BrokerCheck Report

JEREMIAS MACHADO FRANCIOLI

CRD# 4235854

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When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
    - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
    - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

JEREMIAS M. FRANCIOLI

CRD# 4235854

Currently employed by and registered with the following Firm(s):

B **BAKER TILLY CAPITAL, LLC**
4807 INNOVATE LANE
MADISON, WI 53718
CRD# 115333
Registered with this firm since: 02/14/2024

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 51 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

B **GREEN VISTA CAPITAL, LLC**
CRD# 293630
WINTER PARK, FL
08/2023 - 02/2024

B **PURSHE KAPLAN STERLING INVESTMENTS**
CRD# 35747
Bonita Springs, FL
03/2023 - 08/2023

IA **INVST, LLC**
CRD# 282863
INDIANAPOLIS, IN
03/2023 - 08/2023

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

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Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 51 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **BAKER TILLY CAPITAL, LLC**

Main Office Address: **4807 INNOVATE LANE**
MADISON, WI 53718

Firm CRD#: **115333**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	02/14/2024
B	FINRA	General Securities Principal	Approved	08/02/2025

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	02/14/2024
B	Alaska	Agent	Approved	02/14/2024
B	Arizona	Agent	Approved	02/14/2024
B	Arkansas	Agent	Approved	02/14/2024
B	California	Agent	Approved	02/14/2024
B	Colorado	Agent	Approved	02/14/2024
B	Connecticut	Agent	Approved	02/14/2024
B	Delaware	Agent	Approved	02/14/2024
B	District of Columbia	Agent	Approved	02/14/2024
B	Florida	Agent	Approved	02/19/2024
B	Georgia	Agent	Approved	02/14/2024

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Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Hawaii	Agent	Approved	02/14/2024
B	Idaho	Agent	Approved	02/14/2024
B	Illinois	Agent	Approved	02/14/2024
B	Indiana	Agent	Approved	02/14/2024
B	Iowa	Agent	Approved	02/14/2024
B	Kansas	Agent	Approved	02/14/2024
B	Kentucky	Agent	Approved	02/14/2024
B	Louisiana	Agent	Approved	02/14/2024
B	Maine	Agent	Approved	02/14/2024
B	Maryland	Agent	Approved	02/14/2024
B	Massachusetts	Agent	Approved	02/14/2024
B	Michigan	Agent	Approved	02/14/2024
B	Minnesota	Agent	Approved	02/14/2024
B	Mississippi	Agent	Approved	02/14/2024
B	Missouri	Agent	Approved	02/14/2024
B	Montana	Agent	Approved	02/14/2024
B	Nebraska	Agent	Approved	02/14/2024
B	Nevada	Agent	Approved	02/14/2024
B	New Hampshire	Agent	Approved	02/14/2024
B	New Jersey	Agent	Approved	02/14/2024
B	New Mexico	Agent	Approved	02/14/2024

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Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	New York	Agent	Approved	02/14/2024
B	North Carolina	Agent	Approved	02/14/2024
B	North Dakota	Agent	Approved	02/14/2024
B	Ohio	Agent	Approved	02/14/2024
B	Oklahoma	Agent	Approved	02/14/2024
B	Oregon	Agent	Approved	02/14/2024
B	Pennsylvania	Agent	Approved	02/14/2024
B	Rhode Island	Agent	Approved	02/14/2024
B	South Carolina	Agent	Approved	02/14/2024
B	South Dakota	Agent	Approved	02/14/2024
B	Tennessee	Agent	Approved	02/14/2024
B	Texas	Agent	Approved	02/14/2024
B	Utah	Agent	Approved	02/14/2024
B	Vermont	Agent	Approved	02/14/2024
B	Virginia	Agent	Approved	02/14/2024
B	Washington	Agent	Approved	02/14/2024
B	West Virginia	Agent	Approved	02/14/2024
B	Wisconsin	Agent	Approved	02/14/2024
B	Wyoming	Agent	Approved	02/14/2024

Branch Office Locations

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Broker Qualifications

Employment 1 of 1, continued

BAKER TILLY CAPITAL, LLC
4807 INNOVATE LANE
MADISON, WI 53718

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Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam		Category	Date
B	General Securities Principal Examination	Series 24	08/01/2025

General Industry/Product Exams

Exam		Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	General Securities Representative Examination	Series 7	08/29/2000

State Securities Law Exams

Exam		Category	Date
IA	Uniform Investment Adviser Law Examination	Series 65	10/10/2022
B	Uniform Securities Agent State Law Examination	Series 63	09/13/2000

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

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Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

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Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
B	08/2023 - 02/2024	GREEN VISTA CAPITAL, LLC	293630	WINTER PARK, FL
B	03/2023 - 08/2023	PURSHE KAPLAN STERLING INVESTMENTS	35747	Bonita Springs, FL
IA	03/2023 - 08/2023	INVST, LLC	282863	INDIANAPOLIS, IN
IA	12/2022 - 04/2023	BANGERTER FINANCIAL SERVICES, INC.	171939	ESTERO, FL
B	03/2022 - 02/2023	CONCORDE INVESTMENT SERVICES, LLC	151604	ANN ARBOR, MI
B	04/2019 - 04/2022	COBALT CAPITAL, INC.	136161	LAKE MARY, FL
B	09/2018 - 04/2019	ORCHARD SECURITIES, LLC	133378	PLEASANT GROVE, UT
B	07/2015 - 08/2018	TRILOMA SECURITIES, LLC	173059	WINTER PARK, FL
B	10/2008 - 06/2015	CNL SECURITIES CORP.	10356	ORLANDO, FL
B	08/2007 - 10/2008	INLAND SECURITIES CORPORATION	15807	OAK BROOK, IL
B	04/2004 - 07/2007	CNL SECURITIES CORP.	10356	SAN DIEGO, CA
IA	05/2002 - 04/2004	STRONG CAPITAL MANAGEMENT INC	106638	MENOMONEE FALLS, WI
B	08/2000 - 04/2004	STRONG INVESTMENTS, INC.	15658	MENOMONEE FALLS, WI

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
02/2024 - Present	Baker Tilly Advisory Group, LP	Manager	N	Madison, WI, United States
02/2024 - Present	Baker Tilly Capital, LLC	Independent Wholesaler Representative	Y	Madison, WI, United States

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Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
08/2023 - 02/2024	GREEN VISTA ASSET MANAGEMENT, LLC	INVESTMENT ADVISER	Y	WINTER PARK, FL, United States
08/2023 - 02/2024	GREEN VISTA CAPITAL, LLC	REGISTERED REPRESENTATIVE	Y	WINTER PARK, FL, United States
03/2023 - 08/2023	Purshe Kaplan Sterling Investments	Registered Representative	Y	Albany, NY, United States
02/2023 - 08/2023	Invst LLC	Investment Advisor Representative	Y	Indianapolis, IN, United States
09/2022 - 02/2023	Bangerter Financial Services, Inc.	Investment Advisor Representative	Y	Roseville, CA, United States
04/2022 - 02/2023	Bangerter Financial Services, Inc.	Assistant	Y	Roseville, CA, United States
03/2022 - 02/2023	Concorde Investment Services, LLC	Registered Representative	Y	Livonia, MI, United States
04/2019 - 03/2022	Cobalt Capital Inc	Registered Rep	Y	Orlando, FL, United States
08/2018 - 03/2022	Bourne Financial Group	Senior VP	Y	Winter Park, FL, United States
09/2018 - 04/2019	Orchard Securities, LLC	Registered Rep	Y	Lehi, UT, United States
07/2015 - 08/2018	TRILOMA SECURITIES, LLC	EXTERNAL WHOLESALER	Y	WINTER PARK, FL, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1) Jeremias M. Francioli, LLC. Not investment-related. Located at 9400 Fountain Medical Court, Suite B-110, Bonita Springs, FL 34135. Operating LLC. Owner. Start: 10/2022. 1 hr/month; none during trading hours. Pass-through/holding entity.
2) Jeremias Francioli, Author of "Social Security Your Earned Benefits". Located in Estero, FL. Start: 2023. Zero hours spent; zero during trading hours. Receive small amount of royalties from book sales
3)BAKER TILLY CAPITAL, LLC ("BTC") IS A CONTROLLED SUBSIDIARY OF BAKER TILLY ADVISORY GROUP, LP ("BAKER TILLY"). SALARY AND VARIABLE COMPENSATION (IF APPLICABLE) IS PAID BY BAKER TILLY. 100% OF TIME IS SPENT AT BTC, PROVIDING CORPORATE FINANCIAL ADVISORY, DUE DILIGENCE AND VALUATION SERVICES TO BUSINESSES THAT MAY ALSO USE BTC FOR SERVICES IN CONNECTION WITH MERGERS & ACQUISITIONS, FAIRNESS OPINION SERVICES, RAISING PRIVATE CAPITAL, NEW MARKET TAX CREDITS, AND OTHER CORPORATE TRANSACTIONAL SERVICES. THERE IS AN EXPENSE-SHARING AGREEMENT IN PLACE BETWEEN BTC AND BAKER TILLY WHICH GOVERNS SHARING OF PERSONNEL AND RESOURCES.

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End of Report

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