

## BrokerCheck Report

**CLINTON KEITH VAN LINDER**

CRD# 4013158

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**CLINTON K. VAN LINDER**

CRD# 4013158

**Currently employed by and registered with the following Firm(s):**

- IA KESTRA ADVISORY SERVICES, LLC**  
 6100 STADIUM DR.  
 KALAMAZOO, MI 49009  
 CRD# 283330  
 Registered with this firm since: 04/20/2016
- B KESTRA INVESTMENT SERVICES, LLC**  
 6100 STADIUM DR.  
 KALAMAZOO, MI 49009  
 CRD# 42046  
 Registered with this firm since: 04/18/2016

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 26 U.S. states and territories

**This broker has passed:**

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

**Registration History****This broker was previously registered with the following securities firm(s):**

- IA TRANSAMERICA FINANCIAL ADVISORS, INC.**  
 CRD# 16164  
 PHILADELPHIA, PA  
 06/2008 - 05/2016
- B TRANSAMERICA FINANCIAL ADVISORS, INC**  
 CRD# 16164  
 KALAMAZOO, MI  
 10/1999 - 05/2016

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 26 U.S. states and territories through his or her employer.**

### Employment 1 of 2

Firm Name: **KESTRA ADVISORY SERVICES, LLC**  
 Main Office Address: **5707 SOUTHWEST PARKWAY  
 BUILDING 2, SUITE 400  
 AUSTIN, TX 78735**  
 Firm CRD#: **283330**

	U.S. State/ Territory	Category	Status	Date
IA	Michigan	Investment Adviser Representative	Approved	04/20/2016
IA	Texas	Investment Adviser Representative	Approved	07/26/2023

### Branch Office Locations

6100 STADIUM DR.  
 KALAMAZOO, MI 49009

### Employment 2 of 2

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**  
 Main Office Address: **5707 SOUTHWEST PARKWAY  
 BUILDING 2, SUITE 400  
 AUSTIN, TX 78735**  
 Firm CRD#: **42046**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	04/18/2016

## Broker Qualifications



### Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Alaska	Agent	Approved	11/05/2019
B	Arizona	Agent	Approved	04/18/2016
B	California	Agent	Approved	04/18/2016
B	Colorado	Agent	Approved	04/18/2016
B	Florida	Agent	Approved	04/18/2016
B	Georgia	Agent	Approved	04/18/2016
B	Hawaii	Agent	Approved	04/28/2022
B	Idaho	Agent	Approved	02/09/2024
B	Illinois	Agent	Approved	04/18/2016
B	Indiana	Agent	Approved	04/18/2016
B	Kansas	Agent	Approved	04/18/2016
B	Kentucky	Agent	Approved	04/18/2016
B	Maine	Agent	Approved	01/31/2024
B	Massachusetts	Agent	Approved	04/18/2016
B	Michigan	Agent	Approved	04/18/2016
B	Minnesota	Agent	Approved	04/18/2016
B	Montana	Agent	Approved	11/02/2018
B	Nevada	Agent	Approved	11/02/2018
B	New Jersey	Agent	Approved	03/03/2023
B	North Dakota	Agent	Approved	04/18/2016
B	Ohio	Agent	Approved	04/18/2016

Broker Qualifications



Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Oklahoma	Agent	Approved	04/18/2016
B	South Carolina	Agent	Approved	04/18/2016
B	Tennessee	Agent	Approved	01/17/2018
B	Texas	Agent	Approved	04/18/2016
B	Washington	Agent	Approved	10/23/2018

Branch Office Locations

KESTRA INVESTMENT SERVICES, LLC  
6100 STADIUM DR.  
KALAMAZOO, MI 49009

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## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	09/29/1999

### State Securities Law Exams

Exam	Category	Date
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	10/08/1999

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.





## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>IA</b> 06/2008 - 05/2016	TRANSAMERICA FINANCIAL ADVISORS, INC.	16164	KALAMAZOO, MI
<b>B</b> 10/1999 - 05/2016	TRANSAMERICA FINANCIAL ADVISORS, INC	16164	KALAMAZOO, MI

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
04/2016 - Present	KESTRA ADVISORY SERVICES, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	KALAMAZOO, MI, United States
04/2016 - Present	KESTRA INVESTMENT SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	KALAMAZOO, MI, United States
08/2002 - Present	JCS TOTAL PROPERTY MGMT. INC.	FINANCIAL OFFICER	N	WATERVLIET, MI, United States
06/1999 - Present	RON WISER & ASSOCIATES	FINANCIAL PLANNER	Y	KALAMAZOO, MI, United States
08/1999 - 04/2016	TRANSAMERICA FINANCIAL ADVISORS, INC.	REGISTERED REPRESENTATIVE	Y	KLAMAZOO, MI, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Business Name: 6100 Stadium Drive LLC Investment Related: No Address: 6100 Stadium Drive Kalamazoo MI 49009 Nature of Business: Real Estate Position, Title or Relationship: member Start Date: 12/29/2006 Hours per month: 0% - 10% (0 - 16 hours) Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: receiving lease payments, making deposits and providing year end financial information to accountants for the entity's tax preparation



## Registration and Employment History

### Other Business Activities, continued

Business Name: Clinton K. VanLinder, CPA Investment Related: No Address: 6100 Stadium Drive LLC Kalamazoo MI 49009 Nature of Business: Tax/Accounting/CPA Position, Title or Relationship: Give tax advice to clients as part of financial planning process Start Date: 6/7/1999 Hours per month: 0% - 10% (0 - 16 hours) Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: tax advice

Business Name: Kestra Advisory Services, LLC Investment Related: Yes Address: 5707 Southwest Parkway Building 2, Suite 400 Austin TX 78735 Nature of Business: Investment Advisory services through Kestra Advisory Services, LLC Position, Title or Relationship: Investment Advisor Representative Start Date: 4/4/2016 Hours per month: Up to 100% (0 to 160 hours) Hours per month during trading hours: Up to 100% (0 to 160 hours) Duties: Investment advisory services

Business Name: The Wiser Financial Group Investment Related: Yes Address: 6100 Stadium Drive Kalamazoo MI 49009 Nature of Business: Registered Rep Activities through Kestra Investment Services, LLC using a DBA name; Investment Advisory services through Kestra Advisory Services, LLC Position, Title or Relationship: Registered representative and Treasurer of Corporation Start Date: 12/29/2006 Hours per month: 100%+ (More than 160 hours) Hours per month during trading hours: 91% - 100% (127 - 140 hours) Duties: Meeting with clients and making investment recommendations.  
Responsible for finances of Corporation.

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## End of Report



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