

BrokerCheck Report

MICHELLE ANNETTE YOUNG

CRD# 3077583

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Please be aware that fraudsters may link to BrokerCheck from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.

For more information read our [investor alert](#) on imposters.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)

MICHELLE A. YOUNG

CRD# 3077583

Currently employed by and registered with the following Firm(s):

- B AMERIPRISE FINANCIAL SERVICES, LLC**
2407 109th Ave NE Ste 210
Minneapolis, MN 55449
CRD# 6363
Registered with this firm since: 09/10/1999
- IA AMERIPRISE FINANCIAL SERVICES, LLC**
7601 FRANCE AVE S
EDINA, MN 55435
CRD# 6363
Registered with this firm since: 08/20/2013

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 29 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):**

- B IDS LIFE INSURANCE COMPANY**
CRD# 6321
MINNEAPOLIS, MN
09/1999 - 07/2006
- B EQ FINANCIAL CONSULTANTS, INC.**
CRD# 6627
NEW YORK, NY
09/1999 - 09/1999
- B THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES**
CRD# 4039
NEW YORK, NY
09/1999 - 09/1999

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 29 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **AMERIPRISE FINANCIAL SERVICES, LLC**

Main Office Address: **707 2ND AVENUE SOUTH
MINNEAPOLIS, MN 55402**

Firm CRD#: **6363**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	09/10/1999

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	02/07/2005
B	Arkansas	Agent	Approved	01/03/2023
B	California	Agent	Approved	07/02/2002
B	Colorado	Agent	Approved	04/06/2006
B	Delaware	Agent	Approved	06/23/2015
B	District of Columbia	Agent	Approved	05/03/2021
B	Florida	Agent	Approved	10/06/2010
B	Georgia	Agent	Approved	10/28/2019
B	Illinois	Agent	Approved	06/22/2009
B	Indiana	Agent	Approved	07/23/2018
B	Iowa	Agent	Approved	06/19/2009
B	Kansas	Agent	Approved	08/22/2011



Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Kentucky	Agent	Approved	11/22/2023
B	Louisiana	Agent	Approved	07/21/2022
B	Maryland	Agent	Approved	05/18/2004
B	Massachusetts	Agent	Approved	11/29/2005
B	Michigan	Agent	Approved	06/24/2002
B	Minnesota	Agent	Approved	09/10/1999
IA	Minnesota	Investment Adviser Representative	Approved	11/18/2013
B	Nebraska	Agent	Approved	07/24/2023
B	North Carolina	Agent	Approved	11/05/2012
B	North Dakota	Agent	Approved	09/27/2022
B	Ohio	Agent	Approved	01/31/2020
B	Rhode Island	Agent	Approved	07/09/2020
B	South Carolina	Agent	Approved	08/08/2013
B	South Dakota	Agent	Approved	10/28/2019
B	Tennessee	Agent	Approved	04/02/2019
B	Texas	Agent	Approved	08/08/2013
IA	Texas	Investment Adviser Representative	Restricted Approval	08/20/2013
B	Utah	Agent	Approved	07/19/2021
B	Wisconsin	Agent	Approved	08/06/2001

Branch Office Locations

Broker Qualifications



Employment 1 of 1, continued

AMERIPRISE FINANCIAL SERVICES, LLC

2407 109th Ave NE Ste 210
Minneapolis, MN 55449

AMERIPRISE FINANCIAL SERVICES, LLC

7601 FRANCE AVE S
STE 600
EDINA, MN 55435-5971



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	09/09/1999

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	11/08/2002
B Uniform Securities Agent State Law Examination	Series 63	07/23/1999

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner

Chartered Financial Consultant

This representative holds or did hold **2** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 09/1999 - 07/2006	IDS LIFE INSURANCE COMPANY	6321	MINNEAPOLIS, MN
B 09/1999 - 09/1999	EQ FINANCIAL CONSULTANTS, INC.	6627	NEW YORK, NY
B 09/1999 - 09/1999	THE EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES	4039	NEW YORK, NY

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
03/2020 - Present	Ameriprise Financial Services, LLC	Registered Rep	Y	Edina, MN, United States
09/2005 - 03/2020	Ameriprise Financial Services, Inc.	Registered Rep	Y	Edina, MN, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Business Ownership; Other; Other; 371 Water Street, , Excelsior, MN, 55331; Investment-Related; 07/30/2021; 1 to 9 hours per month; 0 during trading hours / Other; Other; 7601 France Avenue South, , Edina, MN, 55435; Not Investment-Related; 03/09/2020; 1 to 9 hours per month; 1 to 9 during trading hours / Other; Other; 7601 France Avenue South Suite 600, , Edina, MN, 55435; Not Investment-Related; 03/01/2016; 60 hours per month; 60 during trading hours / Other; Other; 2407 109th Ave NE, , Blaine, MN, 55449; Not Investment-Related; 01/20/2020; 10 to 19 hours per month; 10 to 19 during trading hours. Outside Employment; Outside Employment; May, Inc; Other; 7601 France Avenue South, Suite 600, , Edina, MN, 55435; Not Investment-Related; 03/01/2016; 60 during trading hours; 60 hours per month. Other Business Activities; TPC Rose Committee; TPC ROSE is a group of highly motivated, caring TPC Twin Cities women members who share a commitment to make a difference in our community. We organize and host public fundraisers to benefit local charities. We also serve as volunteers for other charitable events hosted by TPC Twin Cities; TPC ROSE - ROSE Giving, Inc. 11444 Tournament Players Parkway Bl, , ** not provided **, N/A, 00000; 03/15/2013; / Children's Legacy Advisor; Childrens Legacy Advisors share Childrens Foundations commitment to champion the special health needs of children and their families through high-quality, family-centered care. Legacy and estate planning give donors an opportunity to invest in the long-term vision to become the essential partner for future generations. Childrens Legacy Advisors provide an important resource by partnering with

Registration and Employment History



Other Business Activities, continued

Childrens Foundation to advance philanthropy by educating the current donors and the public on tax-wise and values-based estate planning.; 2525 Chicago Ave S Minneapolis, MN 55404, , ** not provided **, N/A, 00000; 05/12/2015; 1 to 9 during trading hours; 1 to 9 hours per month / Ronald McDonald House Charities; Investment Committee member- The members are volunteers versed in investment management and who will objectively advise the organization on investment matters. Members must be independent of the actual management of the funds or institutions who manage the funds. The Investment Committee will meet at least semiannually and will advise the Finance Committee and Board of Directors on the RMHC-UM Investment Policy Statement, investment policies and guidelines, management of investment funds, fund managers and advisors and investment performance objectives.; 818 Fulton St SE Minneapolis, MN 55414, , ** not provided **, N/A, 00000; 04/29/2015; 1 to 9 during trading hours; 1 to 9 hours per month / Filming; Film entity TV show. One season series on Netflix or Amazon Prime that follows us restoring the building and renting it out. 10 episodes.; 371 Waters Street, , Excelsior, MN, 55331; Investment-Related; 07/07/2021; 1 to 9 during trading hours; 1 to 9 hours per month.

End of Report



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