

## BrokerCheck Report

**BRANDON WYATT HAINES**

CRD# 4450921

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 4
Registration and Employment History	6 - 8



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**BRANDON W. HAINES**

CRD# 4450921

**Currently employed by and registered with the following Firm(s):****IA STRATEGIC BLUEPRINT, LLC**

398 Carl Street, Unit 101  
 Wilmington, NC 28403  
 CRD# 284840  
 Registered with this firm since: 02/12/2025

**B THE STRATEGIC FINANCIAL ALLIANCE, INC.**

398 Carl Street Unit 101  
 Wilmington, NC 28403  
 CRD# 126514  
 Registered with this firm since: 02/12/2025

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 15 U.S. states and territories

**This broker has passed:**

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

**Registration History****This broker was previously registered with the following securities firm(s):****B OSAIC WEALTH, INC.**

CRD# 23131  
 Wilmington, NC  
 08/2024 - 02/2025

**IA OSAIC ADVISORY SERVICES, LLC**

CRD# 171070  
 ATLANTA, GA  
 11/2014 - 02/2025

**B TRIAD ADVISORS LLC**

CRD# 25803  
 Wilmington, NC  
 11/2014 - 08/2024

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 15 U.S. states and territories through his or her employer.**

### Employment 1 of 2

Firm Name: **STRATEGIC BLUEPRINT, LLC**  
 Main Office Address: **2200 CENTURY PARKWAY  
 SUITE 500  
 ATLANTA, GA 30345**  
 Firm CRD#: **284840**

	U.S. State/ Territory	Category	Status	Date
IA	North Carolina	Investment Adviser Representative	Approved	02/12/2025
IA	Texas	Investment Adviser Representative	Approved	02/12/2025

### Branch Office Locations

398 Carl Street, Unit 101  
 Wilmington, NC 28403

### Employment 2 of 2

Firm Name: **THE STRATEGIC FINANCIAL ALLIANCE, INC.**  
 Main Office Address: **2200 CENTURY PARKWAY  
 SUITE 500  
 ATLANTA, GA 30345**  
 Firm CRD#: **126514**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	02/12/2025
B	FINRA	General Securities Representative	Approved	02/12/2025



## Broker Qualifications

### Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	02/12/2025
B	Arkansas	Agent	Approved	02/12/2025
B	California	Agent	Approved	02/12/2025
B	Florida	Agent	Approved	02/12/2025
B	Georgia	Agent	Approved	02/12/2025
B	Indiana	Agent	Approved	02/12/2025
B	Louisiana	Agent	Approved	02/12/2025
B	Missouri	Agent	Approved	02/12/2025
B	New Jersey	Agent	Approved	02/12/2025
B	North Carolina	Agent	Approved	02/14/2025
B	Pennsylvania	Agent	Approved	02/12/2025
B	South Carolina	Agent	Approved	02/12/2025
B	Tennessee	Agent	Approved	02/12/2025
B	Texas	Agent	Approved	02/12/2025
B	Virginia	Agent	Approved	02/12/2025

### Branch Office Locations

#### THE STRATEGIC FINANCIAL ALLIANCE, INC.

398 Carl Street Unit 101  
Wilmington , NC 28403



## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.**

### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> General Securities Principal Examination	Series 24	01/21/2008

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	11/05/2001

### State Securities Law Exams

Exam	Category	Date
<b>IA</b> Uniform Investment Adviser Law Examination	Series 65	10/05/2006
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	11/08/2001

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).



## Broker Qualifications

### Professional Designations

This section details that the representative has reported **1** professional designation(s).

#### Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>B</b> 08/2024 - 02/2025	OSAIC WEALTH, INC.	23131	Wilmington, NC
<b>IA</b> 11/2014 - 02/2025	OSAIC ADVISORY SERVICES, LLC	171070	Wilmington, NC
<b>B</b> 11/2014 - 08/2024	TRIAD ADVISORS LLC	25803	Wilmington, NC
<b>B</b> 10/2007 - 11/2014	LPL FINANCIAL LLC	6413	WILMINGTON, NC
<b>IA</b> 10/2007 - 11/2014	LPL FINANCIAL LLC	6413	WILMINGTON, NC
<b>IA</b> 10/2006 - 10/2007	EDWARD JONES	250	WILMINGTON, NC
<b>B</b> 11/2001 - 10/2007	EDWARD JONES	250	WILMINGTON, NC

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
02/2025 - Present	Strategic Blueprint, LLC	Investment Advisor Representative	Y	Atlanta, GA, United States
02/2025 - Present	The Strategic Financial Alliance, Inc.	Registered Representative	Y	Atlanta, GA, United States
08/2024 - 02/2025	OSAIC WEALTH, INC.	REGISTERED REP	Y	Wilmington, NC, United States
11/2014 - 02/2025	TRIAD HYBRID SOLUTIONS	INVESTMENT ADVISOR REPRESENTATIVE	Y	NORCROSS, GA, United States
11/2014 - 08/2024	TRIAD ADVISORS, INC.	REGISTERED REPRESENTATIVE	Y	NORCROSS, GA, United States





## Registration and Employment History

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1.)Haines Financial, LLC DBA The Wealth Plan Company

INVESTMENT RELATED: Yes

ADDRESS: 398 Carl Street

Wilmington, NC 28403

NATURE OF THE BUSINESS: Haines Financial, LLC manages staff, resources, expenses, and support for the business. This includes space and support for other advisors in the office associated with Strategic Blueprint and/or SFA. The Wealth Plan Company is the client facing marketing name, which is used to market financial services offered through Strategic Blueprint and SFA.

POSITION: Manager

START DATE: 10/17/2007

NUMBER OF HOURS: approximately 160 hours/month, during securities trading hours.

DUTIES: General business management. Financial and retirement planning services.

2.)Fixed Insurance/General

INVESTMENT RELATED: Yes

ADDRESS: 398 Carl Street

Wilmington, NC 28403

NATURE OF THE BUSINESS: Fixed insurance solutions.

POSITION: Insurance Agent

START DATE: 12/01/2014

NUMBER OF HOURS: approximately 10 hours/month, during securities trading hours.

DUTIES: Finding insurance solutions for client's fixed insurance needs.

3.)Haines Financial, LLC 401K

INVESTMENT RELATED: Yes

ADDRESS: 398 Carl Street

Wilmington, NC 28403

NATURE OF THE BUSINESS: 401k plan for Haines Financial, LLC employees.

POSITION: Trustee

START DATE: 03/08/2012

NUMBER OF HOURS: approximately 1 hour/month, during securities trading hours.

DUTIES: Oversee the administration of the 401k for the employees of Haines Financial, LLC.

4.)398 Carl, LLC

INVESTMENT RELATED: No

ADDRESS: 398 Carl Street

Wilmington, NC 28403

NATURE OF THE BUSINESS: LLC owns the office space that The Wealth Plan Company and Advice Point utilize.

POSITION: real estate owner; member/manager

START DATE: 08/01/2015



## Registration and Employment History

### Other Business Activities, continued

NUMBER OF HOURS: less than 1 hour/month; minimal hours during securities trading hours.

DUTIES: Maintain office and cash flow for LLC.

5.)Iredell C, Wyatt, Jr Trust

INVESTMENT RELATED: Yes

ADDRESS: 730 Zekes Run

Wilmington, NC 28411

NATURE OF THE BUSINESS: Trust

POSITION: Trustee

START DATE: 01/02/2023

NUMBER OF HOURS: approximately 4 hours per month, 1 hour during securities trading hours.

DUTIES: Manages the trust assets for the benefit of the Gracie Jarman and IC Wyatt, III. Control the accounts and assets of the trust.

6.)Blue Point Homeowners Association, Inc.

INVESTMENT RELATED: No

ADDRESS: PO Box 12051

Wilmington, NC 28405

NATURE OF THE BUSINESS: Homeowners association.

POSITION: Board member.

START DATE: 09/25/2024

NUMBER OF HOURS: approximately 4 hours/month, 1 during securities trading hours.

DUTIES: Support the HOA Board and help meet the needs of the homeowners in our neighborhood.

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## End of Report



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