

## BrokerCheck Report

### JEFFREY A. REBOULET

CRD# 4629894

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 4
Registration and Employment History	6 - 8



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

[brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)

**JEFFREY A. REBOULET**

CRD# 4629894

**Currently employed by and registered with the following Firm(s):**

**IA CETERA INVESTMENT ADVISERS LLC**  
 DAYTON, OH  
 CRD# 105644  
 Registered with this firm since: 03/21/2024

**B CETERA ADVISORS LLC**  
 DAYTON, OH  
 CRD# 10299  
 Registered with this firm since: 01/01/2004

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 11 U.S. states and territories

**This broker has passed:**

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

**Registration History****This broker was previously registered with the following securities firm(s):**

- IA CETERA ADVISORS LLC**  
 CRD# 10299  
 GREENWOOD VILLAGE, IL  
 01/2007 - 03/2024
- IA MULTI-FINANCIAL SECURITIES CORPORATION**  
 CRD# 10299  
 GREENWOOD VILLAGE, IL  
 09/2004 - 12/2005
- B IFG NETWORK SECURITIES, INC.**  
 CRD# 19948  
 ATLANTA, GA  
 02/2003 - 01/2004

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 11 U.S. states and territories through his or her employer.**

### Employment 1 of 2

Firm Name: **CETERA ADVISORS LLC**

Main Office Address: **5299 DTC BLVD #800  
GREENWOOD VILLAGE, CO 80111**

Firm CRD#: **10299**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	01/01/2004

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	03/30/2005
B	California	Agent	Approved	09/08/2004
B	Florida	Agent	Approved	01/03/2005
B	Indiana	Agent	Approved	09/08/2004
B	Louisiana	Agent	Approved	09/10/2004
B	Maryland	Agent	Approved	07/14/2005
B	North Carolina	Agent	Approved	01/05/2007
B	Ohio	Agent	Approved	01/01/2004
B	Tennessee	Agent	Approved	09/07/2022
B	Texas	Agent	Approved	03/17/2016
B	Washington	Agent	Approved	10/20/2023

### Branch Office Locations



## Broker Qualifications

### Employment 1 of 2, continued

**CETERA ADVISORS LLC**  
DAYTON, OH

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### Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**  
Main Office Address: **1450 AMERICAN LANE**  
**6TH FLOOR, SUITE 650**  
**SCHAUMBURG, IL 60173-2096**  
Firm CRD#: **105644**

	<b>U.S. State/ Territory</b>	<b>Category</b>	<b>Status</b>	<b>Date</b>
<b>IA</b>	Ohio	Investment Adviser Representative	Approved	03/21/2024
<b>IA</b>	Texas	Investment Adviser Representative	Restricted Approval	03/21/2024

### Branch Office Locations

DAYTON, OH

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## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	02/13/2003

### State Securities Law Exams

Exam	Category	Date
<b>B IA</b> Uniform Combined State Law Examination	Series 66	08/31/2004

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 01/2007 - 03/2024	CETERA ADVISORS LLC	10299	BATON ROUGE, LA
IA 09/2004 - 12/2005	MULTI-FINANCIAL SECURITIES CORPORATION	10299	BATON ROUGE, LA
B 02/2003 - 01/2004	IFG NETWORK SECURITIES, INC.	19948	ATLANTA, GA

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
04/2025 - Present	MIDWEST SPORTS PERFORMANCE LLC	Owner	N	Dayton, OH, United States
05/2024 - Present	REB BASEBALL, LLC	OWNER	N	DAYTON, OH, United States
03/2024 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
01/2013 - Present	CETERA ADVISORS LLC	REGISTERED REPRESENTATIVE	Y	DENVER, CO, United States
07/2016 - 03/2019	ACCOUNTING A LA CARTE	REFERRALS	Y	BATON ROUGE, LA, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

(1) REB SPORTS ACADEMY AN OHIO CORPORATION, 3776 GRAND OAK TRAIL DAYTON, OH 4540, SPROT ACTIVITIES FOR KIDS, NON-PROFIT, 5HR/WK.

(2) NAME OF OTHER BUSINESS: DBA MASTERS PROSPORTS & ENTERTAINMENT



## Registration and Employment History

### Other Business Activities, continued

INVESTMENT RELATED: YES  
 ADDRESS: 3776 GRAND OAK TRAIL, DAYTON, OHIO 45440  
 NATURE OF BUSINESS: FINANCIAL SERVICES  
 START DATE: 06/1/2016  
 POSITION/TITLE/RELATIONSHIP: FINANCIAL ADVISOR  
 APX NUMBER OF HOURS PER WEEK: 40+  
 APX NUMBER OF HOURS DURING TRADING HOURS: 32.5  
 BRIEF DESCRIPTION OF DUTIES: DBA FOR SECURITIES BUSINESS.

(3) BIG LEAGUE MONEY BOOK & WEBSITE CREATED UNDER HORIZON SOLUTIONS LLC, NOT INVESTMENT RELATED, ADDRESS IS THE REGISTERED LOCATION, CO-AUTHOR OF BOOK AND WEBSITE, START JULY 2014, LESS THAN 1 HOUR PER WEEK - NOT DURING TRADING HOURS, AUTHOR.

(4) NAME OF OTHER BUSINESS: POA FOR MOTHER AND FATHER;  
 INVESTMENT RELATED: NO;  
 ADDRESS: 3776 GRAND OAK TRAIL, DAYTON, OH 45440;  
 NATURE OF BUSINESS: POA;  
 START DATE: 05/2015;  
 POSITION/TITLE/RELATIONSHIP: POA;  
 APX NUMBER OF HOURS PER WEEK: LESS THAN 1;  
 APX NUMBER OF HOURS DURING TRADING HOURS: NONE;  
 BRIEF DESCRIPTION OF DUTIES: POA DUTIES;

(5) NAME OF OTHER BUSINESS: MLBPA;  
 INVESTMENT RELATED: NO;  
 ADDRESS: 12 EAST 49TH STREET 24TH FLOOR, NEW YORK, NY 10017;  
 NATURE OF BUSINESS: PLAYERS ASSOCIATION;  
 START DATE: 09/2017;  
 POSITION/TITLE/RELATIONSHIP: CONSULTANT;  
 APX NUMBER OF HOURS PER WEEK: 1;  
 APX NUMBER OF HOURS DURING TRADING HOURS: NONE;  
 BRIEF DESCRIPTION OF DUTIES: HELPING IMPROVE THE ORGANIZATION;

(6) NAME OF OTHER BUSINESS: HORIZON FINANCIAL GROUP ;  
 INVESTMENT RELATED: YES;  
 ADDRESS: 15015 Jamestown Blvd, STE 100, Baton Rouge, LA 70810;  
 NATURE OF BUSINESS: FINANCIAL SERVICES;  
 START DATE: 11/2023;  
 POSITION/TITLE/RELATIONSHIP: FINANCIAL PROFESSIONAL;  
 APX NUMBER OF HOURS PER WEEK: 5;  
 APX NUMBER OF HOURS DURING TRADING HOURS: 5;  
 BRIEF DESCRIPTION OF DUTIES: DBA FOR FINANCIAL SERVICES;

(7) NAME OF OTHER BUSINESS: PLAYERS WAY, LLC,



## Registration and Employment History

### Other Business Activities, continued

INVESTMENT RELATED: NO,  
ADDRESS: 13506 SUMMERPORT VILLAGE PKWY, STE 226, WINDERMERE, FL 34786,  
NATURE OF BUSINESS BASEBALL CAMPS & CLINICS,  
START DATE: 11/2023,  
POSITION/TITLE/RELATIONSHIP: COORDINATOR,  
APX NUMBER OF HOURS PER WEEK: 2,  
APX NUMBER OF HOURS DURING TRADING HOURS: VARIES,  
BRIEF DESCRIPTION OF DUTIES: SET UP AND RUN LOCAL BASEBALL AND SOFTBALL CAMPS/CLINICS;  
(8) NAME OF OTHER BUSINESS: REB BASEBALL, LLC,  
INVESTMENT RELATED: NO,  
ADDRESS: SAME AS RESIDENTIAL LOCATION,  
NATURE OF BUSINESS: BASEBALL/SOFTBALL,  
START DATE: 05/2024,  
POSITION/TITLE/RELATIONSHIP: OWNER,  
APX NUMBER OF HOURS PER WEEK: 5,  
APX NUMBER OF HOURS DURING TRADING HOURS: VARIES,  
BRIEF DESCRIPTION OF DUTIES: PROVIDE CONSULTING FOR BASEBALL/SOFTBALL TEAMS AND PLAYERS. OFFER CAMPS AND CLINICS;  
(9) NAME OF OTHER BUSINESS: MIDWEST SPORTS PERFORMANCE LLC;  
INVESTMENT RELATED: NO;  
ADDRESS: 1232 FALKE DRIVE DAYTON, OH 45432;  
NATURE OF BUSINESS: SPORTS RELATED;  
START DATE: 04/2025;  
POSITION/TITLE/RELATIONSHIP OWNER;;  
APX NUMBER OF HOURS PER WEEK: 15;  
APX NUMBER OF HOURS DURING TRADING HOURS: 0;  
BRIEF DESCRIPTION OF DUTIES: RUNS CAMPS, CLINICS, BASEBALL & SOFTBALL TOURNAMENTS, LEAGUES, SELLS EQUIPMENT, PROGRAMMING AND CONSULTS FOR SPORTS ACTIVITIES;

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## End of Report



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