BrokerCheck Report

AARON A LEAMAN

CRD# 5017564

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

AARON A. LEAMAN

CRD# 5017564

Currently employed by and registered with the following Firm(s):

IA **KESTRA ADVISORY SERVICES, LLC**
525 William Penn Place
STE. 3401
PITTSBURGH, PA 15219
CRD# 283330
Registered with this firm since: 04/19/2016

B **KESTRA INVESTMENT SERVICES, LLC**
525 William Penn Place
Ste 3401
PITTSBURGH, PA 15219
CRD# 42046
Registered with this firm since: 03/10/2006

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

IA **SIGNATURE SELECT WEALTH**
CRD# 306143
PITTSBURGH, PA
01/2020 - 09/2025

IA **NFP ADVISOR SERVICES, LLC**
CRD# 42046
AUSTIN, TX
02/2014 - 09/2016

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.

Employment 1 of 2

Firm Name: **KESTRA ADVISORY SERVICES, LLC**

Main Office Address: **5707 SOUTHWEST PARKWAY**
BUILDING 2, SUITE 400
AUSTIN, TX 78735

Firm CRD#: **283330**

	U.S. State/ Territory	Category	Status	Date
IA	Pennsylvania	Investment Adviser Representative	Approved	04/19/2016

Branch Office Locations

525 William Penn Place
STE. 3401
PITTSBURGH, PA 15219

Pittsburgh, PA

Employment 2 of 2

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**

Main Office Address: **5707 SOUTHWEST PARKWAY**
BUILDING 2, SUITE 400
AUSTIN, TX 78735

Firm CRD#: **42046**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	03/10/2006

Broker Qualifications

Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Pennsylvania	Agent	Approved	04/21/2006

Branch Office Locations

KESTRA INVESTMENT SERVICES, LLC
525 William Penn Place
Ste 3401
PITTSBURGH, PA 15219

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	General Securities Representative Examination	Series 7	03/09/2006

State Securities Law Exams

	Exam	Category	Date
B IA	Uniform Combined State Law Examination	Series 66	04/20/2006

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Analyst

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

	Registration Dates	Firm Name	CRD#	Branch Location
IA	01/2020 - 09/2025	SIGNATURE SELECT WEALTH	306143	PITTSBURGH, PA
IA	02/2014 - 09/2016	NFP ADVISOR SERVICES, LLC	42046	PITTSBURG, PA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
12/2019 - Present	SIGNATURE SELECT WEALTH, LLC	CHIEF INVESTMENT OFFICER	Y	PITTSBURGH, PA, United States
04/2016 - Present	KESTRA ADVISORY SERVICES, LLC	Investment Advisor Representative	Y	PITTSBURGH, PA, United States
01/2006 - Present	KESTRA INVESTMENT SERVICES, INC	REGISTERED REP	Y	PITTSBURGH, PA, United States
04/2005 - Present	SIGNATURE FINANCIAL PLANNING	Principal and Chief Investment Officer	Y	PITTSBURGH, PA, United States
02/2014 - 09/2016	NFP ADVISOR SERVICES	Investment Advisor Representative	Y	PITTSBURGH, PA, United States
01/2006 - 04/2016	NFP SECURITIES, INC	REGISTERED REP	Y	Austin, TX, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Name: SIGNATURE VENTURES, LLC POSITION: Member Investor NATURE: Private direct investments by members only INVESTMENT RELATED: No # OF HOURS: 8 SECURITIES TRADING HOURS: 7 START DATE: 11/01/2020 ADDRESS: 1301 Grandview Ave Suite 300, Pittsburgh PA 15211, United States DESCRIPTION: No duties or obligations beyond participating in the sourcing and screening of the opportunities

Name: Rodef Shalom Investment Related: No Address: 4905 Fifth Ave Pittsburgh PA 15213 Nature of Business: Board Position Title: Member of

Registration and Employment History

Other Business Activities, continued

the Investment Committee Start Date: 10/1/2020 Hrs/mth: 0-16 Duties: Assisting in oversight of the endowment funds, reviewing statements, and providing input to the executive committee in regards to spending and capital investment decisions

Name: University of Pgh; Osher Lifelong Learning Institute Investment Related: No Address: 239 Anita Ave Pittsburgh PA 15217 Nature of Business: None of the above Title: Teacher Start Date: 9/1/2019 Hrs/mth: 0-16 Duties: Teach five classes per semester, three semesters per year

Name: Hillel JUC of Pittsburgh Investment Related: No Address: 4607 Forbes Avenue Pittsburgh PA 15213 Nature of Business: Board Position Title: Board Member, Chair of the Finance Committee Start Date: 4/15/2018 Hrs/mth: 0-16 Duties: Monthly committee meetings, quarterly board meetings. Supervising and consulting on the overall financial health of the institution, plus providing guidance and decision making support for all major financial decisions.

Name: Aaron A. Leaman Investment Related: No Address: 239 Anita Ave Pittsburgh PA 15217 Nature of Business: Board Position Title: Board Member (Finance Committee) Start Date: 7/18/2017 Hrs/mth: 0-16 Duties: 3-4 meetings per year + ancillary phone calls and research

Name: Kestra Advisory Services, LLC Investment Related: Yes Address: 5707 Southwest Parkway Building 2, Suite 400 Austin TX 78735 Nature of Business: Investment Advisory services through Kestra Advisory Services, LLC Title: Investment Advisor Representative Start Date: 4/4/2016 Hrs/mth: Up to 100% (0 to 160 hours) Duties: Investment advisory services

Name: SIGNATURE FINANCIAL PLANNING POSITION: Principal, Chief Investment Officer NATURE: Investment advisory services through Kestra Advisory Services, LLC INVESTMENT RELATED: Yes # OF HOURS: 160 SECURITIES TRADING HOURS: 132 START DATE: 04/15/2005 ADDRESS: 525 William Penn Place, Suite 3401, Pittsburgh PA 15219 DESCRIPTION: Supervise and manage all investment portfolios, trading and investment research. Additionally manage the firm/business as CFO.

Name: SIGNATURE WEALTH POSITION: Principal, Chief Investment Officer NATURE: Registered rep activities through Kestra Investment Services INVESTMENT RELATED: Yes # OF HOURS: 160 SECURITIES TRADING HOURS: 132 START DATE: 04/15/2005 ADDRESS: 525 William Penn Place, Suite 3401, Pittsburgh PA 15219 DESCRIPTION: Supervise and manage all investment portfolios, trading and investment research. Additionally manage the firm/business as CFO.

Name: SIGNATURE LEGACY HOLDINGS LLC POSITION: Member NATURE: Holdings company for Kingfisher stock - see Bluespring or Kestra legal department for more details INVESTMENT RELATED: Yes # OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 10/01/2025 ADDRESS: 525 William Penn Place, Suite 3401, Pittsburgh PA 15219, United States DESCRIPTION: General Member

End of Report

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