied; or (2) a consumer-initiated, investment-related written complaint

### Disclosure 1 of 1

<b>Reporting Source:</b>	Firm
<b>Employing firm when activities occurred which led to the complaint:</b>	UBS Financial Services, Inc.
<b>Allegations:</b>	Time frame: April 2015 - August 2016 Allegations: Customer alleges that his financial advisors failed to timely roll over \$95,000 in American Airlines post-bankruptcy benefit assets into his IRA account.
<b>Product Type:</b>	Other: Equities
<b>Alleged Damages:</b>	\$34,390.81
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	Yes
<b>Arbitration/Reparation forum or court name and location:</b>	FINRA Arbitration
<b>Docket/Case #:</b>	19-00414
<b>Filing date of arbitration/CFTC reparation or civil litigation:</b>	02/06/2019

### Customer Complaint Information

<b>Date Complaint Received:</b>	02/06/2019
<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled
<b>Status Date:</b>	05/31/2019
<b>Settlement Amount:</b>	\$15,990.81
<b>Individual Contribution Amount:</b>	\$0.00



## Customer Dispute - Closed-No Action / Withdrawn / Dismissed / Denied

This type of disclosure event involves (1) a consumer-initiated, investment-related arbitration or civil suit containing allegations of sales practice violations against the individual broker that was dismissed, withdrawn, or denied; or (2) a consumer-initiated, investment-related written complaint containing allegations that the broker engaged in sales practice violations resulting in compensatory damages of at least \$5,000, forgery, theft, or misappropriation, or conversion of funds or securities, which was closed without action, withdrawn, or denied.

### Disclosure 1 of 1

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:** UBS FINANCIAL SERVICES INC

**Allegations:** Time Frame: May 5, 2014 to February 19, 2016  
The client alleges his annuity was misrepresented as they were lead to believe that their principle was protected against market loss and could never go down. The client further alleges this was an unsuitable investment.

**Product Type:** Other: variable annuities

**Alleged Damages:** \$100,000.00

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** No

### Customer Complaint Information

**Date Complaint Received:** 03/10/2016

**Complaint Pending?** No

**Status:** Denied

**Status Date:** 09/29/2016

**Settlement Amount:**

**Individual Contribution Amount:**

**Reporting Source:** Broker

**Employing firm when activities occurred which led to the complaint:** UBS Financial Services



**Allegations:** Client Alleges that the annuity they purchased was misrepresented and an unsuitable investment.

**Product Type:** Annuity-Variable

**Alleged Damages:** \$100,000.00

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** No

### Customer Complaint Information

**Date Complaint Received:** 03/10/2016

**Complaint Pending?** No

**Status:** Denied

**Status Date:** 05/06/2018

**Settlement Amount:**

**Individual Contribution Amount:**

### Arbitration Information

**Disposition:** Denied

**Disposition Date:** 05/01/2017

**Broker Statement** This client was a client of one of the Financial Advisors on our team. I had very little interaction with the client. This allegation was denied by UBS and Prudential.





## Employment Separation After Allegations

This type of disclosure event involves a situation where the broker voluntarily resigned, was discharged, or was permitted to resign after being accused of (1) violating investment-related statutes, regulations, rules or industry standards of conduct; (2) fraud or the wrongful taking of property; or (3) failure to supervise in connection with investment-related statutes, regulations, rules, or industry standards of conduct.

### Disclosure 1 of 1

**Reporting Source:** Firm

**Employer Name:** UBS FINANCIAL SERVICES INC.(

**Termination Type:** Voluntary Resignation

**Termination Date:** 10/20/2015

**Allegations:** Mr. Kuhn voluntarily resigned after concerns were raised that he may have failed to make required disclosures concerning client complaints, unsatisfied judgments entered against him, and his role in an outside business.

**Product Type:** No Product

**Reporting Source:** Broker

**Employer Name:** UBS Financial Services, Inc.

**Termination Type:** Voluntary Resignation

**Termination Date:** 10/20/2015

**Allegations:** Mr. Kuhn voluntarily resigned after allegations were raised that he may have failed to make required disclosures concerning client complaints, unsatisfied judgement entered against him and his role in an outside business.

**Product Type:** No Product

**Broker Statement**

In 18 years acting in various capacities in the financial services business, I have never had a verbal or written complaint. In 2013, I partnered with a first year financial advisor. The complaints that we received were service related and due to my junior partner. Not a single service related complaint rose to the level of needing to be handled outside of the local branch. In January 2015, given the several service complaints that we received, I voluntarily helped in the process of an internal review of our business. I was told not to share any of the review with my junior partner during the process, it was later brought to my attention that I was lied to and they did not include him in the review. I feel this was because his father is a big producer for the complex. During the time of the review it became evident that I was being singled out for actions of a junior partner and during the review it was impossible to do business and provide for my family due to the hostile work environment that was created by management. It was during the business review I was told I did not receive proper approval for an outside business activity which I



vehemently disagree with. The outside business activity was disclosed on our annual compliance review for three years and not once was I questioned. I do believe that I was discriminated against and decided that resignation was the only option.



## Financial - Final

This type of disclosure event involves a bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation involving the broker or an organization/brokerage firm the broker controlled that occurred within the last 10 years.

### Disclosure 1 of 1

**Reporting Source:** Broker

**Action Type:** Compromise

**Action Date:** 10/07/2020

**Organization Investment-Related?**

**Action Pending?** No

**Disposition:** Satisfied/Released

**Disposition Date:** 10/07/2020

**If a compromise with creditor, provide:**

**Name of Creditor:** NextGear Capital, Inc.

**Original Amount Owed:** \$64,949.36

**Terms Reached with Creditor:** The matter was settled by a release agreement dated 10/07/2020 which accepted a full payment of \$4553.00.

**Amount Paid:**

**SIPA (Securities Investor Protection Act) Trustee:**

**Currently Open?** No

**Date Direct Payment Initiated/Filed or Trustee Appointed:**

**Broker Statement**

I was the sole investor both financial and lines of credit in Auto Sales of North Texas. I was a silent partner and had no day to day interaction with the management of the company. I invested a significant amount of money and had personally guaranteed several lines of credit with just my signature due to my credit worthiness. The manager of the company over time defrauded me out of all of my initial investment as well as maxing out several lines of credit totaling \$300,000. The disclosures are the last few lines of credit yet to be satisfied. I have been advised by console to not satisfy the judgments until all legal options to



recoup any and all losses are exhausted.

The matter was settle by a compromise settlement agreement which released the lien for a payment of \$4,553.00



## Judgment / Lien

This type of disclosure event involves an unsatisfied and outstanding judgments or liens against the broker.

### Disclosure 1 of 1

<b>Reporting Source:</b>	Broker
<b>Judgment/Lien Holder:</b>	TD BANK USA, N.A
<b>Judgment/Lien Amount:</b>	\$16,618.20
<b>Judgment/Lien Type:</b>	Civil
<b>Date Filed with Court:</b>	09/15/2014
<b>Date Individual Learned:</b>	09/15/2014
<b>Type of Court:</b>	State Court
<b>Name of Court:</b>	COUNTY COURT AT LAW NO 5.
<b>Location of Court:</b>	COLLIN COUNTY, TX
<b>Docket/Case #:</b>	005-01188-2014
<b>Judgment/Lien Outstanding?</b>	Yes

### Broker Statement

I was the sole investor both financial and lines of credit in Auto Sales of North Texas. I was a silent partner and had no day to day interaction with the management of the company. I invested a significant amount of money and had personally guaranteed several lines of credit with just my signature due to my credit worthiness. The manager of the company over time defrauded me out of all of my initial investment as well as maxing out several lines of credit totaling \$300,000. The disclosures are the last few lines of credit yet to be satisfied. I have been advised by console to not satisfy the judgments until all legal options to recoup any and all losses are exhausted.

## End of Report



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