

## BrokerCheck Report

### CHERYL PATRICIA JANIK

CRD# 3222256

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**CHERYL P. JANIK**

CRD# 3222256

**Currently employed by and registered with the following Firm(s):****IA LPL FINANCIAL LLC**

5311 MEADOW LANE CT #4  
SHEFFIELD VILLAGE, OH 44035  
CRD# 6413

Registered with this firm since: 06/05/2023

**B LPL FINANCIAL LLC**

5311 MEADOW LANE CT #4  
SHEFFIELD VILLAGE, OH 44035  
CRD# 6413

Registered with this firm since: 07/31/2012

## Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

### Broker Qualifications

**This broker is registered with:**

- 1 Self-Regulatory Organization
- 35 U.S. states and territories

### Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

**This broker has passed:**

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

### Registration History

**This broker was previously registered with the following securities firm(s):****IA SEQUOIA WEALTH MANAGEMENT, LLC**

CRD# 170543  
BARRINGTON, IL  
10/2019 - 06/2023

**IA ADVANTAGE INVESTMENT MANAGEMENT, LLC**

CRD# 146236  
CEDAR RAPIDS, IA  
07/2011 - 10/2019

**B NATIONAL PLANNING CORPORATION**

CRD# 29604  
ELYRIA, OH  
08/2003 - 07/2012

## Broker Qualifications



### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 35 U.S. states and territories through his or her employer.**

### Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**

Main Office Address: **1055 LPL WAY  
FORT MILL, SC 29715**

Firm CRD#: **6413**

SRO	Category	Status	Date
 FINRA	General Securities Principal	Approved	07/31/2012
 FINRA	General Securities Representative	Approved	07/31/2012

U.S. State/ Territory	Category	Status	Date
 Alabama	Agent	Approved	07/31/2012
 Alaska	Agent	Approved	08/22/2014
 Arizona	Agent	Approved	07/31/2012
 Arkansas	Agent	Approved	07/31/2012
 California	Agent	Approved	07/31/2012
 Colorado	Agent	Approved	07/31/2012
 Delaware	Agent	Approved	07/31/2012
 Florida	Agent	Approved	07/31/2012
 Georgia	Agent	Approved	07/31/2012
 Hawaii	Agent	Approved	07/31/2012
 Illinois	Agent	Approved	07/31/2012

## Broker Qualifications



### Employment 1 of 1, continued

U.S. State/ Territory	Category	Status	Date
B Indiana	Agent	Approved	07/31/2012
B Kentucky	Agent	Approved	07/31/2012
B Louisiana	Agent	Approved	07/22/2013
B Maryland	Agent	Approved	12/05/2016
B Massachusetts	Agent	Approved	07/31/2012
B Michigan	Agent	Approved	07/31/2012
B Minnesota	Agent	Approved	07/31/2012
B Missouri	Agent	Approved	07/31/2012
B Nebraska	Agent	Approved	05/04/2020
B Nevada	Agent	Approved	07/31/2012
B New Hampshire	Agent	Approved	07/31/2012
B New Jersey	Agent	Approved	06/12/2019
B New Mexico	Agent	Approved	06/19/2015
B New York	Agent	Approved	07/31/2012
B North Carolina	Agent	Approved	07/31/2012
B Ohio	Agent	Approved	07/31/2012
IA Ohio	Investment Adviser Representative	Approved	06/05/2023
B Oklahoma	Agent	Approved	03/03/2014
B Pennsylvania	Agent	Approved	07/31/2012
B South Carolina	Agent	Approved	07/31/2012
B Texas	Agent	Approved	07/31/2012

## Broker Qualifications



### Employment 1 of 1, continued

U.S. State/ Territory	Category	Status	Date
B Virginia	Agent	Approved	07/31/2012
B Washington	Agent	Approved	07/31/2012
B West Virginia	Agent	Approved	02/14/2019
B Wisconsin	Agent	Approved	12/19/2014

### Branch Office Locations

**LPL FINANCIAL LLC**

5311 MEADOW LANE CT #4  
SHEFFIELD VILLAGE, OH 44035

**LPL FINANCIAL LLC**

5311 MEADOW LANE CT #4  
SHEFFIELD VILLAGE, OH 44035

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## Broker Qualifications



### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> General Securities Principal Examination	Series 24	11/20/2001

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	08/12/1999

#### State Securities Law Exams

Exam	Category	Date
<b>B IA</b> Uniform Combined State Law Examination	Series 66	09/13/2006
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	12/04/2000

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



### Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

# Registration and Employment History



## Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 10/2019 - 06/2023	SEQUOIA WEALTH MANAGEMENT, LLC	170543	Sheffield Village, OH
IA 07/2011 - 10/2019	ADVANTAGE INVESTMENT MANAGEMENT, LLC	146236	SHEFFIELD VILLAGE, OH
B 08/2003 - 07/2012	NATIONAL PLANNING CORPORATION	29604	ELYRIA, OH
IA 10/2006 - 07/2011	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	29604	ELYRIA, OH
B 08/1999 - 08/2003	WALNUT STREET SECURITIES, INC.	15840	EL SEGUNDO, CA

## Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
07/2012 - Present	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	SHEFFIELD VILLAGE, OH, United States
10/2019 - 05/2023	Sequoia Wealth Management, LLC	Investment Advisor Representative	Y	Sheffield Village, OH, United States
08/2012 - 10/2019	ADVANTAGE INVESTMENT MANGEMENT LLC (AIM)	Investment Adviser Representative	Y	SHEFFIELD VILLAGE, OH, United States

## Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. 06/21/2012 - ADVANTAGE FINANCIAL SERVICES GROUP LTD - DBA FOR LPL BUSINESS (ENTITY FOR LPL BUSINESS) - REP/OWNER - 95% OF TIME SPENT

2. 06/21/2012 - REAL ESTATE RENTAL - OWNER - 1% OF TIME SPENT - 42968-42976 EMERALD DR, OH

## Registration and Employment History



### Other Business Activities, continued

3. 06/21/2012 - REAL ESTATE RENTAL - OWNER - 114-116 CREEKFIELD COURT, OH - 1% OF TIME SPENT
4. 06/21/2012 - ADVANTAGE FINANCIAL GROUP INC (AFG) - DBA FOR LPL BUSINESS (ENTITY FOR LPL BUSINESS) - REP - NO TIME SPENT
5. 06/27/2012 - GENERAL ELECTRIC ASSURANCE / JOHN HANCOCK - NON-VARIABLE INSURANCE - AGENT - 1% OF TIME SPENT
6. 09/23/2013 - ADVANTAGE FINANCIAL SERVICES GROUP - REGISTERED INVESTMENT ADVISOR DBA - (HYBRID) ADVANTAGE FINANCIAL SERVICES GROUP - USE ADVANTAGE FINANCIAL SERVICES GROUP AS A DBA NAME FOR THE RIA - 100% OF TIME SPENT - SHEFFIELD VILLAGE, OH
7. 01/26/2016 - North College Commons Condominium Assoc - NOT INV REL - 5311 Meadow Lane Ct #1 - For-Profit Board Member - START 02/01/2016 - 1 Hour Per Month During Securities Trading - Board Member.
8. 12/15/2016 - NORTHBAY KI, LLC - Investment Related - 5311 MEADOW LANE CT #4, SHEFFIELD VILG, OH 44035 - Real Estate Rental - Started 12/01/2016 - 1 Hour Per Month/0 Hours During Securities Trading.
9. 4/12/2017 - Member Plus Cuso/SELCCU/School Employess Lorain County Credit Union - Investment Related - At Reported Business Location(s) - Financial Institution Duty - Started 08/01/2012 - 12 Hours Per Month During Securities Trading.
10. 11/09/2017 - JL Thomas - Investment Related - At Reported Business Location(s) - Non-Variable Insurance - Agent - Start Date 10/23/2017 - 5 Hours Per Month/3 Hours During Securities Trading - Long term care.
11. 7/21/2021 - Achieve Credit Union - DBA: Achieve Wealth Management - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Started 08/02/2021 - 10 Hours Per Month During Securities Trading.

## End of Report



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