BrokerCheck Report

Thomas Scott Martin

CRD# 4532988

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

Thomas S. Martin

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This broker is not currently registered.

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is not currently registered.

This broker has passed:

- 1 Principal/Supervisory Exam
- 4 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

B **MAMMOTH RESEARCH**
CRD# 118148
Fort Wayne, IN
11/2021 - 08/2023

B **AUSDAL FINANCIAL PARTNERS, INC.**
CRD# 7995
FORT WAYNE, IN
12/2019 - 11/2021

B **LARSON FINANCIAL SECURITIES, LLC**
CRD# 152517
FORT WAYNE, IN
07/2010 - 12/2017

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Investment Adviser Representative Information

The information below represents the individual's record as a broker. For details on this individual's record as an investment adviser representative, visit the SEC's Investment Adviser Public Disclosure website at

https://www.adviserinfo.sec.gov

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination	Series 24	08/05/2010

General Industry/Product Exams

	Exam	Category	Date
B	Operations Professional Examination	Series 99TO	01/02/2023
B	Investment Banking Registered Representative Examination	Series 79TO	03/16/2022
B	Securities Industry Essentials Examination	SIE	12/31/2017
B	General Securities Representative Examination	Series 7	07/23/2002

State Securities Law Exams

	Exam	Category	Date
B IA	Uniform Combined State Law Examination	Series 66	07/31/2002

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
B	11/2021 - 08/2023	MAMMOTH RESEARCH	118148	Fort Wayne, IN
B	12/2019 - 11/2021	AUSDAL FINANCIAL PARTNERS, INC.	7995	FORT WAYNE, IN
B	07/2010 - 12/2017	LARSON FINANCIAL SECURITIES, LLC	152517	FORT WAYNE, IN
B	01/2008 - 07/2010	FINANCIAL NETWORK INVESTMENT CORPORATION	13572	FORT WAYNE, IN
B	05/2005 - 01/2008	CAMBRIDGE INVESTMENT RESEARCH, INC.	39543	FORT WAYNE, IN
B	07/2002 - 05/2005	SECURIAN FINANCIAL SERVICES, INC.	15296	ST. PAUL, MN

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
04/2023 - Present	THE TEBOW GROUP	CEO	Y	JACKSONVILLE, FL, United States
04/2022 - Present	MAMMOTH ADMIN & TECH, LLC DBA MAMMOTH TECHNOLOGY	MEMBER	Y	FORT WAYNE, IN, United States
04/2022 - Present	MAMMOTH INVESTORS, LLC	MEMBER	Y	FORT WAYNE, IN, United States
10/2020 - Present	MAMMOTH SCIENTIFIC	GENERAL PARTNER & MEMBER	Y	FORT WAYNE, IN, United States
10/2017 - Present	VESTIA ADVISORS, LLC	PARTNER AND BOARD MEMBER	Y	FORT WAYNE, IN, United States
11/2020 - 07/2023	THE SECURITIES GROUP DBA MAMMOTH RESEARCH	REGISTERED REPRESENTATIVE / PRINCIPAL	Y	Memphis, TN, United States

Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
04/2021 - 04/2022	MAMMOTH ADMIN & TECH, LLC DBA MAMMOTH TECHNOLOGY	CEO	Y	FORT WAYNE, IN, United States
04/2021 - 04/2022	MAMMOTH INVESTORS, LLC	CEO	Y	FORT WAYNE, IN, United States
04/2021 - 04/2022	MAMMOTH SCIENTIFIC, LLC	CEO	Y	FORT WAYNE, IN, United States
10/2017 - 04/2022	VESTIA ADVISORS, LLC	PARTNER & CEO	Y	FORT WAYNE, IN, United States
01/2020 - 11/2020	AUSDAL FINANCIAL PARTNERS, INC	REGISTERED REPRESENTATIVE	Y	DAVENPORT, IA, United States
07/2010 - 12/2017	LARSON FINANCIAL SECURITIES, LLC	REGISTERED REPRESENTATIVE	Y	FORT WAYNE, IN, United States
12/2007 - 12/2017	LARSON FINANCIAL GROUP, LLC	FINANCIAL ADVISOR	Y	FORT WAYNE, IN, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. VESTIA ADVISORS, LLC (DBAS "VESTIA PERSONAL WEALTH ADVISORS" AND "VESTIA RETIREMENT PLAN CONSULTANT"); INVESTMENT RELATED; FORT WAYNE, IN; SEC REGISTERED INVESTMENT ADVISER; PARTNER/INVESTMENT ADVISER REPRESENTATIVE; 01/2018; 3 HRS/MO; 3 HRS/MO DURING TRADING HOURS; EXECUTIVE LEADERSHIP ROLE.
2. VESTIA HOLDINGS, LLC; INVESTMENT RELATED; FORT WAYNE, IN; ADMINISTRATIVE SUPPORT ENTITY; MANAGING MEMBER; 01/2018; <1 HR/MO; ALL DURING TRADING HOURS; EXECUTIVE LEADERSHIP ROLE.
3. VESTIA INSURANCE, LLC (DBAS "VESTIA INSURANCE SERVICES" AND "VESTIA BENEFIT SOLUTIONS"); INVESTMENT RELATED; FORT WAYNE, IN; INSURANCE AGENCY; CEO/AGENT; 03/2019; 1 HRS/MO; 1 HRS/MO DURING TRADING HOURS; EXECUTIVE LEADERSHIP ROLE.
4. VESTIA VENTURES, LLC; INVESTMENT RELATED; FORT WAYNE, IN; MANAGING MEMBER OF SPVS MIRUS/MIRUS QP/MIRUS QOF (AS OF 11/24), CONSULTING FIRM; MANAGING MEMBER; 03/2018; 10 HRS/MO; 4 HRS/MO DURING TRADING HOURS; EXECUTIVE LEADERSHIP ROLE.
5. VESTIA BROKERAGE, LLC; INVESTMENT RELATED; FORT WAYNE, IN; TECHNOLOGY INFRASTRUCTURE; MEMBER; 1/2020; <1 HR/MO; ALL DURING TRADING HOURS; EXECUTIVE LEADERSHIP ROLE.
6. VESTIA CONTRACT NEGOTIATION, LLC; NON-INVESTMENT RELATED; FORT WAYNE, IN; CONTRACT NEGOTIATION SERVICES FOR PROFESSIONALS; MEMBER; 1/2020; <1 HR/MO; ALL DURING TRADING HOURS; EXECUTIVE LEADERSHIP ROLE.
7. HELD-AWAY METHOD, LLC; INVESTMENT RELATED; FORT WAYNE, IN; INTELLECTUAL PROPERTY/CONSULTING SERVICE; OWNER; 03/2019; 1 HR/MO; ALL DURING TRADING HOURS; EXECUTIVE LEADERSHIP ROLE.

Registration and Employment History

Other Business Activities, continued

8. ALOHA, LLC FKA ABNORMAL CONSULTING, LLC; INVESTMENT RELATED; FORT WAYNE, IN; PROVIDES MANAGEMENT AND CONSULTING SERVICES TO VESTIA HOLDINGS, LLC , THE TEBOW GROUP, EAGLE FREEDOM FUND, GOVO VENTURE PARTNERS, AND THE WATERSTONE IMPACT FUND; MEMBER; 01/2018; 160 HR/MO; 130 HRS/MO DURING TRADING HOURS; W2 EMPLOYEE.
9. LOUD CAPITAL, LLC; INVESTMENT RELATED; PRIVATE EQUITY FUND COMPANY THAT PROVIDES GP AND MANAGEMENT SERVICES TO PRIVATE INVESTMENT FUNDS; CHICAGO, IL; INVESTOR; 03/2019; 5-10 HOURS PER MONTH, ALL DURING SECURITIES HOURS; VENTURE CAPITAL FIRM PROVIDING CAPITAL, ENTREPRENEURSHIP, AND EDUCATION.
10. MAMMOTH INVESTORS, LLC; INVESTMENT RELATED; FORT WAYNE, IN. MANAGEMENT ENTITY PROVIDING BOARD GOVERNANCE FOR SUBSIDIARY ENTITIES; MEMBER; 03/2021; 8 HRS/MO; 3 HRS/MO DURING TRADING HOURS; EXECUTIVE LEADERSHIP ROLE.
11. MAMMOTH SCIENTIFIC, LLC / MAMMOTH PRIVATE CAPITAL, LLC; INVESTMENT RELATED; FORT WAYNE, IN; VENTURE CAPITAL FIRM AND FUNDS; GENERAL PARTNER / MEMBER; 03/2021; 8 HRS/MO; 3 HRS/MO DURING TRADING HRS; EXECUTIVE LEADERSHIP ROLE.
12. HARVARD MEDTECH ; NOT INVESTMENT RELATED; LAS VEGAS, NV; BEHAVIORAL SCIENCE UTILIZING VR; BOARD OBSERVER; 9/22; APPROX. 1 HR/MO; 0 DURING TRADING HOURS; BOARD OBSERVER.
13. MIRUS, LLC; NOT INVESTMENT RELATED; MARIETTA, GA; MEDICAL APPLIANCES; BOARD OBSERVER; 08/2021; APPROX. 1 HR/MO; 0 DURING TRADING HOURS; ADVISORY.
14. DEJAVUAI; NOT INVESTMENT RELATED; WASHINGTON, DC; PROVIDES PHOTO IMAGE IDENTIFICATION AND MATCHING SERVICES IN SUPPORT OF ANTI HUMAN TRAFFICKING EFFORTS; BOARD MEMBER; 04/2023; APPROX. 1 HR/MO; 0 DURING TRADING HOURS; BOARD MEMBER.
15. EXTRAORDINARY TRUST; INVESTMENT RELATED; JACKSONVILLE, FL; MULTIFAMILY OFFICE TRUST COMPANY; FIDUCIARY BOARD MEMBER; 11/2025; 5 HRS/MO, ALL DURING TRADING HOURS; BOARD MEMBER.
16. 925 PARTNERS; INVESTMENT RELATED; JACKSONVILLE, FL; INSURANCE AGENCY PARTIALLY OWNED BY THE TEBOW GROUP; ADVISORY BOARD MEMBER; 11/2025; 1 HR/MO, ALL DURING TRADING HOURS; BOARD MEMBER.
17. VESTIA PROPERTIES LLC; INVESTMENT RELATED; FORT WAYNE, IN; BOARD OF REAL ESTATE PARTNERSHIP; PARTNER AND OWNER; 07/2025; 1 HR/MO, ALL DURING TRADING HOURS; BOARD MEMBER.

End of Report

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