

BrokerCheck Report

MATTHEW DAVID CALHOUN

CRD# 4425914

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)

MATTHEW D. CALHOUN

CRD# 4425914

Currently employed by and registered with the following Firm(s):

IA SIGNATURE EQUITY PARTNERS, LLC
 6800 Schrock Hill Court
 Columbus, OH 43229
 CRD# 327455
 Registered with this firm since: 10/09/2024

B OSAIC WEALTH, INC.
 6800 Schrock Hill Court
 Columbus, OH 43229
 CRD# 23131
 Registered with this firm since: 10/09/2024

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 12 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 4 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):**

- IA CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.**
 CRD# 134139
 FAIRFIELD, IA
 05/2021 - 10/2024
- B CAMBRIDGE INVESTMENT RESEARCH, INC.**
 CRD# 39543
 Westerville, OH
 05/2021 - 10/2024
- IA OSAIC WEALTH, INC.**
 CRD# 23131
 SCOTTSDALE, AZ
 10/2024 - 10/2024

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Customer Dispute	1



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 12 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **OSAIC WEALTH, INC.**
 Main Office Address: **18700 N. HAYDEN ROAD
 SUITE 255
 SCOTTSDALE, AZ 85255**
 Firm CRD#: **23131**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	10/09/2024
B	FINRA	Invest. Co and Variable Contracts	Approved	10/09/2024

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	10/09/2024
B	California	Agent	Approved	10/09/2024
B	District of Columbia	Agent	Approved	10/09/2024
B	Florida	Agent	Approved	10/09/2024
B	Minnesota	Agent	Approved	10/09/2024
B	Nevada	Agent	Approved	10/09/2024
B	North Carolina	Agent	Approved	11/01/2024
B	Ohio	Agent	Approved	10/09/2024
B	Pennsylvania	Agent	Approved	02/06/2026
B	South Carolina	Agent	Approved	04/30/2025
B	Tennessee	Agent	Approved	11/05/2024



Broker Qualifications

Employment 1 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Virginia	Agent	Approved	10/09/2024

Branch Office Locations

OSAIC WEALTH, INC.
6800 Schrock Hill Court
Columbus, OH 43229

Employment 2 of 2

Firm Name: **SIGNATURE EQUITY PARTNERS, LLC**
Main Office Address: **445 HUTCHINSON AVENUE
SUITE 800
COLUMBUS, OH 43235**
Firm CRD#: **327455**

	U.S. State/ Territory	Category	Status	Date
IA	Ohio	Investment Adviser Representative	Approved	10/09/2024

Branch Office Locations

6800 Schrock Hill Court
Columbus, OH 43229



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B Investment Company Products/Variable Contracts Principal Examination	Series 26	11/04/2002

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B Futures Managed Funds Examination	Series 31	04/29/2004
B General Securities Representative Examination	Series 7	12/30/2003
B Investment Company Products/Variable Contracts Representative Examination	Series 6	08/02/2001

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	12/22/2004
IA Uniform Investment Adviser Law Examination	Series 65	01/29/2004

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 05/2021 - 10/2024	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	134139	Westerville, OH
B 05/2021 - 10/2024	CAMBRIDGE INVESTMENT RESEARCH, INC.	39543	Westerville, OH
IA 10/2024 - 10/2024	OSAIC WEALTH, INC.	23131	COLUMBUS, OH
B 02/2016 - 05/2021	FIRST FINANCIAL EQUITY CORPORATION	16507	Dublin, OH
IA 02/2016 - 05/2021	FIRST FINANCIAL EQUITY CORPORATION	16507	Dublin, OH
B 06/2009 - 02/2016	MORGAN STANLEY	149777	DUBLIN, OH
IA 06/2009 - 02/2016	MORGAN STANLEY	149777	DUBLIN, OH
B 04/2007 - 06/2009	MORGAN STANLEY & CO. INCORPORATED	8209	COLUMBUS, OH
IA 04/2007 - 06/2009	MORGAN STANLEY & CO. INCORPORATED	8209	COLUMBUS, OH
IA 11/2003 - 04/2007	MORGAN STANLEY	7556	COLUMBUS, OH
B 10/2003 - 04/2007	MORGAN STANLEY DW INC.	7556	COLUMBUS, OH
B 08/2001 - 10/2003	PFS INVESTMENTS INC.	10111	DULUTH, GA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
10/2024 - Present	Osaic	REGISTERED REPRESENTATIVE	Y	Columbus, OH, United States
10/2024 - Present	Signature Equity Partners, LLC	Investment Adviser Representative	Y	Westerville, OH, United States



Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
05/2021 - 10/2024	Cambridge Investment Research Advisors, Inc.	Investment Advisor Representative	Y	Fairfield, IA, United States
05/2021 - 10/2024	Cambridge Investment Research, Inc.	Registered Representative	Y	Fairfield, IA, United States
02/2016 - 05/2021	First Financial Equity Corporation	Registered Representative/IAR	Y	Dublin, OH, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1) Hammer & Nails Salon Group, LLC; 101 Parkshore Drive, Suite 100 Folsom, CA 95630; 04/30/21; silent partner in a salon; NIR; 3/monthly; 0/trading

2) CIRA, 1776 PLEASANT PLAIN RD, FAIRFIELD, IA, AS ADVISORY REP OF A RIA. INV REL-80/MO-80/TRADING. 05/05/21 IAR THAT MANAGES CLIENT ASSETS OR PREPARES FINANCIAL PLANS FOR A FEE. OBA FORM SUBMITTED ON FINANCIAL PROFESSIONAL'S BEHALF, BY CIR HOME OFFICE. TIME SPENT AND INCOME ARE ESTIMATED.

3) WINSTON'S PARLOR CIGAR LOUNGE / 3843 B Erie Ave, Cincinnati OH 45208 / 06/15/2022 / NIR / OWNER / 1 HR/MO - 0 HR/MO TRADING

4) MATTHEW CALHOUN, 6694 Clear Creek Loop, Powell OH 43065, United States, 06/30/2022, Independent broker for various insurance agencies, 1099 Contractor, NIR, 2 HR/MO - 0 HR/MO TRADING

5) FIRST OF SEVEN LLC

POSITION: owner NATURE: It is a male grooming salon. It's a franchise INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 0 START DATE: 08/05/2022

ADDRESS: 3384 Erie Avenue, Cincinnati OH 45208, United States

DESCRIPTION: I own the business with another partner. He runs it, and I financed it.

7) FIRST RESPONDERS FINANCIAL

POSITION: I conduct securities and variable insurance business under this business name NATURE: DBA INVESTMENT RELATED: Yes

NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 130 START DATE: 10/09/2024

ADDRESS: 6800 Schrock Hill Ct., Columbus OH 43229, United States

DESCRIPTION: Sales/marketing of securities and variable insurance products

8) FIRST RESPONDERS FINANCIAL- INSURANCE BROKER

POSITION: Agent NATURE: DBA INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 5 START DATE:



Registration and Employment History

Other Business Activities, continued

10/09/2024

ADDRESS: 6800 Schrock Hill Ct, Columbus OH 43229, United States

DESCRIPTION: Sales/marketing of fixed annuities and non-variable insurance

9) SIGNATURE EQUITY PARTNERS. LLC- INDEPENDENT RIA

POSITION: Investment Advisor Representative NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 20 SECURITIES TRADING

HOURS: 20 START DATE: 10/09/2024

ADDRESS: 6800 Schrock Hill Ct, Columbus OH 43229, United States

DESCRIPTION: Sales/marketing of investment advisory products and services

10) SECOND OF SEVEN, LLC

POSITION: owner NATURE: It is a male grooming salon. It is a franchise INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES

TRADING HOURS: 0 START DATE: 11/01/2025

ADDRESS: 1010 Vintage Club Blvd, Suite 104, Montgomery OH 45208, United States

DESCRIPTION: I am a partial owner of the business. I helped finance it. I have a partner that runs it day to day.



Disclosure Events

What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
 -
3. **Disclosure events in BrokerCheck reports come from different sources:**
 - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Customer Dispute	0	1	N/A



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Customer Dispute - Closed-No Action / Withdrawn / Dismissed / Denied

This type of disclosure event involves (1) a consumer-initiated, investment-related arbitration or civil suit containing allegations of sales practice violations against the individual broker that was dismissed, withdrawn, or denied; or (2) a consumer-initiated, investment-related written complaint containing allegations that the broker engaged in sales practice violations resulting in compensatory damages of at least \$5,000, forgery, theft, or misappropriation, or conversion of funds or securities, which was closed without action, withdrawn, or denied.

Disclosure 1 of 1

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	CAMBRIDGE INVESTMENT RESEARCH, INC.
Allegations:	Customer alleges that the purchase of a private placement made while the financial professional was affiliated with a prior broker-dealer in 2024 resulted in losses.
Product Type:	Equity Listed (Common & Preferred Stock) Other: private placement
Alleged Damages:	\$83,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	03/26/2026
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	05/18/2026



Settlement Amount:

**Individual Contribution
Amount:**

End of Report



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