

BrokerCheck Report

LAWRENCE HOWARD SIZELER

CRD# 425593

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



LAWRENCE H. SIZELER

CRD# 425593

Currently employed by and registered with the following Firm(s):

IA WELLS FARGO ADVISORS
4030 W BOY SCOUT BLVD STE 150
TAMPA, FL 33607
CRD# 19616
Registered with this firm since: 03/17/2022

B WELLS FARGO CLEARING SERVICES, LLC
4030 W BOY SCOUT BLVD STE 150
TAMPA, FL 33607
CRD# 19616
Registered with this firm since: 03/17/2022

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 11 Self-Regulatory Organizations
- 45 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 4 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- IA CAPITOL SECURITIES MANAGEMENT, INC.**
CRD# 14169
GLEN ALLEN, VA
10/2007 - 04/2022
- B CAPITOL SECURITIES MANAGEMENT, INC.**
CRD# 14169
TAMPA, FL
09/2007 - 04/2022
- B FIRST SOUTHEASTERN SECURITIES GROUP, INCORPORATED**
CRD# 14538
TAMPA, FL
03/2001 - 09/2007

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	1
Customer Dispute	3

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 11 SROs and is licensed in 45 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **WELLS FARGO CLEARING SERVICES, LLC**

Main Office Address: **ONE NORTH JEFFERSON AVENUE
MAIL CODE: H0004-05E
ST. LOUIS, MO 63103**

Firm CRD#: **19616**

	SRO	Category	Status	Date
B	Cboe BZX Exchange, Inc.	General Securities Representative	Approved	03/17/2022
B	Cboe Exchange, Inc.	General Securities Representative	Approved	03/17/2022
B	FINRA	General Securities Representative	Approved	03/17/2022
B	NYSE American LLC	General Securities Representative	Approved	03/17/2022
B	NYSE Arca, Inc.	General Securities Representative	Approved	03/17/2022
B	NYSE Texas, Inc.	General Securities Representative	Approved	03/17/2022
B	Nasdaq GEMX, LLC	General Securities Representative	Approved	03/17/2022
B	Nasdaq ISE, LLC	General Securities Representative	Approved	03/17/2022
B	Nasdaq PHLX LLC	General Securities Representative	Approved	03/17/2022
B	Nasdaq Stock Market	General Securities Representative	Approved	03/17/2022
B	New York Stock Exchange	General Securities Representative	Approved	03/17/2022

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	06/04/2025
B	Alaska	Agent	Approved	06/06/2025

Broker Qualifications



Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	06/06/2025
B	Arkansas	Agent	Approved	06/16/2025
B	California	Agent	Approved	06/04/2025
B	Colorado	Agent	Approved	03/17/2022
B	Connecticut	Agent	Approved	06/03/2025
B	Delaware	Agent	Approved	06/07/2025
B	District of Columbia	Agent	Approved	06/05/2025
B	Florida	Agent	Approved	03/17/2022
IA	Florida	Investment Adviser Representative	Approved	03/18/2022
B	Georgia	Agent	Approved	03/17/2022
B	Idaho	Agent	Approved	06/09/2025
B	Illinois	Agent	Approved	03/18/2022
B	Indiana	Agent	Approved	06/04/2025
B	Iowa	Agent	Approved	03/17/2022
B	Kentucky	Agent	Approved	06/12/2025
B	Louisiana	Agent	Approved	06/04/2025
B	Maine	Agent	Approved	06/09/2025
B	Maryland	Agent	Approved	03/17/2022
B	Massachusetts	Agent	Approved	06/13/2025
B	Michigan	Agent	Approved	06/05/2025
B	Minnesota	Agent	Approved	06/09/2025

Broker Qualifications



Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Mississippi	Agent	Approved	06/11/2025
B	Missouri	Agent	Approved	06/04/2025
B	Nebraska	Agent	Approved	06/04/2025
B	Nevada	Agent	Approved	06/08/2025
B	New Hampshire	Agent	Approved	06/09/2025
B	New Jersey	Agent	Approved	06/04/2025
B	New Mexico	Agent	Approved	10/13/2022
B	New York	Agent	Approved	03/17/2022
B	North Carolina	Agent	Approved	03/17/2022
B	Ohio	Agent	Approved	06/04/2025
B	Oklahoma	Agent	Approved	06/04/2025
B	Oregon	Agent	Approved	06/04/2025
B	Pennsylvania	Agent	Approved	06/06/2025
B	Puerto Rico	Agent	Approved	07/21/2025
B	South Carolina	Agent	Approved	08/22/2024
B	Tennessee	Agent	Approved	03/17/2022
B	Texas	Agent	Approved	03/17/2022
IA	Texas	Investment Adviser Representative	Restricted Approval	03/17/2022
B	Utah	Agent	Approved	06/04/2025
B	Vermont	Agent	Approved	06/09/2025
B	Virginia	Agent	Approved	06/09/2025

Broker Qualifications



Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Washington	Agent	Approved	06/09/2025
B	West Virginia	Agent	Approved	06/12/2025
B	Wisconsin	Agent	Approved	06/10/2025

Branch Office Locations

WELLS FARGO CLEARING SERVICES, LLC
4030 W BOY SCOUT BLVD STE 150
TAMPA, FL 33607

WELLS FARGO CLEARING SERVICES, LLC
Tampa, FL



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination	Series 7TO	01/02/2023
B Securities Industry Essentials Examination	SIE	10/01/2018
B AMEX Put and Call Exam	PC	02/06/1979
B Registered Representative Examination	Series 1	09/13/1973

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	10/05/2007
B Uniform Securities Agent State Law Examination	Series 63	09/17/1984

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 10/2007 - 04/2022	CAPITOL SECURITIES MANAGEMENT, INC.	14169	TAMPA, FL
B 09/2007 - 04/2022	CAPITOL SECURITIES MANAGEMENT, INC.	14169	TAMPA, FL
B 03/2001 - 09/2007	FIRST SOUTHEASTERN SECURITIES GROUP, INCORPORATED	14538	TAMPA, FL
B 02/2000 - 03/2001	COASTAL FINANCIAL SECURITY, INCORPORATED	15298	ORANGEBURG, NY
B 10/1993 - 01/2000	PRUDENTIAL SECURITIES INCORPORATED	7471	NEW YORK, NY
B 07/1993 - 10/1993	SMITH BARNEY SHEARSON INC.	7059	NEW YORK, NY
B 04/1988 - 07/1993	LEHMAN BROTHERS INC.	7506	NEW YORK, NY
B 02/1978 - 03/1988	DEAN WITTER REYNOLDS INC.	7556	
B 07/1974 - 02/1978	DEAN WITTER & CO. INCORPORATED	6466	
B 09/1973 - 10/1974	SHEARSON, HAMMILL & CO., INCORPORATED	766	

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
03/2022 - Present	WELLS FARGO CLEARING SERVICES, LLC	REGISTERED REP	Y	TAMPA, FL, United States
03/2001 - 03/2022	FIRST SOUTHEASTERN SECURITIES GROUP, INCORPORATED	Mass Transfer	Y	TAMPA, FL, United States

Registration and Employment History



Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

POWER OF ATTORNEY FOR SPOUSE; INV. RELATED; TAMPA, FL; START DATE 11/2022; 5 HRS PER MONTH; 1 HR DURING TRADING.

Disclosure Events



What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
 -
3. **Disclosure events in BrokerCheck reports come from different sources:**
 - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
 -
4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Regulatory Event	0	1	0
Customer Dispute	0	3	N/A



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Regulatory - Final

This type of disclosure event may involve (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulatory such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of a broker's authority to act as an attorney, accountant, or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: IN

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 04/21/1999

Docket/Case Number: 99-0016 RA

Employing firm when activity occurred which led to the regulatory action:

Product Type:

Other Product Type(s):

Allegations: DISCIPLINARY HISTORY RAISED QUESTIONS REGARDING ISSUING AN AGENT REGISTRATION IN INDIANA.

Current Status: Final

Resolution: Stipulation and Consent

Resolution Date: 04/21/1999

Sanctions Ordered:

**Other Sanctions Ordered:****Sanction Details:**

FILED ORDER OF RESTRICTIVE AGREEMENT ON
4/21/1999.

Regulator Statement

ON OR ABOUT 1/29/99, THE AGENT APPLIED FOR
REGISTRATION IN INDIANA. AFTER REVIEW OF DISCIPLINARY HISTORY,
THE AGENT'S REGISTRATION WAS APPROVED WITH RESTRICTIONS
PURSUANT TO AN ORDER OF RESTRICTIVE AGREEMENT THAT INCLUDES
BUT
NOT LIMITED TO: 1) STRICT SUPERVISION, 2) NO DISCRETIONARY
AUTHORITY OVER INDIANA ACCOUNTS, 3) APPROVAL BY THE BRANCH
MANAGER OF NEW ACCOUNTS, 4) NOTIFICATION TO THE DIVISION OF ANY
COMPLAINTS, AND 5) COMPLIANCE WITH ALL STATE/FEDERAL SECURITIES
LAWS. CONTACT: KATHLEEN GUYMON BLACKHAM (317) 232-6681

Reporting Source:

Broker

**Regulatory Action Initiated
By:**

STATE OF INDIANA SECURITIES DIVISION

Sanction(s) Sought:

Other

Other Sanction(s) Sought:

ORDER OF RESTRICTIVE AGREEMENT. NO DISCRETIONARY AUTHORITY
OVER ANY INDIANA ACCOUNTS; NEW INDIANA ACCOUNTS TO BE
APPROVED BY BRANCH MANAGER; NO "PROHIBITIVE (INDIANA) BUSINESS
PRACTICES; NOTIFICATION TO INDIANA OF ANY WRITTEN OR
"SIGNIFICANT" ORAL COMPLAINT ARISING IN INDIANA, AND/OR ANY
COMPLAINT OR ACTION FILED; NO PARTICIPATION IN MASS TRANSFER;
AND COMPLIANCE WITH ALL STATE AND FEDERAL SECURITIES LAWS, AND
SUPERVISORY PROCEDURES.

Date Initiated:

03/10/1999

Docket/Case Number:

CAUSE NO. 99-0079 RA

**Employing firm when activity
occurred which led to the
regulatory action:**

PRUDENTIAL SECURITIES, INC.

Product Type:

No Product

Other Product Type(s):**Allegations:**

THERE WERE NO "ALLEGATIONS" AGAINST ME. THE INDIANA AGREEMENT
SAID SOLELY THAT BASED UPON INDIANA'S "...REVIEW OF (MY)
DISCIPLINARY INFORMATION...A BASIS MAY EXIST...TO DENY SUCH



APPLICATION. THE DIVISION HAS, NOTWITHSTANDING THE BASIS FOR DENIAL, DETERMINED THE PROTECTION OF THE INVESTING PUBLIC DOES NOT REQUIRE DENIAL OF (MY) APPLICATION..." SO LONG AS I WOULD AGREE TO THE CONDITIONS ENUMERATED ABOVE.

Current Status: Final

Appealed To and Date Appeal Filed: N/A

Resolution: Consent

Resolution Date: 04/21/1999

Broker Statement MY FIRM REQUESTED AN INDIANA AGENT LICENSE FOR THE FOLLOWING SINGLE REASON: I WAS PART OF A THREE-MAN TEAM AT PRUDENTIAL SECURITIES WHERE WE SHARED COMMISSIONS ON ALL OF OUR MUTUAL CLIENTS. PRUDENTIAL INSISTED THAT TO FACILITATE PAYMENT OF COMMISSIONS, ALL THREE OF US HAD TO BE LICENSED IN ANY STATE THAT ANY SINGLE CLIENT WAS LIVING. I HAVE NO CLIENTS IN INDIANA BUT MY TEAM MEMBERS DID. I AM NOT ASKING TO BE LICENSED IN THE STATE OF INDIANA AND DO NOT ANTICIPATE IT IN THE FORESEABLE FUTURE.



Customer Dispute - Settled

This type of disclosure event involves a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit containing allegations of sale practice violations against the broker that resulted in a monetary settlement to the customer.

Disclosure 1 of 3

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	PRUDENTIAL SECURITIES INC.
Allegations:	CLIENT ALLEGES UNAUTHORIZED AND UNSUITABLE TRADING AND MISREPRESENTATION, WITH ALLEGED DAMAGES OF \$333,899.00.
Product Type:	Equity Listed (Common & Preferred Stock)
Other Product Type(s):	EQUITY - OTC
Alleged Damages:	\$333,899.00

Customer Complaint Information

Date Complaint Received:	07/07/1998
Complaint Pending?	No
Status:	Arbitration/Reparation
Status Date:	07/07/1999
Settlement Amount:	
Individual Contribution Amount:	

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	NATIONAL ASSOC. OF SECURITIES DEALERS; 98-02290
Date Notice/Process Served:	07/07/1998
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	11/30/1999
Monetary Compensation Amount:	\$175,000.00



Individual Contribution Amount: \$0.00

Disclosure 2 of 3

Reporting Source: Broker

Employing firm when activities occurred which led to the complaint: SHEARSON

Allegations: MISREPRESENTATION, SUITABILITY - ALLEGED DAMAGES \$47,679.09

Product Type:

Alleged Damages: \$47,679.09

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 04/19/1993

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: [NASD; 91-01397](#)

Date Notice/Process Served: 07/02/1991

Arbitration Pending? No

Disposition: Settled

Disposition Date: 04/19/1993

Monetary Compensation Amount: \$15,300.00

Individual Contribution Amount:

Broker Statement CASE SETTLED FOR \$15,300.00. SHEARSON PAID



TOTAL SETTLEMENT. LARRY SIZELER WAS DISMISSED FROM THE CLAIM.
Not Provided

Disclosure 3 of 3

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: DEAN WITTER

Allegations: WESTERN SAVINGS & LOAN STOCK WAS AN UNSUITABLE RECOMMENDATION. DAMAGES CLAIMED: \$54K.

Product Type:

Alleged Damages: \$54,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: Unknown Conversion

Date Notice/Process Served: 05/01/1991

Arbitration Pending? No

Disposition: Settled

Disposition Date: 05/01/1992

Monetary Compensation Amount: \$12,600.00

Individual Contribution Amount: \$0.00

**Firm Statement**

IN ORDER TO AVOID COST OF LITIGATION, DEAN WITTER AGREED TO PAY [CUSTOMER] \$12,600. SIEZLER WON'T CONTRIBUTE TO SETTLEMENT. SHOULD YOU HAVE ANY QUESTIONS CONCERNING THIS FILING, PLEASE CONTACT [BROKER DEALER CONTACT PERSON] AT 212-392-1950.

Reporting Source:

Broker

Employing firm when activities occurred which led to the complaint:

DEAN WITTER

Allegations:

WEST SAVINGS & LOAN STOCK WAS AN UNSUITABLE RECOMMENDATION. DAMAGES CLAIMED \$54,000.00

Product Type:**Alleged Damages:**

\$54,000.00

Customer Complaint Information**Date Complaint Received:****Complaint Pending?**

No

Status:

Arbitration/Reparation

Status Date:**Settlement Amount:****Individual Contribution Amount:****Arbitration Information****Arbitration/Reparation Claim filed with and Docket/Case No.:**

Unknown Conversion

Date Notice/Process Served:

05/01/1991

Arbitration Pending?

No

Disposition:

Settled

Disposition Date:

05/01/1992

Monetary Compensation Amount:

\$12,600.00



Individual Contribution Amount:	\$0.00
Broker Statement	IN ORDER TO AVOID COST OF LITIGATION, DEAN WITTER AGREED TO PAY [CUSTOMER] \$12,600.00. SIZELER WON'T CONTRIBUTE TO SETTLEMENT. Not Provided

End of Report



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