

BrokerCheck Report

KENNETH DANIEL MIYAGISHIMA

CRD# 4145923

| <u>Section Title</u> | <u>Page(s)</u> |
|-------------------------------------|----------------|
| Report Summary | 1 |
| Broker Qualifications | 2 - 4 |
| Registration and Employment History | 6 - 7 |



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)

KENNETH D. MIYAGISHIMA

CRD# 4145923

Currently employed by and registered with the following Firm(s):

- B FARMERS FINANCIAL SOLUTIONS, LLC**
1510 S. Solano Dr. Suite C
LAS CRUCES, NM 88001
CRD# 103863
Registered with this firm since: 11/23/2013

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 10 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History**This broker was previously registered with the following securities firm(s):**

- B FARMERS FINANCIAL SOLUTIONS, LLC**
CRD# 103863
LAS CRUCES, NM
09/2000 - 10/2009
- B INVESTORS BROKERAGE SERVICES, INC.**
CRD# 4257
ELGIN, IL
03/2000 - 09/2000

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 10 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **FARMERS FINANCIAL SOLUTIONS, LLC**

Main Office Address: **30700 RUSSELL RANCH ROAD
OFFICE #214
WESTLAKE VILLAGE, CA 91362**

Firm CRD#: **103863**

| | SRO | Category | Status | Date |
|---|-------|--|----------|------------|
| B | FINRA | Invest. Co and Variable Contracts | Approved | 11/23/2013 |
| B | FINRA | Investment Co./Variable Contracts Prin | Approved | 06/13/2014 |

| | U.S. State/ Territory | Category | Status | Date |
|---|-----------------------|----------|----------|------------|
| B | Arizona | Agent | Approved | 07/01/2014 |
| B | California | Agent | Approved | 08/22/2019 |
| B | Massachusetts | Agent | Approved | 02/10/2014 |
| B | Michigan | Agent | Approved | 08/23/2019 |
| B | Nevada | Agent | Approved | 12/18/2015 |
| B | New Mexico | Agent | Approved | 12/12/2013 |
| B | New York | Agent | Approved | 02/05/2014 |
| B | Texas | Agent | Approved | 02/18/2014 |
| B | Virginia | Agent | Approved | 02/05/2014 |
| B | Washington | Agent | Approved | 08/21/2019 |

Broker Qualifications



Employment 1 of 1, continued

Branch Office Locations

FARMERS FINANCIAL SOLUTIONS, LLC

1510 S. Solano Dr. Suite C

LAS CRUCES, NM 88001



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

| Exam | Category | Date |
|---|-----------|------------|
| B Investment Company Products/Variable Contracts Principal Examination | Series 26 | 06/13/2014 |

General Industry/Product Exams

| Exam | Category | Date |
|--|----------|------------|
| B Securities Industry Essentials Examination | SIE | 10/01/2018 |
| B Investment Company Products/Variable Contracts Representative Examination | Series 6 | 11/22/2013 |

State Securities Law Exams

| Exam | Category | Date |
|---|-----------|------------|
| B Uniform Securities Agent State Law Examination | Series 63 | 12/11/2013 |

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

| Registration Dates | Firm Name | CRD# | Branch Location |
|----------------------------|------------------------------------|--------|-----------------|
| B 09/2000 - 10/2009 | FARMERS FINANCIAL SOLUTIONS, LLC | 103863 | LAS CRUCES, NM |
| B 03/2000 - 09/2000 | INVESTORS BROKERAGE SERVICES, INC. | 4257 | ELGIN, IL |

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

| Employment | Employer Name | Position | Investment Related | Employer Location |
|-------------------|-------------------------------------|------------------|--------------------|-------------------------------|
| 08/2023 - Present | Campaign Helpers of New Mexico LLC. | Owner | N | Roswell, NM, United States |
| 08/2023 - Present | New Mexico Leaders Network LLC. | Managing Member | N | Roswell, NM, United States |
| 10/2013 - Present | FARMERS FINANCIAL SOLUTIONS | REGISTERED REP | Y | LAS CRUCES, NM, United States |
| 09/2011 - Present | CITY OF LAS CRUCES | MAYOR/COUNCILMAN | N | LAS CRUCES, NM, United States |
| 01/1985 - Present | FARMERS | AGENT | N | LAS CRUCES, NM, United States |

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

KENNETH MIYAGISHIMA INS AGENCY, INC., INC INS AGENCY, LA CRUCES, NM, 100% OWNER AND START DATE 01/1997. LAS CRUCES, NM 88011. RENTAL HOUSE, 100% OWNER, NM STATE UNIVERSITY College Instructor NM State University HOURS: 12 TRADING HOURS: 0 START DATE: 01/18/2023 ADDRESS: Las Cruces NM 88003, teaching a Personal Finance class starting in January 2022.1x week, I will not be discussing FFS products.
MIYAGISHIMA & CO. INSURANCE - ROSWELL LLC Managing Member District 16-03. HOURS: 100 SECURITIES TRADING HOURS: 0 START DATE: 01/31/2020 Roswell NM 88201,DESCRIPTION: Oversee and manage 23 Farmers Agents.
RENTAL HOUSE Owner NATURE: purchased house. HOURS: 0 TRADING HOURS: 0 START DATE: 05/23/2022 Las cruces NM 88005. Pay mortgage, taxes and insurance.



Registration and Employment History

Other Business Activities, continued

MIYAGI-SOL LLC POSITION: Managing Member NATURE: office building. Have one agent who resides in my office building suite A I was thinking of charging a nominal amount for rent, say \$250 per month HOURS: 1 START DATE: 02/01/2024 Las Cruces NM 88001

Rental unit EXPLORATORY COMMITTEE 4 GOVERNOR Candidate No compensation. The election would not be until June 2, 2026. HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE: 02/20/2025 ADDRESS: Las Cruces NM 88013 DESCRIPTION: No compensation.

KEN GALLEGOS MIYAGISHIMA FOR GOVERNOR COMMITTEE LLC. Candidate or Managing Member of the LLC Kenneth Miyagishima, for Governor of New Mexico. The primary election is scheduled for June 2, 2026, and if successful, the general election will take place on November 3, 2026. I plan to establish an LLC to limit liability and will obtain general liability insurance. I will not receive any form of compensation. No references to FFS will be included or utilized. HOURS: 40 SECURITIES TRADING HOURS: 20 START DATE: 05/01/2025 ADDRESS: Las Cruces NM. As a candidate, I will be hiring various individuals, including campaign managers, advertising professionals, consultants, & others necessary for running a successful campaign.

BMI RECORDS - BROADCAST MUSIC INC. Songwriter INVESTMENT RELATED: No HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 09/01/2025 New York City NY 10007 The songs will be listed on the BMI website and when someone wishes to purchase a song, they pay BMI and then the proceeds are divided 50% for singer and to my committee listed as: Ken Gallegos Miyagishima for Governor Committee LLC. MIYAGI-SOL LLC.

POSITION: Managing Member NATURE: Office building. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 12/01/2025

ADDRESS: Las Cruces NM 88001, US

DESCRIPTION: Miyagi-Sol LLC is the name of my office building. I am a DM and I have one agent who resides in my office building. Suite A is now empty, but I am renting Suite B at \$750 a month to a therapist, it is a separate office and not accessible to any other part of my office.

VICTORY STRATEGY PARTNERS LLC.

POSITION: Advisor NATURE: Political Consulting Business, helping individuals run for political office in various cities within New Mexico. I will not be paid from this business INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 1 START DATE: 04/01/2026 ADDRESS: Las Cruces NM 88001, US

DESCRIPTION: I will assist my wife in various aspects of this business. Such as strategy on best ways the candidate can win their election. I will provide advice on how to run local campaigns, from logos, policy issues, advertising and canvassing voters either through mail, social media, radio, and TV.

End of Report



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