

BrokerCheck Report

FELIX GAVRIL DANCIU

CRD# 4463642

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

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Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

FELIX G. DANCIU

CRD# 4463642

Currently employed by and registered with the following Firm(s):

- B ELMCORE SECURITIES LLC**
500 W. Madison Street
Suite 1000
Chicago, IL 60661
CRD# 158922
Registered with this firm since: 02/13/2013

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 7 U.S. states and territories

This broker has passed:

- 2 Principal/Supervisory Exams
- 4 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):**

- IA ELMCORE INVESTMENT ADVISORS LLC**
CRD# 157877
LAS VEGAS, NV
09/2011 - 12/2016
- B J.B. OXFORD & COMPANY**
CRD# 14343
SHERMAN OAKS, CA
07/2002 - 03/2003

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 7 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **ELMCORE SECURITIES LLC**
 Main Office Address: **500 W MADISON STREET, SUITE 1000
 CHICAGO, IL 60661**
 Firm CRD#: **158922**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	02/13/2013
B	FINRA	General Securities Representative	Approved	02/13/2013
B	FINRA	Investment Banking Representative	Approved	02/13/2013
B	FINRA	Operations Professional	Approved	02/13/2013
B	FINRA	Investment Banking Principal	Approved	10/01/2018

	U.S. State/ Territory	Category	Status	Date
B	California	Agent	Approved	06/07/2013
B	Florida	Agent	Approved	10/02/2019
B	Illinois	Agent	Approved	09/04/2019
B	Nevada	Agent	Approved	02/14/2013
B	New York	Agent	Approved	04/06/2020
B	Rhode Island	Agent	Approved	09/05/2019
B	Texas	Agent	Approved	04/10/2020

Branch Office Locations

Broker Qualifications



Employment 1 of 1, continued

ELMCORE SECURITIES LLC

500 W MADISON STREET, SUITE 1000
CHICAGO, IL 60661

ELMCORE SECURITIES LLC

500 W. Madison Street
Suite 1000
Chicago, IL 60661



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	03/06/2012
B Financial and Operations Principal Examination	Series 27	07/12/2002

General Industry/Product Exams

Exam	Category	Date
B Operations Professional Examination	Series 99TO	01/02/2023
B Securities Industry Essentials Examination	SIE	10/01/2018
B Investment Banking Registered Representative Examination	Series 79	04/09/2012
B General Securities Representative Examination	Series 7	09/29/2011

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	11/28/2011
IA Uniform Investment Adviser Law Examination	Series 65	07/27/2011

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 09/2011 - 12/2016	ELMCORE INVESTMENT ADVISORS LLC	157877	LAS VEGAS, NV
B 07/2002 - 03/2003	J.B. OXFORD & COMPANY	14343	SHERMAN OAKS, CA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
08/2025 - Present	High Wire Enterprises LLC	Chief Financial Officer	N	Thousand Oaks, CA, United States
06/2025 - Present	YVL PRODUCTIONS LLC	CHIEF FINANCIAL OFFICER	N	LOS ANGELES, CA, United States
07/2024 - Present	Grun Energy, LLC	Chief Financial Officer	N	San Antonio, TX, United States
04/2024 - Present	ECRYPT INC.	CHIEF FINANCIAL OFFICER, TREASURER, SECRETARY, DIRECTOR	N	AGOURA HILLS, CA, United States
01/2024 - Present	WESTON DEAN CUSTOM HOMES, LP	CHIEF STRATEGY OFFICER	N	SAN ANTONIO, TX, United States
05/2011 - Present	ELMCORE SECURITIES LLC	CHIEF EXECUTIVE OFFICER	Y	CHICAGO, IL, United States
03/2011 - Present	ELMCORE GROUP INC.	CHIEF EXECUTIVE OFFICER	Y	CHICAGO, IL, United States
10/2024 - 07/2025	Kami Vision Incorporated	CHIEF OPERATING OFFICER, DIRECTOR	N	SAN JOSE, CA, United States



Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
01/2020 - 03/2020	PEGASUS GROUP HOLDINGS LLC	SENIOR VICE PRESIDENT & CHIEF FINANCIAL OFFICER	N	HENDERSON, NV, United States
05/2011 - 12/2016	ELMCORE INVESTMENT ADVISORS LLC	CHIEF EXECUTIVE OFFICER/INVESTMENT ADVISER REPRESENTATIVE/CONSULTANT	Y	LAS VEGAS, NV, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

(1) ELMCORE GROUP INC. / INVESTMENT RELATED / CHICAGO, IL / HOLDING COMPANY FOR A BROKER-DEALER (ELMCORE SECURITIES LLC); DIRECT BUSINESS INVESTMENTS, BUSINESS DEVELOPMENT, PARENT COMPANY, CONSULTING / CHIEF EXECUTIVE OFFICER / MARCH 2011 / 20 HOURS; VARIES DURING TRADING HOURS / GENERAL OVERSIGHT OF BUSINESS OPERATIONS.

(2) WESTON DEAN CUSTOM HOMES, LP / NOT INVESTMENT RELATED / SAN ANTONIO, TX / CUSTOM HOME BUILDER AND REAL ESTATE DEVELOPER / CHIEF STRATEGY OFFICER / JANUARY 2024 / 10 HOURS PER MONTH DURING TRADING HOURS / ADVISE LEADERSHIP ON STRATEGIC OPPORTUNITIES FOR GROWTH.

(3) ECRYPT INC. / NOT INVESTMENT RELATED / AGOURA HILLS, CA / ISO-PAYMENT PROCESSOR / CHIEF FINANCIAL OFFICER, TREASURER, SECRETARY & DIRECTOR / APRIL 2024 / 20 HOURS PER MONTH DURING TRADING HOURS / OVERSIGHT AND MANAGEMENT OF FINANCIAL OPERATIONS.

(4) PROSAPIENT INC. / NOT INVESTMENT RELATED / RALEIGH, NC / RESEARCH COMPANY / CONSULTANT / JULY 2024 / 1 HOUR PER MONTH DURING TRADING HOURS / AD HOC CONSULTING.

(5) GRUN ENERGY LLC (AFFILIATE OF WESTON DEAN CUSTOM HOMES, LP) / NOT INVESTMENT RELATED / SAN ANTONIO, TX / RENEWABLE ENERGY DEVELOPER / CHIEF FINANCIAL OFFICER / JULY 2024 / 5 HOURS PER MONTH DURING TRADING HOURS / OVERSIGHT OF FINANCIAL OPERATIONS.

(6) COLEMAN RESEARCH GROUP, INC. / NOT INVESTMENT RELATED / NEW YORK, NY / RESEARCH COMPANY / CONSULTANT / JANUARY 2024 / 1 HOUR PER MONTH DURING TRADING HOURS / AD HOC CONSULTING.

(7) YVL PRODUCTIONS LLC / NOT INVESTMENT RELATED / LOS ANGELES, CA / FILM PRODUCTION COMPANY / CHIEF FINANCIAL OFFICER / JUNE 2025 / 5 HOURS PER MONTH DURING TRADING HOURS / OVERSIGHT OF FINANCIAL OPERATIONS.

Registration and Employment History



Other Business Activities, continued

(8) THIRD BRIDGE GROUP LIMITED / NOT INVESTMENT RELATED / NEW YORK, NY / RESEARCH COMPANY / CONSULTANT / JUNE 2025 / 1 HOUR PER MONTH DURING TRADING HOURS / AD HOC CONSULTING.

(9) HIGH WIRE ENTERPRISES LLC / NOT INVESTMENT RELATED / THOUSAND OAKS, CA / ISO-PAYMENT PROCESSOR / CHIEF FINANCIAL OFFICER / AUGUST 2025 / 20 HOURS PER MONTH DURING TRADING HOURS / OVERSIGHT AND MANAGEMENT OF FINANCIAL OPERATIONS.

(10) D.E. SHAW & CO. LP / NOT INVESTMENT RELATED / NEW YORK, NY / GLOBAL INVESTMENT AND TECHNOLOGY DEVELOPMENT COMPANY / CONSULTANT / SEPTEMBER 2025 / 1 HOUR PER MONTH DURING TRADING HOURS/ AD HOC CONSULTING.

End of Report



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