

## BrokerCheck Report

**Ryan Van Buren**

CRD# 3210086

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).



## Ryan Van Buren

CRD# 3210086

### Currently employed by and registered with the following Firm(s):

**IA OSAIC WEALTH, INC.**  
 9434 N US HWY 1  
 SEBASTIAN, FL 32958  
 CRD# 23131  
 Registered with this firm since: 08/08/2023

**B OSAIC WEALTH, INC.**  
 9434 N US HWY 1  
 SEBASTIAN, FL 32958  
 CRD# 23131  
 Registered with this firm since: 08/07/2023

## Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

### Broker Qualifications

#### This broker is registered with:

- 1 Self-Regulatory Organization
- 28 U.S. states and territories

#### This broker has passed:

- 0 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 1 State Securities Law Exam

### Registration History

#### This broker was previously registered with the following securities firm(s):

**IA VALIC FINANCIAL ADVISORS, INC.**  
 CRD# 42803  
 HOUSTON, TX  
 05/1999 - 08/2023

**B VALIC FINANCIAL ADVISORS, INC.**  
 CRD# 42803  
 SEBASTIAN, FL  
 05/1999 - 08/2023

**B THE VARIABLE ANNUITY MARKETING COMPANY**  
 CRD# 5081  
 HOUSTON, TX  
 08/2000 - 12/2001

### Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 28 U.S. states and territories through his or her employer.**

### Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**

Main Office Address: **18700 N. HAYDEN ROAD  
SUITE 255  
SCOTTSDALE, AZ 85255**

Firm CRD#: **23131**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	08/07/2023
B	FINRA	Invest. Co and Variable Contracts	Approved	08/07/2023

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	08/30/2023
B	Alaska	Agent	Approved	08/07/2023
B	California	Agent	Approved	08/07/2023
B	Colorado	Agent	Approved	08/07/2023
B	Florida	Agent	Approved	08/07/2023
IA	Florida	Investment Adviser Representative	Approved	08/08/2023
B	Georgia	Agent	Approved	08/07/2023
B	Hawaii	Agent	Approved	08/07/2023
B	Illinois	Agent	Approved	08/07/2023
B	Indiana	Agent	Approved	08/07/2023
B	Kansas	Agent	Approved	08/07/2023



## Broker Qualifications

### Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Kentucky	Agent	Approved	08/07/2023
B	Maine	Agent	Approved	04/22/2024
B	Michigan	Agent	Approved	08/07/2023
B	Minnesota	Agent	Approved	08/31/2023
B	Missouri	Agent	Approved	08/07/2023
B	New Jersey	Agent	Approved	08/07/2023
B	New York	Agent	Approved	08/07/2023
B	North Carolina	Agent	Approved	08/29/2023
B	Ohio	Agent	Approved	08/07/2023
B	Oklahoma	Agent	Approved	08/07/2023
B	Pennsylvania	Agent	Approved	08/07/2023
B	South Carolina	Agent	Approved	08/07/2023
B	Tennessee	Agent	Approved	08/07/2023
B	Texas	Agent	Approved	08/07/2023
IA	Texas	Investment Adviser Representative	Approved	05/15/2024
B	Virgin Islands	Agent	Approved	03/28/2024
B	Virginia	Agent	Approved	08/07/2023
B	Washington	Agent	Approved	08/07/2023
B	Wisconsin	Agent	Approved	08/07/2023

### Branch Office Locations

## Broker Qualifications



### Employment 1 of 1, continued

#### **OSAIC WEALTH, INC.**

9434 N US HWY 1  
SEBASTIAN, FL 32958

#### **OSAIC WEALTH, INC.**

ONE OVERVUE  
1955 22ND AVENUE  
VERO BEACH, FL 32960

#### **OSAIC WEALTH, INC.**

150 5TH AVENUE  
SUITE A  
INDIALANTIC, FL 32903

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## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	07/30/2003
<b>B</b> Investment Company Products/Variable Contracts Representative Examination	Series 6	04/15/1999

### State Securities Law Exams

Exam	Category	Date
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	05/23/2001

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.





## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>IA</b> 05/1999 - 08/2023	VALIC FINANCIAL ADVISORS, INC.	42803	SEBASTIAN, FL
<b>B</b> 05/1999 - 08/2023	VALIC FINANCIAL ADVISORS, INC.	42803	SEBASTIAN, FL
<b>B</b> 08/2000 - 12/2001	THE VARIABLE ANNUITY MARKETING COMPANY	5081	HOUSTON, TX
<b>B</b> 05/1999 - 05/2000	PUBLIC EMPLOYEES EQUITIES SERVICES COMPANY	7315	PALM BEACH GARDENS, FL

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
08/2023 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	SEBASTIAN, FL, United States
12/2022 - Present	AGIA	Agent	N	Houston, TX, United States
03/1999 - 08/2023	VALIC FINANCIAL ADVISORS	FINANCIAL ADVISOR	Y	ORLANDO, FL, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

#### 1) BREVARD SOCCER ALLIANCE

POSITION: President NATURE: Non-Profit Soccer Association INVESTMENT RELATED: No NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 0 START DATE: 10/01/2018

ADDRESS: 3410 Flanagan Avenue, West Melbourne FL 32904, United States

DESCRIPTION: Director on board that governs non-profit. I am the president and help make decisions on the budget by committee, direction for the club as well as assist in hiring. I am one of five members of the board. I am not on the bank account and have no direct access to funds. All



## Registration and Employment History

### Other Business Activities, continued

financial decisions are decided by committee.

#### 2) PERSONAL RENTALS

POSITION: Worker NATURE: Limited Liability Corporations INVESTMENT RELATED: No NUMBER OF HOURS: 15 SECURITIES TRADING

HOURS: 0 START DATE: 01/01/2020

ADDRESS: 9255 141st Avenue, Fellsmere FL 32948, United States

DESCRIPTION: Assist in running rentals. My wife owns several rentals and from time to time she asks me to assist her in running them. I am not compensated in anyway but do help with clean up, maintenance and general overall care.

#### 3) AGIA

POSITION: Agent NATURE: null INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 1 START DATE: 12/17/2022

ADDRESS: 2929 Allen Parkway, Houston TX 77019, United States

DESCRIPTION: Non-Securities Insurance Products

#### 4) FIXED ANNUITY AND INSURANCE

POSITION: Agent NATURE: Non-Securities Insurance Products INVESTMENT RELATED: Yes NUMBER OF HOURS: 10 SECURITIES

TRADING HOURS: 10 START DATE: 08/01/2023

ADDRESS: 9434 US Highway 1, SEBASTIAN FL 32958, United States

DESCRIPTION: I provide Fixed insurance and annuities to clients

#### 5) MORTGAGE LICENSE

POSITION: Mortgage Broker NATURE: I am a state licensed mortgage broker. INVESTMENT RELATED: No NUMBER OF HOURS: 0

SECURITIES TRADING HOURS: 0 START DATE: 08/07/2023

ADDRESS: 9255 141st Avenue, Fellsmere FL 32948, United States

DESCRIPTION: I am currently not offering mortgages.

#### 6) ONE OVERVIEW

POSITION: Founder and Financial Planner NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING

HOURS: 160 START DATE: 08/07/2023

ADDRESS: 1955 22nd Avenue, Vero Beach FL 32960, United States

DESCRIPTION: Offer securities and investment advisory services through Osaic Wealth.

## End of Report



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