

## BrokerCheck Report

### ROBERT SCOTT OLAH

CRD# 5329802

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**ROBERT S. OLAH**

CRD# 5329802

**Currently employed by and registered with the following Firm(s):****IA SUMMIT GLOBAL INVESTMENTS, LLC**

1111 East Draper Parkway, Suite 310  
Draper, UT 84020  
CRD# 157091  
Registered with this firm since: 08/15/2023

**B EQUITY SERVICES, INC.**

1111 EAST DRAPER PARKWAY  
SUITE 310  
DRAPER, UT 84020  
CRD# 265  
Registered with this firm since: 10/15/2025

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

**This broker has passed:**

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

**Registration History****This broker was previously registered with the following securities firm(s):****B UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER**

CRD# 20804  
DRAPER, UT  
08/2024 - 11/2025

**IA TRANSAMERICA FINANCIAL ADVISORS, INC.**

CRD# 16164  
PHILADELPHIA, PA  
07/2015 - 08/2023

**B TRANSAMERICA FINANCIAL ADVISORS, INC**

CRD# 16164  
DRAPER, UT  
06/2015 - 08/2023

## Broker Qualifications



### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.**

### Employment 1 of 2

Firm Name: **EQUITY SERVICES, INC.**  
 Main Office Address: **ONE NATIONAL LIFE DRIVE  
MONTPELIER, VT 05604**  
 Firm CRD#: **265**

SRO	Category	Status	Date
 FINRA	Invest. Co and Variable Contracts	Approved	10/15/2025
 FINRA	Investment Co./Variable Contracts Prin	Approved	10/15/2025
U.S. State/ Territory	Category	Status	Date
 Utah	Agent	Approved	10/15/2025

### Branch Office Locations

**EQUITY SERVICES, INC.**  
 1111 EAST DRAPER PARKWAY  
 SUITE 310  
 DRAPER, UT 84020

### Employment 2 of 2

Firm Name: **SUMMIT GLOBAL INVESTMENTS, LLC**  
 Main Office Address: **620 SOUTH MAIN STREET  
BOUNTIFUL, UT 84010**  
 Firm CRD#: **157091**

## Broker Qualifications



### Employment 2 of 2, continued

U.S. State/ Territory	Category	Status	Date
IA Utah	Investment Adviser Representative	Approved	08/15/2023

### Branch Office Locations

620 SOUTH MAIN STREET  
BOUNTIFUL, UT 84010

1111 East Draper Parkway, Suite 310  
Draper, UT 84020

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## Broker Qualifications



### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> Investment Company Products/Variable Contracts Principal Examination	Series 26	07/07/2015

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> Investment Company Products/Variable Contracts Representative Examination	Series 6	06/08/2015

#### State Securities Law Exams

Exam	Category	Date
<b>IA</b> Uniform Investment Adviser Law Examination	Series 65	07/20/2015
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	03/20/2015

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



### Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

# Registration and Employment History



## Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 08/2024 - 11/2025	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	20804	DRAPER, UT
IA 07/2015 - 08/2023	TRANSAMERICA FINANCIAL ADVISORS, INC.	16164	DRAPER, UT
B 06/2015 - 08/2023	TRANSAMERICA FINANCIAL ADVISORS, INC	16164	DRAPER, UT
IA 01/2012 - 10/2012	TRANSAMERICA FINANCIAL ADVISORS, INC.	16164	PHILADELPHIA, PA
IA 07/2011 - 01/2012	INVESTMENT ADVISORS INTERNATIONAL, INC.	139233	DRAPER, UT
IA 06/2008 - 10/2009	FINANCIAL LEADERSHIP ADVISORS, INC.	139864	PROVO, UT

## Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
10/2025 - Present	EQUITY SERVICES, INC.	REGISTERED ADMIN	Y	MONTPELIER, VT, United States
08/2023 - Present	Robert Olah	Life Ins. Agent	Y	Saratoga Springs, UT, United States
08/2023 - Present	Summit Global Investments	Financial Advisor	Y	Bountiful, UT, United States
10/2020 - Present	MMSMGD, LLC	ADMIN ASSISTANT	Y	SOUTH JORDAN, UT, United States
08/2024 - 10/2025	UNITED PLANNERS FINANCIAL SERVICES	REGISTERED REPRESENTATIVE	Y	SCOTTSDALE, AZ, United States
03/2015 - 08/2023	TRANSAMERICA FINANCIAL ADVISORS, INC	REGISTERED REPRESENTATIVE	Y	DRAPER, UT, United States

# Registration and Employment History



## Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
04/2011 - 08/2023	WORLD FINANCIAL GROUP, INC.	AGENT	N	DRAPER, UT, United States
12/2017 - 10/2020	ROBERT OLAH LLC	OWNER	N	SARATOGA SPRINGS, UT, United States
02/2019 - 09/2019	Kellogg Insurance Marketing, Inc	Independent Agent	N	Draper, UT, United States
06/2015 - 01/2018	MMSMGD, LLC	ADMIN ASSISTANT	N	SOUTH JORDAN, UT, United States

## Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1.) SUMMIT GLOBAL INVESTMENTS, LLC - ASSOCIATE SUPPORT - REGISTERED INVESTMENT ADVISOR - BOUNTIFUL, UT - SINCE 08/2023 - INVESTMENT RELATED. DURING TRADE HRS.
- 2.) ROBERT OLAH - LIFE INS. AGENT - NON-VARIABLE INSURANCE - SARATOGA SPRINGS, UT - SINCE 08/2023 - INVESTMENT RELATED. DURING TRADE HRS.

## End of Report



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