

BrokerCheck Report

ADAM THOMAS KAZALSKI

CRD# 3270031

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**ADAM T. KAZALSKI**

CRD# 3270031

Currently employed by and registered with the following Firm(s):

IA THE AMERIFLEX GROUP
 8470 Enterprise Circle
 Suite 110-B
 Lakewood Ranch, FL 34202
 CRD# 305585
 Registered with this firm since: 09/08/2022

B CAMBRIDGE INVESTMENT RESEARCH, INC.
 8470 Enterprise Circle, Suite 110-C
 Lakewood Ranch, FL 34202
 CRD# 39543
 Registered with this firm since: 06/27/2025

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 26 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- B OSAIC WEALTH, INC.**
 CRD# 23131
 Lakewood Ranch, FL
 09/2023 - 07/2025
- B SAGEPOINT FINANCIAL, INC.**
 CRD# 133763
 RED BANK, NJ
 09/2022 - 09/2023
- IA EQUITABLE ADVISORS, LLC**
 CRD# 6627
 NEW YORK, NY
 08/2011 - 09/2022

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 26 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **CAMBRIDGE INVESTMENT RESEARCH, INC.**

Main Office Address: **1776 PLEASANT PLAIN RD.
FAIRFIELD, IA 52556-8757**

Firm CRD#: **39543**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	06/27/2025
B	FINRA	Invest. Co and Variable Contracts	Approved	06/27/2025

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	06/27/2025
B	California	Agent	Approved	06/27/2025
B	Colorado	Agent	Approved	06/27/2025
B	Connecticut	Agent	Approved	06/27/2025
B	Delaware	Agent	Approved	07/15/2025
B	Florida	Agent	Approved	06/27/2025
B	Georgia	Agent	Approved	06/27/2025
B	Illinois	Agent	Approved	07/31/2025
B	Indiana	Agent	Approved	06/27/2025
B	Louisiana	Agent	Approved	06/27/2025
B	Maryland	Agent	Approved	06/27/2025



Broker Qualifications

Employment 1 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Massachusetts	Agent	Approved	06/27/2025
B	Michigan	Agent	Approved	06/27/2025
B	Missouri	Agent	Approved	06/27/2025
B	Nevada	Agent	Approved	06/27/2025
B	New Hampshire	Agent	Approved	06/27/2025
B	New Jersey	Agent	Approved	06/27/2025
B	New York	Agent	Approved	06/27/2025
B	North Carolina	Agent	Approved	07/14/2025
B	Ohio	Agent	Approved	06/27/2025
B	Pennsylvania	Agent	Approved	06/27/2025
B	South Carolina	Agent	Approved	06/27/2025
B	Texas	Agent	Approved	06/27/2025
B	Vermont	Agent	Approved	06/27/2025
B	Virginia	Agent	Approved	06/27/2025
B	Wisconsin	Agent	Approved	06/27/2025

Branch Office Locations

CAMBRIDGE INVESTMENT RESEARCH, INC.

8470 Enterprise Circle, Suite 110-C
Lakewood Ranch, FL 34202

CAMBRIDGE INVESTMENT RESEARCH, INC.

542 Prospect Ave., Ste. 114
Little Silver, NJ 07739



Broker Qualifications

Employment 2 of 2

Firm Name: **THE AMERIFLEX GROUP**
Main Office Address: **8475 W. SUNSET ROAD, SUITE 101**
LAS VEGAS, NV 89113
Firm CRD#: **305585**

	U.S. State/ Territory	Category	Status	Date
IA	Florida	Investment Adviser Representative	Approved	12/20/2022
IA	New Jersey	Investment Adviser Representative	Approved	09/08/2022

Branch Office Locations

8470 Enterprise Circle
Suite 110-B
Lakewood Ranch, FL 34202

542 Prospect Avenue
Suite 114
Little Silver, NJ 07739



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	02/22/2011
B Investment Company Products/Variable Contracts Representative Examination	Series 6	08/31/1999

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	10/29/2007
B Uniform Securities Agent State Law Examination	Series 63	10/12/1999

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 09/2023 - 07/2025	OSAIC WEALTH, INC.	23131	Lakewood Ranch, FL
B 09/2022 - 09/2023	SAGEPOINT FINANCIAL, INC.	133763	RED BANK, NJ
B 08/2011 - 09/2022	EQUITABLE ADVISORS, LLC	6627	EDISON, NJ
IA 08/2011 - 09/2022	EQUITABLE ADVISORS, LLC	6627	EDISON, NJ
IA 12/2008 - 09/2011	INTERNATIONAL PLANNING ALLIANCE, LLC	120701	FAIRFIELD, NJ
IA 11/2007 - 08/2011	PARK AVENUE SECURITIES LLC	46173	SHREWSBURY, NJ
B 09/1999 - 08/2011	PARK AVENUE SECURITIES LLC	46173	SHREWSBURY, NJ

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
06/2025 - Present	Cambridge Investment Research, Inc.	Registered Representative	Y	Fairfield, IA, United States
09/2022 - Present	The Ameriflex Group	Investment Advisor	Y	Las Vegas, NV, United States
09/2023 - 06/2025	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	Lakewood Ranch, FL, United States
09/2022 - 09/2023	SagePoint Financial, Inc.	Registered Representative	Y	Red Bank, NJ, United States
08/2011 - 09/2022	EQUITABLE ADVISORS, LLC	REGISTERED REPRESENTATIVE	Y	NEW YORK, NY, United States
08/2011 - 06/2020	AXA ADVISORS, LLC	REGISTERED REPRESENTATIVE	Y	NEW YORK, NY, United States



Registration and Employment History

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. THE AMERIFLEX GROUP, 8475 W Sunset Rd, Suite 101, Las Vegas NV 89113, United States, 09/08/2022, Financial Planner, RIA Affiliation - other than CIRA, INV REL, 170 HR/MO - 100 HR/MO TRADING
 2. FOURFRONT WEALTH MANAGEMENT, LLC, 8470 Enterprise Circle, Suite 110C, Lakewood Ranch FL 34202, United States, 06/27/2025, President, DBA Name, NIR, 5 HR/MO - 5 HR/MO TRADING
 3. FIDE & FORTIS PARTNERS, LLC, 8470 Enterprise Circle, Suite 110-C, Lakewood Ranch FL 34202, United States, 06/27/2025, Owner, Marketing, NIR, 5 HR/MO - 5 HR/MO TRADING
 4. EXIT PLANNING INSTITUTE, 27881 Clemens Road, Westlake OH 44145, United States, 06/27/2025, Sarasota Chapter President, Networking, NIR, 10 HR/MO - 0 HR/MO TRADING
 5. FOUNDER'S GROUP, 6256 Greenwich Drive, Suite 250, San Diego CA 92122, United States, Certified Founder's Group Associate, Consulting/Coaching, NIR, 5 HR/MO - 5 HR/MO TRADING
 6. LIFE INSURANCE AGENT, 8470 Enterprise Circle, Lakewood Ranch FL 34211, United States, 06/27/2025, Agent, Insurance/Benefits/Human Resources, NIR, 5 HR/MO - 2 HR/MO TRADING
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End of Report



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