BrokerCheck Report

JENNA ROSE DETUCCIO

CRD# 4857247

Section Title	Page(s)

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
    - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
    - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

JENNA R. DETUCCIO

CRD# 4857247

Currently employed by and registered with the following Firm(s):

B **ALLIANCE-ONE INVESTMENTS, LLC**
4000 N Mingo Rd
Tulsa, OK 74116
CRD# 286025
Registered with this firm since: 08/11/2017

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 0 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 0 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

B **METLIFE INVESTORS DISTRIBUTION COMPANY**
CRD# 107622
CHARLOTTE, NC
04/2006 - 08/2017

B **METLIFE SECURITIES, INC**
CRD# 14251
CHARLOTTE, NC
10/2012 - 06/2016

B **NEW ENGLAND SECURITIES**
CRD# 615
CHARLOTTE, NC
10/2012 - 01/2015

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Financial	14

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 0 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **ALLIANCE-ONE INVESTMENTS, LLC**

Main Office Address: **4000 N MINGO RD**
TULSA, OK 74116

Firm CRD#: **286025**

	SRO	Category	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	08/11/2017
B	FINRA	Investment Co./Variable Contracts Prin	Approved	08/11/2017

Branch Office Locations

ALLIANCE-ONE INVESTMENTS, LLC
4000 N Mingo Rd
Tulsa, OK 74116

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 0 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Investment Company Products/Variable Contracts Principal Examination	Series 26	03/19/2015

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	Investment Company Products/Variable Contracts Representative Examination	Series 6	09/20/2004

State Securities Law Exams

Exam	Category	Date
No information reported.		

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
B	04/2006 - 08/2017	METLIFE INVESTORS DISTRIBUTION COMPANY	107622	CHARLOTTE, NC
B	10/2012 - 06/2016	METLIFE SECURITIES, INC	14251	CHARLOTTE, NC
B	10/2012 - 01/2015	NEW ENGLAND SECURITIES	615	CHARLOTTE, NC
B	09/2004 - 04/2006	TOWER SQUARE SECURITIES, INC.	833	EL SEGUNDO, CA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
08/2017 - Present	ALLIANCE-ONE INVESTMENTS, LLC	Mass Transfer	Y	Warwick, RI, United States
11/2016 - Present	Computer Sciences Corporation	Admin	Y	Hartford, CT, United States
04/2006 - Present	METLIFE INVESTORS DISTRIBUTION COMPANY	Mass Transfer	Y	IRVINE, CA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

No information reported.

Disclosure Events

What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

2. **Certain thresholds must be met before an event is reported to CRD, for example:**
   - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
   - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.
   -
3. **Disclosure events in BrokerCheck reports come from different sources:**
   - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
   -
4. **There are different statuses and dispositions for disclosure events:**
   - A disclosure event may have a status of *pending, on appeal,* or *final.*
     - A "pending" event involves allegations that have not been proven or formally adjudicated.
     - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
     - A "final" event has been concluded and its resolution is not subject to change.
   - A final event generally has a disposition of *adjudicated, settled* or *otherwise resolved.*
     - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
     - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
     - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Financial	7	7	N/A

Disclosure Event Details

When evaluating this information, please keep in mind that a discloure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Financial - Final

This type of disclosure event involves a bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation involving the broker or an organization/brokerage firm the broker controlled that occurred within the last 10 years.

Disclosure 1 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	07/18/2024
Organization Investment-Related?	
Type of Court:	Gitmeid Law is working on my behalf through a hardship program to reach a compromise with my creditors.
Name of Court:	
Location of Court:	
Action Pending?	No
Disposition:	Direct Payment Procedure
Disposition Date:	06/25/2025
If a compromise with creditor, provide:	
Name of Creditor:	Barclays Bank Delaware
Original Amount Owed:	$9,056.00
Terms Reached with Creditor:	A settlement agreement was made to start payments on 07/26/2024 and conclude on 06/26/2025 for a total amount of $4,594.00.
Amount Paid:	$4,594.00

SIPA (Securities Investor Protection Act)Trustee:	
Currently Open?	No
Date Direct Payment Initiated/Filed or Trustee Appointed:	07/26/2024
Broker Statement	Gitmeid Law is working on my behalf to obtain settlement with my creditors for less than what is owed through compromise. Direct payments will be made to satisfy the agreed upon settlement amount.

Disclosure 2 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	07/09/2024
Organization Investment-Related?	
Type of Court:	Gitmeid Law is working on my behalf through a hardship program to reach a compromise with my creditors.
Name of Court:	
Location of Court:	
Action Pending?	No
Disposition:	Satisfied/Released
Disposition Date:	12/26/2024
If a compromise with creditor, provide:	
Name of Creditor:	ComenityCapital/Petco
Original Amount Owed:	$2,020.00
Terms Reached with Creditor:	An agreement was reached to start direct payments on 07/26/2024 and conclude on 12/26/2024 for a total of $957.00.
Broker Statement	Gitmeid Law is working on my behalf to obtain settlement with my creditors for less than what is owed through compromise. Direct payments will be made to satisfy

	the agreed upon settlement amount.

Disclosure 3 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	02/04/2024
Organization Investment-Related?	
Type of Court:	Gitmeid Law is working on my behalf through a hardship program to reach a compromise with my creditors.
Name of Court:	
Location of Court:	
Action Pending?	No
Disposition:	Satisfied/Released
Disposition Date:	07/20/2024
If a compromise with creditor, provide:	
Name of Creditor:	Comenity/CB Ulta
Original Amount Owed:	$2,809.00
Terms Reached with Creditor:	The amount settled on was $1,742.88 with payments to commence 02/20/2024 and be fully paid on 07/20/2024
Broker Statement	Gitmeid Law is working on my behalf to obtain settlement with my creditors for less than what is owed through compromise. Direct payments will be made to satisfy the agreed upon settlement amount.

Disclosure 4 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	02/04/2024
Organization Investment-Related?	

Type of Court:	Hardship program through Gitmeid Law where they work on my behalf to finalize a compromise with by creditors.
Name of Court:	
Location of Court:	
Action Pending?	No
Disposition:	Satisfied/Released
Disposition Date:	07/18/2024
If a compromise with creditor, provide:	
Name of Creditor:	Comenity Bank/Torrid
Original Amount Owed:	$2,149.00
Terms Reached with Creditor:	The amount settled upon is $1,308.00 with a monthly direct payment plan starting on 02/18/2024 and ending on 07/18/2024.
Broker Statement	I am working with Gitmeid Law through a hardship program where they work with my creditors on my behalf to come to a compromise for a lesser amount that what is owed. The agreed upon amount is then repaid via a monthly direct payment program.

Disclosure 5 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	01/06/2024
Organization Investment-Related?	
Type of Court:	The compromise was facilitated by Gitmeid Law on my behalf
Name of Court:	
Location of Court:	
Action Pending?	No
Disposition:	Direct Payment Procedure
Disposition Date:	07/06/2025

If a compromise with creditor, provide:	
Name of Creditor:	Lending Club
Original Amount Owed:	$18,670.89
Terms Reached with Creditor:	The creditor offered a repayment schedule to start on 02/06/2024 with monthly payments through July 6, 2025. The amount settled for $8,400.00.
Amount Paid:	$8,400.00
SIPA (Securities Investor Protection Act)Trustee:	
Currently Open?	No
Date Direct Payment Initiated/Filed or Trustee Appointed:	02/06/2024
Broker Statement	Due to financial hardship, I enlisted Gitmeid Law to negotiate compromises with my creditors. They were able to facilitate a compromise with this creditor and a direct payment procedure was agreed to, set up, and completed.

Disclosure 6 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	01/19/2024
Organization Investment-Related?	
Type of Court:	The compromise was facilitated by Gitmeid Law on my behalf
Name of Court:	
Location of Court:	
Action Pending?	No
Disposition:	Direct Payment Procedure
Disposition Date:	12/23/2024

If a compromise with creditor, provide:

Name of Creditor:	Barclays/GAP
Original Amount Owed:	$5,904.34
Terms Reached with Creditor:	The creditor agreed to a compromise of $2,538.00 and a monthly repayment beginning on 01/26/2024 and concluding on 12/26/2024.
Amount Paid:	$2,538.00
SIPA (Securities Investor Protection Act)Trustee:	
Currently Open?	No
Date Direct Payment Initiated/Filed or Trustee Appointed:	01/26/2024
Broker Statement	Due to financial hardship, I enlisted Gitmeid Law to negotiate compromises with my creditors. I am reporting what has been received so far. The SIPA section is filled out with details of the payment agreement, but this matter is unrelated to SIPA. I was unable to remove the information from that section once I selected "Direct Payment Procedure" in the Disposition Details section.

Disclosure 7 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	01/10/2024
Organization Investment-Related?	
Type of Court:	The compromise was facilitated by Gitmeid Law on my behalf
Name of Court:	
Location of Court:	
Action Pending?	No
Disposition:	Direct Payment Procedure
Disposition Date:	01/26/2024

If a compromise with creditor, provide:	
Name of Creditor:	Barclays (Juniper)
Original Amount Owed:	$2,428.45
Terms Reached with Creditor:	The creditor has allowed me to settle for a one-time payment of $850.00 to be made on 01/26/2024.
Amount Paid:	$850.00
SIPA (Securities Investor Protection Act)Trustee:	
Currently Open?	No
Date Direct Payment Initiated/Filed or Trustee Appointed:	01/26/2024
Broker Statement	I am experiencing a financial hardship and am working with Gitmeid Law to facilitate compromises with my creditors. This is completed as a one-time payment was made on 01/26/2024 for the agreed amount of $850.00. The SIPA section is filled out with details of the payment agreement, but this matter is unrelated to SIPA. I was unable to remove the information from that section once I selected "Direct Payment Procedure" in the Disposition Details section.

Financial - Pending

This type of disclosure event involves a pending bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation involving the broker or an organization/brokerage firm the broker controlled that occurred within the last 10 years.

Disclosure 1 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	11/20/2025
Organization Investment-Related?	
Action Pending?	Yes
If a compromise with creditor, provide:	
Name of Creditor:	Citibank (Best Buy)
Original Amount Owed:	$3,257.00
Terms Reached with Creditor:	A compromise was reached to pay $2,083.00 split into monthly payments beginning on November 28, 2025, and concluding on May 28, 2027.
Broker Statement	Gitmeid Law facilitated a compromise, on my behalf, to pay a lesser amount than what was owed.

Disclosure 2 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	01/23/2025
Organization Investment-Related?	
Type of Court:	Compromise faciliated on my behalf by Gitmeid Law
Name of Court:	State of Connecticut Superior Court
Location of Court:	New Britain, CT
Action Pending?	Yes

If a compromise with creditor, provide:

Name of Creditor:	FNB Omaha
Original Amount Owed:	$2,348.00
Terms Reached with Creditor:	Gitmeid Law facilitated a compromise on my behalf in the amount of $2,319.00 with monthly payments to commence on 01/24/2025 and conclude on 12/28/2028.
Broker Statement	I am experiencing financial hardship and enlisted the help of Gitmeid Law to negotiate with my creditors to settle for less than what I owe them. I received a State of CT court summons (no docket number and no requirement to appear) on 01/22/2025. I received confirmation from an attorney with Gitmeid Law on 02/18/2025 that they were able to settle with a compromise to repay for the settled amount as described above.

Disclosure 3 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	11/18/2024
Organization Investment-Related?	
Type of Court:	The compromise was facilitated by Gitmeid Law on my behalf.
Name of Court:	
Location of Court:	
Action Pending?	Yes

If a compromise with creditor, provide:

Name of Creditor:	Synchrony Bank/JC Penney DC
Original Amount Owed:	$4,295.00
Terms Reached with Creditor:	Gitmed Law faciliatated a compromise on my behalf in the amount of $2,526.00 with monthly payments to commence on 11/27/2024 and conclude on 10/27/2026.
Broker Statement	I am experiencing financial hardship and enlisted the help of Gitmeid Law to negotiate with my creditors to settle for less than what I owe them.

Disclosure 4 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	11/18/2024
Organization Investment-Related?	
Type of Court:	The compromise was facilitated by Gitmeid Law on my behalf.
Name of Court:	
Location of Court:	
Action Pending?	Yes
If a compromise with creditor, provide:	
Name of Creditor:	Synchrony/Belk Dual Card
Original Amount Owed:	$2,167.00
Terms Reached with Creditor:	Gitmeid Law facilitated a compromise on my behalf in the amount of $1,220.00 with monthly payments to commence on 11/27/2024 and conclude on 10/27/2026.
Broker Statement	I am experiencing financial hardship and enlisted the help of Gitmeid Law to negotiate with my creditors to settle for less than what I owe them.

Disclosure 5 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	11/18/2024
Organization Investment-Related?	
Type of Court:	Hardship program with Gitmeid Law who works with my creditors to reach a compromise with my debt owed
Name of Court:	
Location of Court:	

Action Pending?	Yes
Disposition:	Payments will conclude on 10/27/2026.
Disposition Date:	
If a compromise with creditor, provide:	
Name of Creditor:	Synchrony Bank/PayPal Credit
Original Amount Owed:	$5,163.00
Terms Reached with Creditor:	The creditor agreed to a compromise of $3,003.00 and a monthly repayment beginning on 11/27/2024 and concluding on 10/27/2026.
Broker Statement	I am experiencing financial hardship and enlisted the help of Gitmeid Law to negotiate with my creditors to settle for less than what I owe them.

Disclosure 6 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	11/18/2024
Organization Investment-Related?	
Type of Court:	The compromise was facilitated by Gitmeid Law on my behalf.
Name of Court:	
Location of Court:	
Action Pending?	Yes
If a compromise with creditor, provide:	
Name of Creditor:	Synchrony Bank/Amazon
Original Amount Owed:	$2,651.00
Terms Reached with Creditor:	Gitmeid Law facilitated a compromise on my behalf in the amount of $1,510.00 with monthly payments to commence on 11/27/2024 and conclude on 10/27/2026.
Broker Statement	I am experiencing financial hardship and enlisted the help of Gitmeid Law to negotiate with my creditors to settle for less than what I owe them.

Disclosure 7 of 7

Reporting Source:	Broker
Action Type:	Compromise
Action Date:	07/19/2024
Organization Investment-Related?	
Type of Court:	Gitmeid Law is working on my behalf through a hardship program to reach a compromise with my creditors.
Name of Court:	
Location of Court:	
Action Pending?	Yes
If a compromise with creditor, provide:	
Name of Creditor:	Upgrade Inc
Original Amount Owed:	$12,758.00
Terms Reached with Creditor:	An agreement was made to start making payments on 07/26/2024 and conclude on 06/26/2026 for a total amount of $5,982.39.
Broker Statement	Gitmeid Law is working on my behalf to obtain settlement with my creditors for less than what is owed through compromise. Direct payments will be made to satisfy the agreed upon settlement amount.

End of Report

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