

## **BrokerCheck Report**

## **EDIE CORRINE WILLIAMS - COOK**

CRD# 4582409

Section Title	Page(s)
Report Summary	1
Broker Qualifications	2 - 4
Registration and Employment History	6 - 7



When communicating online or investing with any professional, make sure you know who you're dealing with. <u>Imposters</u> might link to sites like BrokerCheck from <u>phishing</u> or similar scam websites, or through <u>social media</u>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

#### About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

### What is included in a BrokerCheck report?

- BrokerCheck reports for individual brokers include information such as employment history, professional
  qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck
  reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the
  same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- Where did this information come from?
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- How current is this information?
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- What if I want to check the background of an investment adviser firm or investment adviser representative?
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- Are there other resources I can use to check the background of investment professionals?
- FINRA recommends that you learn as much as possible about an investment professional before deciding
  to work with them. Your state securities regulator can help you research brokers and investment adviser
  representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

www.finra.org/brokercheck **User Guidance** 

## **EDIE C. WILLIAMS - COOK**

CRD# 4582409

### Currently employed by and registered with the following Firm(s):

A STATE FARM INVESTMENT MANAGEMENT CORP.

> 330 A1A North Ste 324 Ponte Vedra Beach, FL 32082 CRD# 3487

Registered with this firm since: 06/24/2021

## STATE FARM VP MANAGEMENT CORP.

330 AIA North Ste 324 Ponte Vedra Beach, FL 32082

CRD# 43036

Registered with this firm since: 10/06/2002

## **Report Summary for this Broker**



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

### **Broker Qualifications**

### This broker is registered with:

- 1 Self-Regulatory Organization
- 6 U.S. states and territories

### This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

### **Registration History**

This broker was previously registered with the following securities firm(s):

No information reported.

### **Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? No

### **Broker Qualifications**



## Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 6 U.S. states and territories through his or her employer.

## **Employment 1 of 2**

Firm Name: STATE FARM INVESTMENT MANAGEMENT CORP.

Main Office Address: ONE STATE FARM PLAZA

B-2

**BLOOMINGTON, IL 61710-0001** 

Firm CRD#: **3487** 

	U.S. State/ Territory	Category	Status	Date
IA	California	Investment Adviser Representative	Approved	10/23/2024
IA	Florida	Investment Adviser Representative	Approved	06/24/2021
IA	Georgia	Investment Adviser Representative	Approved	10/24/2024
IA	Illinois	Investment Adviser Representative	Approved	10/23/2024
IA	North Carolina	Investment Adviser Representative	Approved	10/23/2024
IA	South Carolina	Investment Adviser Representative	Approved	10/23/2024

### **Branch Office Locations**

STATE FARM INVESTMENT MANAGEMENT CORP.

330 A1A North Ste 324

Ponte Vedra Beach, FL 32082

### **Employment 2 of 2**

Firm Name: STATE FARM VP MANAGEMENT CORP.

Main Office Address: ONE STATE FARM PLAZA

BLOOMINGTON, IL 61710-0001

## **Broker Qualifications**



# Employment 2 of 2, continued

Firm	n CRD#: <b>43036</b>			
	SRO	Category	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	10/06/2002
	U.S. State/ Territory	Category	Status	Date
B	California	Agent	Approved	07/16/2020
B	Florida	Agent	Approved	10/06/2002
B	Georgia	Agent	Approved	07/16/2020
B	Illinois	Agent	Approved	10/18/2007
B	North Carolina	Agent	Approved	07/16/2020
B	South Carolina	Agent	Approved	07/13/2020

## **Branch Office Locations**

STATE FARM VP MANAGEMENT CORP.

330 AIA North Ste 324 Ponte Vedra Beach, FL 32082

### **Broker Qualifications**



### **Industry Exams this Broker has Passed**

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

## **Principal/Supervisory Exams**

Exam	Category	Date
No information reported.		

## **General Industry/Product Exams**

Exam		Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
В	Investment Company Products/Variable Contracts Representative Examination	Series 6	10/04/2002

### **State Securities Law Exams**

Exam	r	Category	Date
В	Uniform Securities Agent State Law Examination	Series 63	10/17/2007

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

www.finra.org/brokercheck
User Guidance

### **Broker Qualifications**



## **Professional Designations**

This section details that the representative has reported 1 professional designation(s).

### **Chartered Financial Consultant**

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

## **Registration and Employment History**



### **Registration History**

The broker previously was registered with the following firms:

Registration Dates Firm Name CRD# Branch Location

No information reported.

### **Employment History**

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
03/2022 - Present	Edie Williams Insurance Company	Owner/Operator	Υ	Ponte Vedra Beach, FL, United States
03/2022 - Present	State Farm Investment Management Corp	Investment Adviser Representative	Υ	Ponte Vedra Beach, FL, United States
03/2022 - Present	State Farm VP Management Corp	Registered Representative	Υ	Ponte Vedra Beach, FL, United States
06/2021 - 03/2022	State Farm Investment Management Corp	Investment Adviser Representative	Υ	Jacksonville, FL, United States
01/2005 - 03/2022	EDIE WILLIAMS INSURANCE AGENCY	OWNER/OPERATOR	Υ	JACKSONVILLE, FL, United States
09/2003 - 03/2022	STATE FARM VP MANAGEMENT CORP	REGISTERED REPRESENTATIVE	Υ	JACKSONVILLE, FL, United States
08/2018 - 06/2019	State Farm Investment Management Corp.	Investment Adviser Representative	Υ	jacksonville, FL, United States

### **Other Business Activities**

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1) Edie Williams's Insurance Agency; Insurance Agency; Investment-related; 330 A1a N Ste 324 Ponte Vedra Beach, FL 32082-1826; Insurance (State Farm Mutual Automobile Insurance Company and its affiliates); Owner; Agent; 1/1/2004; 80; 80; Service customers and supervise employees

## **Registration and Employment History**



## Other Business Activities, continued

2) Ocean Palms Elementary PTO; non-Investment-related; 355 Landrum Ln Ponte Vedra Beach, FL 32082; Secretary; 08/01/2022;1;1; take meeting minutes

# **End of Report**



This page is intentionally left blank.