

BrokerCheck Report

GEORGE ROBERT REGISTER

CRD# 373031

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



GEORGE R. REGISTER

CRD# 373031

Currently employed by and registered with the following Firm(s):

IA REGISTER FINANCIAL ADVISORS, LLC
 3500 LENOX ROAD
 SUITE 1700
 ATLANTA, GA 30326
 CRD# 143884
 Registered with this firm since: 07/09/2012

B REGISTER FINANCIAL ASSOCIATES, INC.
 3500 LENOX ROAD
 SUITE 1700
 ATLANTA, GA 30326
 CRD# 30568
 Registered with this firm since: 09/23/1992

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 17 U.S. states and territories

This broker has passed:

- 2 Principal/Supervisory Exams
- 5 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- B REAGAN SECURITIES, INC.**
 CRD# 156034
 ATLANTA, GA
 02/2012 - 12/2013
- B SAGE SOUTHEASTERN SECURITIES, INC.**
 CRD# 144051
 ATLANTA, GA
 10/2007 - 04/2010
- B REGISTER & AKERS CAPITAL ADVISORS LLC**
 CRD# 34485
 ALPHARETTA, GA
 07/2002 - 09/2003

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	1
Customer Dispute	1



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 17 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **REGISTER FINANCIAL ADVISORS, LLC**
 Main Office Address: **3500 LENOX ROAD
 SUITE 1700
 ATLANTA, GA 30326**
 Firm CRD#: **143884**

	U.S. State/ Territory	Category	Status	Date
IA	Georgia	Investment Adviser Representative	Approved	07/09/2012

Branch Office Locations

3500 LENOX ROAD
 SUITE 1700
 ATLANTA, GA 30326

Employment 2 of 2

Firm Name: **REGISTER FINANCIAL ASSOCIATES, INC.**
 Main Office Address: **3500 LENOX ROAD
 SUITE 1700
 ATLANTA, GA 30326**
 Firm CRD#: **30568**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	09/23/1992
B	FINRA	General Securities Representative	Approved	09/23/1992
B	FINRA	Investment Banking Representative	Approved	05/11/2010



Broker Qualifications

Employment 2 of 2, continued

	SRO	Category	Status	Date
B	FINRA	Operations Professional	Approved	12/15/2011
B	FINRA	Compliance Officer	Approved	10/01/2018
B	FINRA	Investment Banking Principal	Approved	10/01/2018

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	02/28/1997
B	California	Agent	Approved	11/15/2000
B	Colorado	Agent	Approved	09/29/1992
B	District of Columbia	Agent	Approved	11/05/2009
B	Florida	Agent	Approved	11/02/1992
B	Georgia	Agent	Approved	09/23/1992
B	Louisiana	Agent	Approved	11/16/2000
B	Maryland	Agent	Approved	11/11/2004
B	Massachusetts	Agent	Approved	11/15/2000
B	Mississippi	Agent	Approved	11/17/2000
B	Nevada	Agent	Approved	02/24/2006
B	New Jersey	Agent	Approved	02/24/2006
B	New York	Agent	Approved	11/15/2000
B	North Carolina	Agent	Approved	10/22/1993
B	North Dakota	Agent	Approved	11/09/1992
B	South Carolina	Agent	Approved	11/18/1992
B	Virginia	Agent	Approved	11/16/2000

Broker Qualifications



Employment 2 of 2, continued

Branch Office Locations

REGISTER FINANCIAL ASSOCIATES, INC.

3500 LENOX ROAD
SUITE 1700
ATLANTA, GA 30326



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 2 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B Compliance Officer Examination	Series 14	01/02/2023
B General Securities Principal Examination	Series 24	06/09/1992

General Industry/Product Exams

Exam	Category	Date
B Operations Professional Examination	Series 99TO	01/02/2023
B General Securities Representative Examination	Series 7TO	01/02/2023
B Investment Banking Registered Representative Examination	Series 79TO	01/02/2023
B Securities Industry Essentials Examination	SIE	10/01/2018
B Registered Representative Examination	Series 1	03/22/1968

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	06/19/2012
B Uniform Securities Agent State Law Examination	Series 63	07/10/1979

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 02/2012 - 12/2013	REAGAN SECURITIES, INC.	156034	ATLANTA, GA
B 10/2007 - 04/2010	SAGE SOUTHEASTERN SECURITIES, INC.	144051	ATLANTA, GA
B 07/2002 - 09/2003	REGISTER & AKERS CAPITAL ADVISORS LLC	34485	ALPHARETTA, GA
B 12/2001 - 03/2002	REGISTER & AKERS CAPITAL ADVISORS LLC	34485	ALPHARETTA, GA
B 02/2000 - 12/2001	REGISTER & AKERS CAPITAL ADVISORS LLC	34485	ALPHARETTA, GA
B 11/1999 - 02/2000	REGISTER & AKERS EQUITIES, INC.	34485	ALPHARETTA, GA
B 01/1991 - 10/1992	ATTKISSON, CARTER AND ASSOCIATES, INCORPORATED	16365	ATLANTA, GA
B 03/1989 - 01/1991	EQUITABLE SECURITIES CORPORATION	6271	ATLANTA, GA
B 11/1986 - 04/1989	MARSHALL & CO. SECURITIES, INC.	17942	
B 02/1985 - 12/1986	BLACKSTOCK & CO., INC.	8469	
B 01/1977 - 12/1984	BEAR, STEARNS & CO.	79	
B 09/1971 - 01/1977	PAINE, WEBBER, JACKSON & CURTIS INCORPORATED	640	
B 03/1968 - 09/1971	SMITH BARNEY, HARRIS UPHAM & CO., INCORPORATED	7059	

Employment History



Registration and Employment History

Employment History, continued

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
05/2007 - Present	REGISTER FINANCIAL ADVISORS, LLC	President	Y	Atlanta, GA, United States
07/1992 - Present	REGISTER FINANCIAL ASSOCIATES, INC.	PRESIDENT	Y	ATLANTA, GA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. REGISTER CAPITAL INVESTMENTS LLC, 3500 LENOX RD, ATLANTA, GA, YES INVESTMENT RELATED, NATURE OF BUSINESS: SMALL CAP PORTFOLIO STOCKS, CEO STARTED 2/1/18, 10 HOURS/MONTH DURING SECURITIES TRADING HOURS, DUTIES TO REVIEW/TRADE MANAGE ACCOUNT.

Disclosure Events



What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
 -
3. **Disclosure events in BrokerCheck reports come from different sources:**
 - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Regulatory Event	0	1	0
Customer Dispute	0	1	N/A



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Regulatory - Final

This type of disclosure event may involve (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulatory such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of a broker's authority to act as an attorney, accountant, or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	FINRA
Sanction(s) Sought:	Other: N/A
Date Initiated:	06/30/2009
Docket/Case Number:	2007011496203
Employing firm when activity occurred which led to the regulatory action:	REGISTER FINANCIAL ASSOCIATES, INC.
Product Type:	Other: RESEARCH REPORTS
Allegations:	NASD RULES 2110, 2711(I), 3010(A) AND 3010(B): RESPONDENT GEORGE REGISTER FAILED TO FILE ATTESTATIONS AND FILED FALSE ATTESTATIONS. REGISTER ALSO FAILED TO ADEQUATELY DISCHARGE HIS SUPERVISORY RESPONSIBILITIES AND NEVER TOOK EFFECTIVE ACTION TO ENSURE THAT HIS FIRM WAS MEETING ITS OBLIGATION TO COMPLY WITH NASD RULES. REGISTER FAILED TO IMPLEMENT ANY WRITTEN SUPERVISORY PROCEDURES OR SUPERVISORY SYSTEMS REASONABLY DESIGNED TO ACHIEVE COMPLIANCE WITH NASD RULE 2711 IN CONNECTION WITH THE PUBLICATION OF THE STOCK PICK SECTION OF A RESEARCH REPORT. REGISTER IGNORED RED FLAGS THAT HIS FIRM WAS PUBLISHING RESEARCH REPORTS, SUCH AS THAT THE STOCK PICK



SECTION CONTAINED PRICE TARGETS, EXPLICIT RECOMMENDATIONS, AND ANALYSES OF EQUITIES, AND USED THE PHRASE "RESEARCH UNIVERSE." REGISTER NEVER MONITORED THE TRADING OR OWNERSHIP OF STOCK PICKS BY THE FIRM'S RESEARCH ANALYSTS, AND IMPOSED NO RESTRICTIONS ON WHETHER THE ANALYSTS COULD TRADE OR OWN SECURITIES WHEN THEY WERE PROFILED AS STOCK PICKS. REGISTER ALLOWED RESEARCH ANALYSTS TO PUBLISH RESEARCH REPORTS UNSUPERVISED.

Current Status:	Final
Resolution:	Decision & Order of Offer of Settlement
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	03/10/2010
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s) Suspension
If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise?	No



(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation?

(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or



(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

Sanction 1 of 1

Sanction Type: Suspension

Capacities Affected: ANY PRINCIPAL OR SUPERVISORY CAPACITY

Duration: 30 DAYS

Start Date: 04/05/2010

End Date: 05/04/2010

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$15,000.00

Portion Levied against individual: \$15,000.00

Payment Plan:

Is Payment Plan Current: Yes

Date Paid by individual: 03/26/2010

Was any portion of penalty waived? No

Amount Waived:

Regulator Statement WITHOUT ADMITTING OR DENYING THE ALLEGATIONS, REGISTER



CONSENTED TO THE DESCRIBED SANCTIONS AND TO THE ENTRY OF FINDINGS; THEREFORE, HE IS FINED \$15,000 AND SUSPENDED FROM ASSOCIATION WITH ANY FINRA MEMBER IN ANY PRINCIPAL OR SUPERVISORY CAPACITY FOR 30 DAYS.

THE SUSPENSION IS IN EFFECT FROM APRIL 5, 2010 THROUGH MAY 4, 2010.

Reporting Source:	Broker
Regulatory Action Initiated By:	FINRA
Sanction(s) Sought:	Other: N/A
Date Initiated:	06/30/2009
Docket/Case Number:	2007011496203
Employing firm when activity occurred which led to the regulatory action:	REGISTER FINANCIAL ASSOCIATES, INC.
Product Type:	Other: RESEARCH REPORTS
Allegations:	NASD RULES 2110, 2711(I), 3010(A) AND 3010(B): RESPONDENT GEORGE REGISTER DID NOT APPROPRIATELY FILE ATTESTATIONS AND FILED INCORRECT ATTESTATIONS. REGISTER ALSO DID NOT APPROPRIATELY DISCHARGE HIS SUPERVISORY RESPONSIBILITIES AND NEVER TOOK EFFECTIVE ACTION TO ENSURE THAT HIS FIRM WAS MEETING ITS OBLIGATION TO COMPLY WITH NASD RULES. REGISTER DID NOT APPROPRIATELY IMPLEMENT ANY PROCEDURES OR SUPERVISORY SYSTEMS RELATED TO RULE 2711. REGISTER IGNORED RED FLAGS THAT HIS FIRM WAS PUBLISHING RESEARCH REPORTS, SUCH AS THAT THE STOCK PICK SECTION CONTAINED PRICE TARGETS, EXPLICIT RECOMMENDATIONS, AND ANALYSES OF EQUITIES, AND USED THE PHRASE "RESEARCH UNIVERSE." REGISTER NEVER MONITORED THE TRADING OR OWNERSHIP OF STOCK PICKS BY THE FIRM'S RESEARCH ANALYSTS, AND IMPOSED NO RESTRICTIONS ON WHETHER THE ANALYSTS COULD TRADE OR OWN SECURITIES WHEN THEY WERE PROFILED AS STOCK PICKS. REGISTER ALLOWED RESEARCH ANALYSTS TO PUBLISH RESEARCH REPORTS UNSUPERVISED.
Current Status:	Final
Resolution:	Decision & Order of Offer of Settlement



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	03/10/2010
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s) Suspension
Sanction 1 of 1	
Sanction Type:	Suspension
Capacities Affected:	ANY PRINCIPAL OR SUPERVISORY CAPACITY
Duration:	30 DAYS
Start Date:	04/05/2010
End Date:	05/04/2010
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Disgorgement
Total Amount:	\$15,000.00
Portion Levied against individual:	\$15,000.00
Payment Plan:	
Is Payment Plan Current:	
Date Paid by individual:	
Was any portion of penalty waived?	No
Amount Waived:	
Broker Statement	THE CHARGES ALL STEM FROM THE FACT THAT A RESEARCH PIECE WAS MISCLASSIFIED AND IT DID NOT COMPLY WITH RESEARCH RULES. THIS WAS A SIMPLE MISTAKE AND THE FOLLOWING ARE IMPORTANT STATEMENTS: THIS WAS NOT AN EGREGIOUS OR INTENTIONAL VIOLATION, NO HARM OR LOSSES TO CUSTOMERS; NO MONETARY GAIN, NO COMPLAINTS RECEIVED, AND NO BEARING ON THE PRICE OF THE FEATURED STOCK.





Customer Dispute - Closed-No Action / Withdrawn / Dismissed / Denied

This type of disclosure event involves (1) a consumer-initiated, investment-related arbitration or civil suit containing allegations of sales practice violations against the individual broker that was dismissed, withdrawn, or denied; or (2) a consumer-initiated, investment-related written complaint containing allegations that the broker engaged in sales practice violations resulting in compensatory damages of at least \$5,000, forgery, theft, or misappropriation, or conversion of funds or securities, which was closed without action, withdrawn, or denied.

Disclosure 1 of 1

Reporting Source: Broker

Employing firm when activities occurred which led to the complaint: REGISTER FINANCIAL ASSOCIATES

Allegations: CLIENT ALLEGES NEGLIGENCE IN THE FINANCIAL ADVICE AND INVESTMENTS, WHICH WAS THE CAUSE FOR LOSS IN VALUE.

Product Type: Equity-OTC
Equity Listed (Common & Preferred Stock)

Alleged Damages: \$9,300,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/08/2010

Complaint Pending? No

Status: Closed/No Action

Status Date: 04/08/2012

Settlement Amount:

Individual Contribution Amount:

Broker Statement THIS CLAIM HAS NO MERIT AND IS UNSUBSTANTIATED. THE FACT THAT THE CUSTOMER WAS AN "ACCREDITED INVESTOR" AND "SOPHISTICATED." CLIENT IS UNHAPPY ABOUT THE 2008 MARKET LOSSES WHICH ALL INVESTMENTS WERE CLEARLY UNDERSTOOD AND AUTHORIZED BY THE CLIENT. IN THE CLAIM CLIENT STATES SUCH TRANSACTIONS WERE UNSUITABLE. SHE IS A KNOWLEDGEABLE INVESTOR WHO HAS PURCHASED THESE SAME TYPE OF SECURITIES FOR THE PAST 20 YEARS



AND HAS MADE MONEY PRIOR TO 2008. CLIENT UNDERSTOOD THE RISKS OF THE INVESTMENT AND WE FEEL THAT THERE IS NO MERIT TO THE CLAIM.

End of Report



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