

BrokerCheck Report

ALEXANDER NOSKOV

CRD# 4133577

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

ALEXANDER NOSKOV

CRD# 4133577

Currently employed by and registered with the following Firm(s):**B ICG CAPITAL SECURITIES, LLC**

909 EAST BLVD
CHARLOTTE, NC 28203
CRD# 169661
Registered with this firm since: 01/18/2026

B OPENCASH

521 W. LANCASTER AVE
FL 2
HAVERFORD, PA 19041
CRD# 317007
Registered with this firm since: 06/26/2023

B SECURITY CAPITAL BROKERAGE, INC.

260 PEACHTREE STREET, NW
SUITE 2200
ATLANTA, GA 30303
CRD# 35405
Registered with this firm since: 01/08/2022

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

This broker has passed:

- 2 Principal/Supervisory Exams
- 4 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History**This broker was previously registered with the following securities firm(s):****B OPENCASH**

CRD# 317007
HAVERFORD, PA
11/2022 - 05/2023

B BGSA LLC

CRD# 124448
WEST PALM BEACH, FL
06/2019 - 07/2021

B CIBC WORLD MARKETS CORP.

CRD# 630
NEW YORK, NY
03/2016 - 12/2016

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.

Employment 1 of 3

Firm Name: **ICG CAPITAL SECURITIES, LLC**

Main Office Address: **909 EAST BLVD
CHARLOTTE, NC 28203**

Firm CRD#: **169661**

SRO	Category	Status	Date
FINRA	General Securities Principal	Approved Pending Prints	01/18/2026
FINRA	General Securities Representative	Approved Pending Prints	01/18/2026

Branch Office Locations

ICG CAPITAL SECURITIES, LLC
909 EAST BLVD
CHARLOTTE, NC 28203

Employment 2 of 3

Firm Name: **OPENCASH**

Main Office Address: **521 W. LANCASTER AVE
FL 2
HAVERFORD, PA 19041**

Firm CRD#: **317007**

SRO	Category	Status	Date
FINRA	General Securities Principal	Approved	06/26/2023
FINRA	General Securities Representative	Approved	06/26/2023
FINRA	Investment Banking Representative	Approved	06/26/2023



Broker Qualifications

Employment 2 of 3, continued

SRO	Category	Status	Date
FINRA	Municipal Securities Representative	Approved	10/20/2025
U.S. State/ Territory	Category	Status	Date
South Dakota	Agent	Approved	01/08/2026

Branch Office Locations

OPENCASH
 521 W. LANCASTER AVE
 FL 2
 HAVERFORD, PA 19041

Employment 3 of 3

Firm Name: **SECURITY CAPITAL BROKERAGE, INC.**

Main Office Address: **260 PEACHTREE STREET, NW
 SUITE 2200
 ATLANTA, GA 30303**

Firm CRD#: **35405**

SRO	Category	Status	Date
FINRA	General Securities Principal	Approved	01/08/2022
FINRA	General Securities Representative	Approved	01/08/2022
FINRA	Investment Banking Representative	Approved	01/08/2022
FINRA	Municipal Securities Representative	Approved	10/22/2025

Branch Office Locations

SECURITY CAPITAL BROKERAGE, INC.
 260 PEACHTREE STREET, NW
 SUITE 2200
 ATLANTA, GA 30303

Broker Qualifications



Employment 3 of 3, continued

SECURITY CAPITAL BROKERAGE, INC.
260 PEACHTREE STREET, NW
SUITE 2200
ATLANTA, GA 30303

Broker Qualifications



Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
Registered Options Principal Examination	Series 4	02/26/2007
General Securities Principal Examination	Series 24	07/17/2006

General Industry/Product Exams

Exam	Category	Date
Municipal Securities Representative Examination	Series 52TO	10/20/2025
Securities Industry Essentials Examination	SIE	12/08/2016
Limited Representative-Equity Trader Exam	Series 55	06/16/2003
General Securities Representative Examination	Series 7	03/31/2003

State Securities Law Exams

Exam	Category	Date
Uniform Combined State Law Examination	Series 66	01/07/2026

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History



Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 11/2022 - 05/2023	OPENCASH	317007	HAVERFORD, PA
B 06/2019 - 07/2021	BGSA LLC	124448	WEST PALM BEACH, FL
B 03/2016 - 12/2016	CIBC WORLD MARKETS CORP.	630	NEW YORK, NY
B 05/2014 - 10/2014	STATE STREET GLOBAL MARKETS, LLC	30107	NEW YORK, NY
B 06/2012 - 02/2014	CCB INTERNATIONAL OVERSEAS (USA) INC.	159795	NEW YORK, NY
B 07/2011 - 08/2011	BNP PARIBAS SECURITIES CORP.	15794	NEW YORK, NY
B 04/2010 - 03/2011	ABN AMRO SECURITIES (USA) LLC	151796	NEW YORK, NY
B 01/2008 - 10/2009	LBBW SECURITIES, LLC	141697	NEW YORK, NY
B 08/2005 - 10/2007	DEUTSCHE BANK SECURITIES INC.	2525	NEW YORK, NY
B 06/2004 - 08/2005	PERSHING TRADING COMPANY, L.P.	36671	JERSEY CITY, NJ
B 04/2003 - 06/2004	KNIGHT EQUITY MARKETS, L.P.	38599	JERSEY CITY, NJ

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
01/2026 - Present	ICG Capital	CCO	Y	Charlotte, NC, United States
06/2023 - Present	OpenCash Securities, LLC	CCO	Y	Haverford, PA, United States
01/2022 - Present	Security Capital Brokerage, Inc.	COMPLIANCE CONSULTANT	Y	Atlanta, GA, United States
03/2011 - Present	AJN RISK AND COMPLIANCE LLC	MANAGING DIRECTOR	N	WELLINGTON, FL, United States

Registration and Employment History



Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
09/2021 - 05/2023	OpenCash Securities, LLC	CCO	Y	Houston, TX, United States
06/2019 - 07/2021	BG Strategic Advisors, LLC	CCO	Y	W Palm Beach, FL, United States
01/2017 - 06/2018	MASHREQ BANK	CCO	Y	NYC, NY, United States
03/2016 - 12/2016	CIBC WM CORP	COMPLIANCE CONSULTANT, REGULATORY SUPERVISOR	Y	NEW YORK, NY, United States
08/2014 - 03/2016	KBFG	CCO	Y	New York, NY, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Owner of AJN RISK AND COMPLIANCE LLC. This is my LLC through which I provide Compliance and Risk Management services to banks, broker dealers, and RIAs since 2011. I devote on average 176 hours/month to this business, including during securities trading hours. I consult Compliance departments of various financial firms, work as independent contractor, or serve as an outsourced Chief Compliance Officer.

Cartana Consulting Solutions LLC, accounting and compliance firm. Provides accounting, FINOP, and outsourced Compliance services to Broker Dealers and IA. I provide Compliance services.

End of Report



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