

BrokerCheck Report

JASON ERIC MCCARTHY

CRD# 4708230

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

For more information about FINRA, visit www.finra.org.

**JASON E. MCCARTHY**

CRD# 4708230

Currently employed by and registered with the following Firm(s):

- B** **FR TRADING LLC**
225 NE Mizner Blvd
Suite 220
Boca Raton , FL 33432
CRD# 318566
Registered with this firm since: 06/01/2023

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 3 Self-Regulatory Organizations
- 0 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 0 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):**

- B** **JANE STREET SPECIALISTS, LLC**
CRD# 118232
NEW YORK, NY
09/2003 - 01/2009
- B** **JANE STREET CAPITAL, LLC**
CRD# 103782
NEW YORK, NY
09/2003 - 01/2009
- B** **JANE STREET TRADING, LLC**
CRD# 103790
NEW YORK, NY
09/2003 - 01/2009

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 3 SROs and is licensed in 0 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **FR TRADING LLC**
Main Office Address: **225 LIBERTY STREET
30TH FLOOR
NEW YORK, NY 10281**
Firm CRD#: **318566**

	SRO	Category	Status	Date
B	Cboe Exchange, Inc.	Securities Trader	Approved	06/01/2023
B	Cboe Exchange, Inc.	Securities Trader Principal	Approved	06/01/2023
B	FINRA	Securities Trader	Approved	08/29/2024
B	FINRA	Securities Trader Principal	Approved	08/29/2024
B	NYSE Arca, Inc.	Securities Trader	Approved	11/12/2024
B	NYSE Arca, Inc.	Securities Trader Principal	Approved	11/12/2024

Branch Office Locations

FR TRADING LLC
225 LIBERTY STREET
30TH FLOOR
NEW YORK, NY 10281

FR TRADING LLC
225 NE Mizner Blvd
Suite 220
Boca Raton , FL 33432



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 0 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	05/09/2023

General Industry/Product Exams

Exam	Category	Date
B Securities Trader Exam	Series 57TO	04/10/2023
B Securities Industry Essentials Examination	SIE	03/15/2023

State Securities Law Exams

Exam	Category	Date
No information reported.		

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 09/2003 - 01/2009	JANE STREET SPECIALISTS, LLC	118232	NEW YORK, NY
B 09/2003 - 01/2009	JANE STREET CAPITAL, LLC	103782	NEW YORK, NY
B 09/2003 - 01/2009	JANE STREET TRADING, LLC	103790	NEW YORK, NY

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
01/2009 - Present	FIVE RINGS CAPITAL LLC	MANAGING PRINCIPAL	Y	NEW YORK, NY, United States
01/2009 - Present	FIVE RINGS LLC	MANAGER	Y	NEW YORK, NY, United States
11/2009 - 06/2018	FENIX INTERNATIONAL INC	BOARD MEMBER	N	NEW YORK, NY, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

FIVE RINGS LLC/FIVE RINGS CAPITAL LLC - MANAGER AND MANAGING DIRECTOR, RESPECTIVELY. 120 BROADWAY, STE 3750, NEW YORK, NEW YORK. INVESTMENT RELATED. JAN 2009. HOURS/MONTH NOT KNOWN - VARIABLE AND CONCURRENT WITH SECURITIES TRADING HOURS.***

HENDRIX KILLIAN LLC, NON-INVESTMENT RELATED, NEW YORK NY, MANAGING MEMBER OF OTHER ENTITIES - HK MOTORCARS LLC, SST MOTORCARS LLC, and HK MOTORSPORTS LLC, PRESIDENT , 12/29/2017, TWO HOURS PER MONTH TOTAL , ZERO HOURS PER MONTH DURING TRADING HOURS, PRESIDENT AND SOLE MEMBER

HK MOTORCARS LLC , NON - INVESTMENT RELATED, 281 N BEDFORD ROAD, SUITE250 MT. KISCO, NY 10549, CAR DEALERSHIP ,

Registration and Employment History



Other Business Activities, continued

AUTHORIZED SIGNATORY HENDRIX KILLIAN LLC IS SOLE MEMBER , MARCH 20, 2020, TEN HOURS PER MONTH , ZERO HOURS DURING TRADING HOURS , OVERSEE THE OPERATION OF SELLING USED AND NEW CARS WHILE MARKETING SOME OF THE VEHICLES THROUGH RACING EXPERIENCE

SST MOTORCARS LLC, NON-INVESTMENT RELATED, 52 MIRY BROOK RD , UNIT A , DANBURY , CT 06810, CAR DEALERSHIP, AUTHORIZED SIGNATORY HENDRIX KILLIAN LLC IS SOLE MEMBER , OCTOBER 2, 2020, TWO HOURS PER MONTH Z, ZERO HOURS DURING TRADING HOURS , OVERSEE THE OPERATION OF SELLING USED AND NEW CARS WHILE MARKETING SOME OF THE VEHICLES THROUGH RACING EXPERIENCE

HK MOTORSPORTS LLC, NON - INVESTMENT RELATED , 281 N BEDFORD ROAD, SUITE 250 MT. KISCO, NY 10549, RACING EXPERIENCE COMPANY, AUTHORIZED SIGNATORY HENDRIX KILLIAN LLC IS SOLE MEMBER, MARCH 23, 2020, ONE HOUR PER MONTH, ZERO HOURS DURING TRADING HOURS, RACING EXPERIENCE COMPANY AND LEASE HOLDER OF VEHICLE STORAGE SPACE

Mycellium LLC - Non-Investment Related. 10632 Trask Avenue Garden Grove CA 92843' Supplier of Soil and Materials for the Mushrooms Industry. Board of Managers December 11, 2023 1-2 Hours per month. Zero hours during trading hours.

HK Motorcars Florida LLC- Non- Investment Related , 1601 Hill Ave, Magnolia Park , FL 33407 Car Dealership member November 24, 2021 Two Hours per Month Zero Hours During Trading Hours Oversee the operation of buying and selling used and new cars while marketing some of the vehicles through racing experience.

End of Report



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