

BrokerCheck Report

Harry Linnell Deupree

CRD# 4439950

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

Harry L. Deupree

CRD# 4439950

Currently employed by and registered with the following Firm(s):

B KESTRA INVESTMENT SERVICES, LLC

MCKINNEY, TX
CRD# 42046

Registered with this firm since: 12/14/2017

Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 5 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Registration History

This broker was previously registered with the following securities firm(s):

B PROEQUITIES, INC.

CRD# 15708
PLANO, TX
11/2011 - 12/2017

B CLARK SECURITIES, INC.

CRD# 43803
FRISCO, TX
08/2001 - 04/2011

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 5 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**

Main Office Address: **5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735**

Firm CRD#: **42046**

| SRO | Category | Status | Date |
|---|-----------------------------------|----------|------------|
|  FINRA | Invest. Co and Variable Contracts | Approved | 12/14/2017 |

| U.S. State/ Territory | Category | Status | Date |
|--|----------|----------|------------|
|  Arkansas | Agent | Approved | 12/14/2017 |
|  Missouri | Agent | Approved | 12/14/2017 |
|  New Mexico | Agent | Approved | 12/14/2017 |
|  Oklahoma | Agent | Approved | 12/14/2017 |
|  Texas | Agent | Approved | 12/14/2017 |

Branch Office Locations

KESTRA INVESTMENT SERVICES, LLC
MCKINNEY, TX

Broker Qualifications



Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

| Exam | Category | Date |
|--------------------------|----------|------|
| No information reported. | | |

General Industry/Product Exams

| Exam | Category | Date |
|---|----------|------------|
| B Securities Industry Essentials Examination | SIE | 10/01/2018 |
| B Investment Company Products/Variable Contracts Representative Examination | Series 6 | 08/17/2001 |

State Securities Law Exams

| Exam | Category | Date |
|--|-----------|------------|
| B Uniform Securities Agent State Law Examination | Series 63 | 08/24/2001 |

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History



Registration History

The broker previously was registered with the following firms:

| Registration Dates | Firm Name | CRD# | Branch Location |
|---------------------|------------------------|-------|-----------------|
| B 11/2011 - 12/2017 | PROEQUITIES, INC. | 15708 | PLANO, TX |
| B 08/2001 - 04/2011 | CLARK SECURITIES, INC. | 43803 | FRISCO, TX |

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

| Employment | Employer Name | Position | Investment Related | Employer Location |
|-------------------|---------------------------------|---------------------------|--------------------|--------------------------|
| 12/2017 - Present | KESTRA INVESTMENT SERVICES, LLC | REGISTERED REPRESENTATIVE | Y | Plano, TX, United States |
| 01/2008 - Present | EQUIAS ALLIANCE | SALES REP | N | PLANO, TX, United States |
| 10/2011 - 11/2017 | PROEQUITIES, INC | REGISTERED REP | Y | PLANO, TX, United States |

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Name: Derks & Deupree Partners LLC Investment Related: No Address: 7200 Bishop Road #240 Plano TX 75024 Nature of Business: Other Back Office Operations Position, Title or Relationship: 50% owner Start Date: 12/5/2007 Hours per month: 0% - 10% (0 - 16 hours) Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: Approve payment of expenses of our office in Plano, TX.

Name: Harry L Deupree III/Deupree & Associates LLC Investment Related: No Address: 7200 Bishop Road #240 Plano TX 75024 Nature of Business: Consulting; Insurance Position, Title or Relationship: owner Start Date: 12/5/2007 Hours per month: 0% - 10% (0 - 16 hours) Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: independent contractor with Equias Alliance, an NFP company involved in the sale of bank owned life insurance to banks. primary territory is Texas, Oklahoma, Arkansas, New Mexico. Occasionally, I will sell long term care, term life insurance, and disability insurance.

Name: Equias Alliance, an NFP company Investment Related: Yes Address: 7200 Bishop Road #240 Plano TX 75024 Nature of Business: Registered Rep Activities through Kestra Investment Services, LLC using a DBA name; Insurance Position, Title or Relationship: Consultant Start Date: 1/1/2007 Hours per month: 91% - 100% (145 - 160 hours) Hours per month during trading hours: 91% - 100% (127 - 140 hours) Duties:

Registration and Employment History



Other Business Activities, continued

Sales representative

Name: Agency Services Incorporated Investment Related: Yes Address: 7920 Courtyard Plaza Memphis TX 38119 Nature of Business: Insurance Position, Title or Relationship: Independent Agent Start Date: 5/13/2017 Hours per month: 0% - 10% (0 - 16 hours) Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: occasionally need retail insurance products for clients. this is outside of the scope of our normal business with bank owned life insurance, this BGA provides access to additional products.

Name: Ash Brokerage Corp Investment Related: Yes Address: 7609 West Jefferson Blvd Fort Wayne IN 46804 Nature of Business: Insurance Position, Title or Relationship: independent representative Start Date: 1/1/2015 Hours per month: 0% - 10% (0 - 16 hours) Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: occasional placement of term life insurance through Ash Brokerage

Name: NFP Executive Benefits Investment Related: Yes Address: 7200 Bishop Rd. #240 Plano TX 75024 Nature of Business: Registered Rep Activities through Kestra Investment Services, LLC using a DBA name; Consulting; Insurance; Other Back Office Operations; Bank or Credit Union; Other Financial Institution Position, Title or Relationship: Independent Consultant with NFP Start Date: 11/1/2017 Hours per month: 91% - 100% (145 - 160 hours) Hours per month during trading hours: 91% - 100% (127 - 140 hours) Duties: Sales

Name: HARRY L DEUPREE III - REAL ESTATE POSITION: Owner NATURE: Real Estate INVESTMENT RELATED: Yes # OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2022 ADDRESS: 8105 Linksview Dr, McKinney TX 75070 DESCRIPTION: No formal duties other than general maintenance as needed.

Name: FIRST BAPTIST CHURCH (MCKINNEY, TX) POSITION: Committee Member NATURE: Board position INVESTMENT RELATED: No # OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 01/05/2026 ADDRESS: 8105 Linksview Dr, McKinney TX 75072, United States DESCRIPTION: Key roles, governance of church operations, approve budget, staff salaries, and maintaining unity.

End of Report



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