BrokerCheck Report

Marcela Cadavid Hallman

CRD# 4706115

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
    - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
    - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

Marcela C. Hallman

CRD# 4706115

Currently employed by and registered with the following Firm(s):

IA **JEFFERIES INVESTMENT ADVISERS LLC**
Plantation, FL
CRD# 325421
Registered with this firm since: 05/30/2024

B **JEFFERIES LLC**
1450 BRICKELL AVENUE
SUITE 1650
MIAMI, FL 33131
CRD# 2347
Registered with this firm since: 05/28/2024

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 26 Self-Regulatory Organizations
- 53 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

IA **BRADESCO GLOBAL ADVISORS**
CRD# 134416
CORAL GABLES, FL
09/2023 - 03/2024

B **BRADESCO INVESTMENTS INC.**
CRD# 19453
CORAL GABLES, FL
08/2015 - 03/2024

B **TCT SECURITIES & DERIVATIVES, LLC**
CRD# 149679
MIAMI, FL
08/2013 - 12/2013

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 26 SROs and is licensed in 53 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **JEFFERIES INVESTMENT ADVISERS LLC**

Main Office Address: **520 MADISON AVENUE**
NEW YORK, NY 10022

Firm CRD#: **325421**

	U.S. State/ Territory	Category	Status	Date
IA	Florida	Investment Adviser Representative	Approved	05/30/2024

Branch Office Locations

520 MADISON AVENUE
NEW YORK, NY 10022

Plantation, FL

Employment 2 of 2

Firm Name: **JEFFERIES LLC**

Main Office Address: **520 MADISON AVENUE**
NEW YORK, NY 10022

Firm CRD#: **2347**

	SRO	Category	Status	Date
B	BOX Exchange LLC	General Securities Representative	Approved	05/28/2024
B	Cboe BYX Exchange, Inc.	General Securities Representative	Approved	05/28/2024
B	Cboe BZX Exchange, Inc.	General Securities Representative	Approved	05/28/2024

Broker Qualifications

Employment 2 of 2, continued

	SRO	Category	Status	Date
B	Cboe C2 Exchange, Inc.	General Securities Representative	Approved	05/28/2024
B	Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	05/28/2024
B	Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	05/28/2024
B	Cboe Exchange, Inc.	General Securities Representative	Approved	05/28/2024
B	FINRA	General Securities Representative	Approved	05/28/2024
B	Investors' Exchange LLC	General Securities Representative	Approved	05/28/2024
B	Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	05/28/2024
B	MEMX LLC	General Securities Representative	Approved	05/28/2024
B	MIAX Emerald, LLC	General Securities Representative	Approved	05/28/2024
B	MIAX PEARL, LLC	General Securities Representative	Approved	05/28/2024
B	MIAX Sapphire	General Securities Representative	Approved	09/23/2024
B	Miami International Securities Exchange, LLC	General Securities Representative	Approved	05/28/2024
B	NYSE American LLC	General Securities Representative	Approved	05/28/2024
B	NYSE Arca, Inc.	General Securities Representative	Approved	05/28/2024
B	NYSE National, Inc.	General Securities Representative	Approved	05/28/2024
B	NYSE Texas, Inc.	General Securities Representative	Approved	05/28/2024
B	Nasdaq BX, Inc.	General Securities Representative	Approved	05/28/2024
B	Nasdaq GEMX, LLC	General Securities Representative	Approved	05/28/2024
B	Nasdaq ISE, LLC	General Securities Representative	Approved	05/28/2024
B	Nasdaq MRX, LLC	General Securities Representative	Approved	05/28/2024
B	Nasdaq PHLX LLC	General Securities Representative	Approved	05/28/2024

Broker Qualifications

Employment 2 of 2, continued

	SRO	Category	Status	Date
B	Nasdaq Stock Market	General Securities Representative	Approved	05/28/2024
B	New York Stock Exchange	General Securities Representative	Approved	05/28/2024

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	05/28/2024
B	Alaska	Agent	Approved	05/28/2024
B	Arizona	Agent	Approved	05/28/2024
B	Arkansas	Agent	Approved	05/28/2024
B	California	Agent	Approved	05/28/2024
B	Colorado	Agent	Approved	05/28/2024
B	Connecticut	Agent	Approved	05/28/2024
B	Delaware	Agent	Approved	05/28/2024
B	District of Columbia	Agent	Approved	05/28/2024
B	Florida	Agent	Approved	05/28/2024
B	Georgia	Agent	Approved	05/28/2024
B	Hawaii	Agent	Approved	05/28/2024
B	Idaho	Agent	Approved	05/28/2024
B	Illinois	Agent	Approved	05/28/2024
B	Indiana	Agent	Approved	05/28/2024
B	Iowa	Agent	Approved	05/28/2024
B	Kansas	Agent	Approved	05/28/2024
B	Kentucky	Agent	Approved	05/28/2024

Broker Qualifications

Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Louisiana	Agent	Approved	05/28/2024
B	Maine	Agent	Approved	05/28/2024
B	Maryland	Agent	Approved	05/28/2024
B	Massachusetts	Agent	Approved	05/28/2024
B	Michigan	Agent	Approved	05/28/2024
B	Minnesota	Agent	Approved	05/28/2024
B	Mississippi	Agent	Approved	05/28/2024
B	Missouri	Agent	Approved	05/28/2024
B	Montana	Agent	Approved	05/28/2024
B	Nebraska	Agent	Approved	05/28/2024
B	Nevada	Agent	Approved	05/28/2024
B	New Hampshire	Agent	Approved	05/28/2024
B	New Jersey	Agent	Approved	05/28/2024
B	New Mexico	Agent	Approved	05/28/2024
B	New York	Agent	Approved	05/28/2024
B	North Carolina	Agent	Approved	05/29/2024
B	North Dakota	Agent	Approved	05/28/2024
B	Ohio	Agent	Approved	05/29/2024
B	Oklahoma	Agent	Approved	05/28/2024
B	Oregon	Agent	Approved	05/28/2024
B	Pennsylvania	Agent	Approved	05/28/2024

Broker Qualifications

Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Puerto Rico	Agent	Approved	05/28/2024
B	Rhode Island	Agent	Approved	05/28/2024
B	South Carolina	Agent	Approved	05/28/2024
B	South Dakota	Agent	Approved	05/28/2024
B	Tennessee	Agent	Approved	05/28/2024
B	Texas	Agent	Approved	05/28/2024
B	Utah	Agent	Approved	05/28/2024
B	Vermont	Agent	Approved	05/28/2024
B	Virgin Islands	Agent	Approved	05/28/2024
B	Virginia	Agent	Approved	05/28/2024
B	Washington	Agent	Approved	05/28/2024
B	West Virginia	Agent	Approved	05/28/2024
B	Wisconsin	Agent	Approved	05/28/2024
B	Wyoming	Agent	Approved	05/28/2024

Branch Office Locations

JEFFERIES LLC
1450 BRICKELL AVENUE
SUITE 1650
MIAMI, FL 33131

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	General Securities Representative Examination	Series 7	01/11/2004

State Securities Law Exams

	Exam	Category	Date
B IA	Uniform Combined State Law Examination	Series 66	07/11/2023

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
IA	09/2023 - 03/2024	BRADESCO GLOBAL ADVISORS	134416	CORAL GABLES, FL
B	08/2015 - 03/2024	BRADESCO INVESTMENTS INC.	19453	CORAL GABLES, FL
B	08/2013 - 12/2013	TCT SECURITIES & DERIVATIVES, LLC	149679	MIAMI, FL
B	06/2010 - 08/2011	SOUZA BARROS SECURITIES, INC	149032	MIAMI, FL
B	07/2009 - 03/2010	DIRECT ACCESS PARTNERS LLC	120950	NEW YORK, NY
IA	02/2008 - 02/2009	CITIGROUP GLOBAL MARKETS INC.	7059	MIAMI, FL
B	10/2007 - 02/2009	CITIGROUP GLOBAL MARKETS INC.	7059	MIAMI, FL
B	09/2004 - 10/2007	DOMINICK & DOMINICK LLC	7344	MIAMI, FL
B	01/2004 - 09/2004	FIRST SECURITY INVESTMENTS, INC.	24035	KINGSTON, PA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
05/2024 - Present	Jefferies Investment Advisers	Registered Investment Advisor	Y	Miami, FL, United States
05/2024 - Present	Jefferies LLC	VP Business Analyst	Y	Miami, FL, United States
07/2023 - 05/2024	Bradesco Global Advisor	Registered Investment Advisor	Y	Coral Gables, FL, United States
01/2022 - 05/2024	Bradesco Investments	VP Institutional Sales	Y	Coral Gables, FL, United States
01/2018 - 01/2022	BAC Florida Investments	VP/Institutional Sales	Y	Coral Gables, FL, United States

Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
07/2015 - 01/2018	BAC FLORIDA INVESTMENTS	AVP/Institutional Sales	Y	CORAL GABLES, FL, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Outside Business Activity Name: Fitness and Wellness; Nature of Business: Fitness and Wellness; Position: Fitness Consultant Entrepreneur; Start Date: December 2022; Number of Hours:40; Non-Investment Related
Bradesco Global Advisor, Investment Related, 3011 Ponce de Leon Boulevard, PHI, Coral Gables, FL 33134, Start Date:7/2023

Company Name
Marcela Hallman
Organization / Company Type
5. Other
If this affiliation is with a public company please enter the Symbol (Ticker) and exchange the company is listed on below:

If you selected "Other" in Organization/Company Type above, please explain below:
Rental Income
Title / Role
Owner
Anticipated Compensation
50,000.00
Currency
USD
Start / Anticipated Date
1/1/2024
End / Anticipated Date
12/31/2024
Nature / Description of the business:
Real State
Your responsibilities / activities:
Owner
Total hours per month devoted to other organization, entity or business during non-business hours:
2
Total hours per month devoted to other organization, entity or business during business / security trading hours:
0
Is the business investment-related?
No

Registration and Employment History

Other Business Activities, continued

Is this business in your coverage sector industry?
No
Address of the other business:
1250 S Miami Ave. Miami
To the best of your knowledge, is there a brokerage account affiliated with the entity?
No
If yes, can you effect any of the trading or transactions within the account?
Limited to 1000 characters
Have you been asked to take on this role in connection with your role at the Firm?
No
If yes, please explain:
Limited to 1000 characters
Is the entity a client or potential client of the Firm or of an affiliated entity of the Firm?
No
To the best of your knowledge, is the entity a vendor of the firm or of an affiliated entity of the firm?
No
To the best of your knowledge, is the entity potentially a target, competitor, or affiliate of a Firm client or a client of affiliates of the Firm?
No
To the best of your knowledge, do you know of any conflicts or potential conflicts with the entity and the Firm or with an affiliate of the Firm?
No
Additional notes:
Limited to 1000 characters

End of Report

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