BrokerCheck Report

MATTHEW CLAYTON BARNHART

CRD# 4147797

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

MATTHEW C. BARNHART

CRD# 4147797

Currently employed by and registered with the following Firm(s):

IA **SOVRAN ADVISORS, LLC**
3131 CAMINO DEL RIO N.
SUITE 800
SAN DIEGO, CA 92108
CRD# 329415
Registered with this firm since: 02/23/2024

B **CETERA WEALTH SERVICES, LLC**
3131 CAMINO DEL RIO NORTH
SUITE 800
SAN DIEGO, CA 92108
CRD# 13572
Registered with this firm since: 02/22/2024

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 29 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

IA **MML INVESTORS SERVICES, LLC**
CRD# 10409
SPRINGFIELD, MA
03/2017 - 03/2024

B **MML INVESTORS SERVICES, LLC**
CRD# 10409
SAN DIEGO, CA
03/2017 - 03/2024

IA **MSI FINANCIAL SERVICES, INC.**
CRD# 14251
SPRINGFIELD, MA
10/2006 - 03/2017

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 29 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**

Main Office Address: **2301 ROSECRANS AVE #5100**
EL SEGUNDO, CA 90245

Firm CRD#: **13572**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	02/22/2024

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	02/22/2024
B	California	Agent	Approved	02/22/2024
B	Colorado	Agent	Approved	02/22/2024
B	Connecticut	Agent	Approved	02/22/2024
B	Delaware	Agent	Approved	02/22/2024
B	Florida	Agent	Approved	02/22/2024
B	Georgia	Agent	Approved	02/22/2024
B	Hawaii	Agent	Approved	02/22/2024
B	Idaho	Agent	Approved	02/22/2024
B	Illinois	Agent	Approved	02/22/2024
B	Indiana	Agent	Approved	02/22/2024
B	Kentucky	Agent	Approved	02/22/2024

Broker Qualifications

Employment 1 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Maine	Agent	Approved	02/22/2024
B	Maryland	Agent	Approved	02/22/2024
B	Michigan	Agent	Approved	02/22/2024
B	Missouri	Agent	Approved	02/22/2024
B	Montana	Agent	Approved	02/22/2024
B	Nevada	Agent	Approved	02/22/2024
B	New Jersey	Agent	Approved	10/24/2024
B	North Carolina	Agent	Approved	02/22/2024
B	Ohio	Agent	Approved	02/22/2024
B	Oregon	Agent	Approved	02/22/2024
B	Pennsylvania	Agent	Approved	02/22/2024
B	South Carolina	Agent	Approved	10/07/2025
B	Tennessee	Agent	Approved	02/22/2024
B	Texas	Agent	Approved	02/22/2024
B	Utah	Agent	Approved	02/22/2024
B	Virginia	Agent	Approved	02/22/2024
B	Washington	Agent	Approved	02/22/2024

Branch Office Locations

CETERA WEALTH SERVICES, LLC
3131 CAMINO DEL RIO NORTH
SUITE 800
SAN DIEGO, CA 92108

Broker Qualifications

Employment 1 of 2, continued

Employment 2 of 2

Firm Name: **SOVRAN ADVISORS, LLC**

Main Office Address: **3131 CAMINO DEL RIO N.**
SUITE 800
SAN DIEGO, CA 92108

Firm CRD#: **329415**

	U.S. State/ Territory	Category	Status	Date
IA	California	Investment Adviser Representative	Approved	02/23/2024

Branch Office Locations

3131 CAMINO DEL RIO N.
SUITE 800
SAN DIEGO, CA 92108

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	General Securities Representative Examination	Series 7	05/04/2000

State Securities Law Exams

	Exam	Category	Date
B IA	Uniform Combined State Law Examination	Series 66	11/15/2000

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
B	03/2017 - 03/2024	MML INVESTORS SERVICES, LLC	10409	SAN DIEGO, CA
IA	03/2017 - 03/2024	MML INVESTORS SERVICES, LLC	10409	SAN DIEGO, CA
IA	10/2006 - 03/2017	MSI FINANCIAL SERVICES, INC.	14251	SAN DIEGO, CA
B	10/2006 - 03/2017	MSI FINANCIAL SERVICES, INC.	14251	SAN DIEGO, CA
B	10/2006 - 07/2007	METROPOLITAN LIFE INSURANCE COMPANY	4095	SAN DIEGO, CA
IA	07/2003 - 10/2006	H&R BLOCK FINANCIAL ADVISORS, INC.	5979	SAN DIEGO, CA
B	01/2002 - 10/2006	H&R BLOCK FINANCIAL ADVISORS, INC.	5979	SAN DIEGO, CA
B	05/2000 - 12/2001	MORGAN STANLEY DW INC.	7556	PURCHASE, NY

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
02/2024 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States
02/2024 - Present	SOVRAN ADVISORS LLC - CRD 329415	INVESTMENT ADVISOR REPRESENTATIVE	Y	SAN DIEGO, CA, United States
01/2020 - Present	CONCIERGE WEALTH MANAGEMENT	CEO	Y	SAN DIEGO, CA, United States
03/2017 - 02/2024	MML INVESTORS SERVICES, LLC	Mass Transfer	Y	SAN DIEGO, CA, United States
07/2016 - 02/2024	Massachusetts Mutual Life Insurance Company	FSR	Y	San Diego, CA, United States

Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
09/2006 - 03/2017	METLIFE SECURITIES, INC.	FINANCIAL SERVICES REPRESENTATIVE	Y	SAN DIEGO, CA, United States
09/2006 - 03/2017	METROPOLITAN LIFE INSURANCE CO.	FINANCIAL SERVICES REPRESENTATIVE	Y	SAN DIEGO, CA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1.NAME OF OTHER BUSINESS: SOVRAN ADVISORS LLC - CRD 329415
INVESTMENT RELATED: YES
ADDRESS: SAME AS REGISTERED LOCATION
NATURE OF BUSINESS: SEC REGISTERED INVESTMENT ADVISORY FIRM
POSITION/TITLE/RELATIONSHIP: INVESTMENT ADVISOR REPRESENTATIVE
START DATE: 02/2024
APX NUMBER OF HOURS PER WEEK: 40
APX NUMBER OF HOURS DURING TRADING HOURS: 40
BRIEF DESCRIPTION OF DUTIES: FINANCIAL PLANNING AND ASSET MANAGEMENT
2. NAME OF OTHER BUSINESS: FIXED INSURANCE WITH VARIOUS COMPANIES
INVESTMENT RELATED: YES
ADDRESS: SAME AS REGISTERED LOCATION
NATURE OF BUSINESS: FIXED INSURANCE
START DATE: 12/2023
APX NUMBER OF HOURS PER WEEK:MINIMAL
APX NUMBER OF HOURS DURING TRADING HOURS: NONE
POSITION/TITLE/RELATIONSHIP: INSURANCE AGENT
BRIEF DESCRIPTION OF DUTIES: SELLS FIXED INSURANCE PRODUCTS
3. NAME OF OTHER BUSINESS: CONCIERGE WEALTH MANAGEMENT
INVESTMENT RELATED: YES
ADDRESS: SAME AS REGISTERED LOCATION
NATURE OF BUSINESS: LLC
START DATE: 01/2020
POSITION/TITLE/RELATIONSHIP: CEO
APX NUMBER OF HOURS PER WEEK: 20
APX NUMBER OF HOURS DURING TRADING HOURS: 3
BRIEF DESCRIPTION OF DUTIES: RUNNING THE COMPANY;

End of Report

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