

BrokerCheck Report

ALIDA Maria MCDONNELL

CRD# 4587961

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**ALIDA M. MCDONNELL**

CRD# 4587961

Currently employed by and registered with the following Firm(s):

- B J.P. MORGAN SECURITIES LLC**
 2340 MANHATTAN BLVD
 HARVEY, LA 70058
 CRD# 79
 Registered with this firm since: 03/10/2022

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

This broker has passed:

- 0 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 0 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- B CHASE INVESTMENT SERVICES CORP.**
 CRD# 25574
 HARVEY, LA
 02/2010 - 01/2012
- B BANC ONE SECURITIES CORPORATION**
 CRD# 16999
 CHICAGO, IL
 10/2002 - 03/2003

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

| Type | Count |
|-------------|-------|
| Termination | 1 |
| Financial | 1 |
| Bond | 1 |



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.

Employment 1 of 1

Firm Name: **J.P. MORGAN SECURITIES LLC**

Main Office Address: **383 MADISON AVENUE
NEW YORK, NY 10179**

Firm CRD#: **79**

| SRO | Category | Status | Date |
|----------------|-----------------------------------|----------|------------|
| B FINRA | Invest. Co and Variable Contracts | Approved | 03/10/2022 |

| U.S. State/ Territory | Category | Status | Date |
|-----------------------|----------|----------|------------|
| B Louisiana | Agent | Approved | 03/11/2022 |

Branch Office Locations

J.P. MORGAN SECURITIES LLC
2340 MANHATTAN BLVD
HARVEY, LA 70058



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 0 state securities law exams.

Principal/Supervisory Exams

| Exam | Category | Date |
|--------------------------|----------|------|
| No information reported. | | |

General Industry/Product Exams

| Exam | Category | Date |
|--|------------|------------|
| B Investment Company Products/Variable Contracts Representative Examination | Series 6TO | 03/10/2022 |
| B Securities Industry Essentials Examination | SIE | 10/13/2021 |
| B Investment Company Products/Variable Contracts Representative Examination | Series 6 | 02/04/2010 |

State Securities Law Exams

| Exam | Category | Date |
|--------------------------|----------|------|
| No information reported. | | |

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

| Registration Dates | Firm Name | CRD# | Branch Location |
|----------------------------|---------------------------------|-------|-----------------|
| B 02/2010 - 01/2012 | CHASE INVESTMENT SERVICES CORP. | 25574 | HARVEY, LA |
| B 10/2002 - 03/2003 | BANC ONE SECURITIES CORPORATION | 16999 | CHICAGO, IL |

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

| Employment | Employer Name | Position | Investment Related | Employer Location |
|-------------------|----------------------------|---------------------------|--------------------|----------------------------------|
| 06/2023 - Present | Uber | Delivery Driver | N | San Francisco, CA, United States |
| 12/2021 - Present | J.P. Morgan Securities LLC | REGISTERED REPRESENTATIVE | Y | Harvey, LA, United States |
| 04/2009 - Present | JPMORGAN CHASE BANK (LA) | WORKFORCE MEMBER | Y | Harvey, LA, United States |
| 07/2023 - 01/2024 | Walmart | Employee/Contractor | N | Harvey, LA, United States |

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Entity Name: Uber Eats

Investment related: No

Address: 1515 Third Street in San Francisco, CA.

Nature of the other business: Food Delivery

Position/Title/Relationship: Employee/Contractor

Start Date: 06/26/2023

Approximate # of hours a week: More than 20

Approximate # of hours during securities trading hours: 0

Briefly describe your duties: Delivery Driver-Food

Registration and Employment History



Disclosure Events



What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
 -
3. **Disclosure events in BrokerCheck reports come from different sources:**
 - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
 -
4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

| | Pending | Final | On Appeal |
|-------------|---------|-------|-----------|
| Termination | N/A | 1 | N/A |
| Financial | 0 | 1 | N/A |
| Bond | N/A | 1 | N/A |



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Employment Separation After Allegations

This type of disclosure event involves a situation where the broker voluntarily resigned, was discharged, or was permitted to resign after being accused of (1) violating investment-related statutes, regulations, rules or industry standards of conduct; (2) fraud or the wrongful taking of property; or (3) failure to supervise in connection with investment-related statutes, regulations, rules, or industry standards of conduct.

Disclosure 1 of 1

| | |
|--------------------------|---|
| Reporting Source: | Broker |
| Employer Name: | JEFFERSON FINANCIAL CREDIT UNION |
| Termination Type: | Discharged |
| Termination Date: | 08/29/2008 |
| Allegations: | THEY BELIEVED I CHANGED INCOME TO MANY TIMES ON A LOAN I CLOSED AND THOUGHT I MADE IT UP TO BE ABLE TO DO THE LOAN. I DID NOT RECALL IF I WAS THE ONLY ONE WORKING ON THE LOAN APPLICATION BEFORE CLOSING. THEY REPORTED IT AND I APPEALED MY BOND BEING REVOKED AND WAS GRANTED REINSTATEMENT FOR DISHONESTY. I AM ALLOWED A MODIFIED BOND TO WORK. |
| Product Type: | Banking Products (other than CDs) |
| Broker Statement | I HAVE NEVER MADE UP ANY INFORMATION ON ANY LOAN I PROCESSED. I DO NOT RECALL IF I STARTED THE LOAN APPLICATION AS I BELIEVE ANOTHER LOAN OFFICER WAS WORKING THE APPLICATION FIRST. OUR POLICY DID NOT REQUIRE VERIFICATION OF INCOME DUE THE CUSTOMERS CREDIT AND STATED THAT WHY THEY THOUGHT I CHANGED INCOME TO MANY TIMES (SECOND JOB, SOCIAL SECURITY INCOME, RENT INCME, ETC) IT SHOWS A CHANGE OF INCOME. ONCE I TOOK OVER THE APPLICATION AND VERIFIED THE CURRENT INFO AND ADDITIONAL INCOME WAS DISCLOSED. I ALWAYS FOLLOWED PROCEDURES AND WOULD NEVER DO ANYTHING TO JEPORDIZE MY POSITION. I HAD BEEN WITH THE COMPANY FOR 5 1/2 YEARS AND I BELIEVE THEY WERE LOOKING FOR ANY OPPORTUNITY TO TERMINATE MY EMPLOYMENT. I HAVE BEEN WORKING FOR JP MORGAN CHASE FOR THE PAST 12 YEARS AND I HAVE HAVE NOT |



HAD ANY DISCREPANCIES AND I WILL CONTINUE NOT TO COMPROMISE MY MORALS OR WORK ETHIC IN ANY MANNER AND NEVER HAD AND NEVER WILL. MY CHARACTER AND SELF RESPECT IS VERY IMPORTANT TO ME.

**Financial - Final**

This type of disclosure event involves a bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation involving the broker or an organization/brokerage firm the broker controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source: Broker

Action Type: Compromise

Action Date: 09/01/2019

Organization Investment-Related?

Type of Court:

Name of Court:

Location of Court:

Docket/Case #: 10-12007

Action Pending? No

Disposition: Satisfied/Released

Disposition Date: 03/21/2024

If a compromise with creditor, provide:

Name of Creditor: Best Buy

Original Amount Owed: \$3,500.00

Terms Reached with Creditor: I closed my credit card in I believe 2019-2020 and they agreed to let my pay a fixed amount 0% interest and pay the fixed payment on the account until paid off and have an approximate balance of \$1801.



Civil Bond

This type of disclosure event involves a civil bond for the broker that has been denied, paid, or revoked by a bonding company.

Disclosure 1 of 1

| | |
|------------------------------|--|
| Reporting Source: | Broker |
| Policy Holder: | JEFFERSON FINANCIAL CREDIT UNION |
| Bonding Company Name: | CUNA MUTUAL GROUP, CUMIS INSURANCE SOCIETY, INC. |
| Disposition: | Revoked |
| Disposition Date: | 02/10/2009 |
| Broker Statement | <p>ON 08/29/08 JF CREDIT UNION TERMINATED MY EMPLOYMENT BECAUSE THEY BELIEVED I MANIPULATED INCOME ON AN APPLICATION TO PROCESS A LOAN. ALSO, THEY SHOWED ME AN VISA APPLICATION FORM A CUSTOMER FROM, I BELIEVE, 2 YEARS PRIOR THAT HAD DONE A LOAN PRIOR TO INCREASING HIS CREDIT LIMIT. THE STATED INCOME WAS HIGHER THAN THE PREVIOUS LOAN, BUT HE QUALIFIED WITH EITHER. THE VISA APPLICATION WAS FILLED OUT BY THE CUSTOMER. I WAS NOT EVEN SURE IF I WAS THE ONLY PERSON WORKING ON THESE LOANS. I AT NO TIME EVER MADE UP ANY INFORMATION AND WAS DISMAYED THAT AFTER 5 1/2 YEARS WITH THE CREDIT UNION THAT I WAS NOT EVEN GIVEN THE BENEFIT OF THE DOUBT. DURING MY YEARS AT THE CREDIT UNION I HAD VERY FAVORABLE REVIEWS AND A HIGH ACCURACY LEVEL. I TOOK MY JOB VERY SERIOUSLY. IN FEBRUARY OF 2009 I WAS NOTIFIED FROM CUNA THAT THEY HAD TERMINATED MY BONDABILITY WITH THEM DUE TO THE RESPONSE FROM THE CREDIT UNION. I CONSULTED AN ATTORNEY AND THROUGH HIS GUIDANCE I APPEALED THE DECISION IMMEDIATELY. THEY HAD STATED THAT I HAD FAILED TO VERIFY INCOME ON SELF EMPLOYED CUSTOMERS AND VIOLATED LENDING POLICY. THE CUSTOMER'S IN QUESTION, DUE TO THEIR CREDIT, WAS NOT REQUIRED, PER POLICY, TO SHOW PROOF OF INCOME. I WAS ABLE TO PROVE THIS THROUGH A COPY OF THE POLICY ITSELF. ALL LOAN DOCUMENTATION WAS REVIEWED BY THE DOCUMENTATION OFFICER AND FILED ONCE VERIFIED. AT NO TIME DID I RECEIVE A DISCREPANCY NOTICE. I RECEIVED CUNA'S RESPONSE IN JULY 2009 THAT THEY HAD REVIEWED MY APPEAL AND WOULD RE-INSTATE MY BONDABILITY FOR EMPLOYEE DISHONESTY BUT WITH THE MODIFICATION THAT AFTER 3 YEARS EMPLOYMENT WITH A PARTICIPATING CREDIT UNION WITHOUT ANY DISCREPANCIES THAT THEY MAY AMEND THE BOND TO INCLUDE THE FAITH AND TRUST CLAUSE. I WAS ADVISED NOT TO PURSUE IT ANY FURTHER SINCE I WAS ALREADY HAPPILY EMPLOYED WITH JP MORGAN CHASE.</p> |

End of Report



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