

BrokerCheck Report

Brahm Pillai

CRD# 5178093

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

Brahm Pillai

CRD# 5178093

Currently employed by and registered with the following Firm(s):

- B** **PRESIDENT STREET GLOBAL, LLC**
 34 Shrewsbury Ave
 Red Bank, NJ 07701
 CRD# 318377
 Registered with this firm since: 03/24/2025

Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 10 U.S. states and territories

This broker has passed:

- 1 Principal/Supervisory Exam
- 5 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

- B** **FINALIS SECURITIES LLC**
 CRD# 305908
 NEW YORK, NY
 04/2024 - 04/2025
- B** **STONEHAVEN, LLC**
 CRD# 118913
 NEW YORK, NY
 05/2023 - 04/2024
- B** **KEYSTONE GLOBAL**
 CRD# 159341
 JERICHO, NY
 03/2022 - 05/2023

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 10 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **PRESIDENT STREET GLOBAL, LLC**

Main Office Address: **34 SHREWSBURY AVE.
RED BANK, NJ 07701**

Firm CRD#: **318377**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	03/24/2025
B	FINRA	General Securities Representative	Approved	03/24/2025
B	FINRA	Investment Banking Representative	Approved	03/24/2025
B	FINRA	Limited Representative-Prvt Scrts Ofrngs	Approved	03/24/2025

	U.S. State/ Territory	Category	Status	Date
B	California	Agent	Approved	07/24/2025
B	Connecticut	Agent	Approved	07/24/2025
B	Florida	Agent	Approved	08/15/2025
B	Nebraska	Agent	Approved	07/24/2025
B	New Jersey	Agent	Approved	03/24/2025
B	New York	Agent	Approved	03/24/2025
B	Texas	Agent	Approved	08/12/2025
B	Utah	Agent	Approved	07/24/2025
B	Vermont	Agent	Approved	07/24/2025

Broker Qualifications



Employment 1 of 1, continued

U.S. State/ Territory		Category	Status	Date
B	Washington	Agent	Approved	07/24/2025

Branch Office Locations

PRESIDENT STREET GLOBAL, LLC
34 SHREWSBURY AVE.
RED BANK, NJ 07701

PRESIDENT STREET GLOBAL, LLC
34 Shrewsbury Ave
Red Bank, NJ 07701



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 1 principal/supervisory exam, 5 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
B General Securities Principal Examination	Series 24	03/16/2023

General Industry/Product Exams

Exam	Category	Date
B Limited Representative-Private Securities Offerings	Series 82TO	05/30/2023
B Operations Professional Examination	Series 99TO	01/02/2023
B Investment Banking Registered Representative Examination	Series 79TO	06/13/2022
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	06/13/2007

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	08/28/2023
B Uniform Securities Agent State Law Examination	Series 63	10/09/2007

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 04/2024 - 04/2025	FINALIS SECURITIES LLC	305908	NEW YORK, NY
B 05/2023 - 04/2024	STONEHAVEN, LLC	118913	NEW YORK, NY
B 03/2022 - 05/2023	KEYSTONE GLOBAL	159341	JERICO, NY
B 07/2010 - 01/2022	HASTINGS CAPITAL GROUP, LLC	142998	NEW YORK, NY
B 06/2007 - 06/2010	CITIGROUP GLOBAL MARKETS INC.	7059	NEW YORK, NY

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
04/2024 - Present	Finalis Securities LLC	Registered Representative	Y	New York, NY, United States
05/2023 - Present	Pillai Capital Corp.	Founder & Executive Officer	Y	New York, NY, United States
03/2022 - 05/2023	KSG Advisors, LLC	Managing Director	Y	Jericho, NY, United States
07/2010 - 01/2022	HASTINGS CAPITAL GROUP, LLC	GENERAL SECURITIES REPRESENTATIVE, OPERATIONS PROFESSIONAL	Y	NEW YORK, NY, United States
07/2010 - 01/2022	SKYBRIDGE CAPITAL II, LLC	MANAGING DIRECTOR	Y	NEW YORK, NY, United States



Registration and Employment History

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Alpha Quotient LLC ("Alpha Quotient") - Mr. Pillai is the founder and Managing Member of Alpha Quotient in New York, NY, which developed computer software for use in academic database management. Mr. Pillai spends no more than 10 hours per month on activities related to Alpha Quotient. (Non-investment related)

The Bala H. Pillai Estate ("Estate") - Mr. Pillai is Co-Executor of his late father's Estate. Decedent's Date of Death: 8/28/18. Mr. Pillai spends no more than 10 hours per month on activities related to the Estate. (Non-investment related)

Pillai Capital Corp. - Founder & Executive Officer Nature of the business primarily pertains to investment banking, capital acquisition and financial services. Services may include activities such as fundraising, venture capital sourcing, capital acquisition, capital placement, investment banking, divestitures, mergers and acquisitions, deal origination, deal structuring, corporate finance and financial advisory. Start date 5/1/2023 (Investment-related)

President Street Global LLC; Not investment -related; 34 Shrewsbury Ave, Red Bank, NJ 07701; Private Placements of Securities Consulting Advice to Growing Companies; Consultant and Fractional Principal; 03/21/2025; 10 hours per month, 0 during trading hours; On behalf of Pillai Capital, to serve in consulting, advisory and fractional principal capacities.

End of Report



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