

BrokerCheck Report

AMANDA LYNN MITCHUM

CRD# 5384281

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 4
Registration and Employment History	6 - 7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**AMANDA L. MITCHUM**

CRD# 5384281

Currently employed by and registered with the following Firm(s):

IA LEVEL FOUR ADVISORY SERVICES
 320 North Street
 Suite 308
 Nacogdoches, TX 75961
 CRD# 134086
 Registered with this firm since: 04/12/2021

B LEVEL FOUR FINANCIAL, LLC
 204 North Street
 Nacogdoches, TX 75961
 CRD# 25700
 Registered with this firm since: 11/05/2020

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 6 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

IA LEVEL FOUR FINANCIAL, LLC
 CRD# 25700
 MOBILE, AL
 11/2020 - 12/2021

IA RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC
 CRD# 149018
 SAINT PETERSBURG, FL
 08/2019 - 11/2020

B RAYMOND JAMES FINANCIAL SERVICES, INC.
 CRD# 6694
 NACOGDOCHES, TX
 08/2019 - 11/2020

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 6 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **LEVEL FOUR ADVISORY SERVICES**

Main Office Address: **12400 COIT ROAD
SUITE 700
DALLAS, TX 75251**

Firm CRD#: **134086**

	U.S. State/ Territory	Category	Status	Date
IA	Louisiana	Investment Adviser Representative	Approved	05/16/2023
IA	Texas	Investment Adviser Representative	Approved	04/12/2021

Branch Office Locations

320 North Street
Suite 308
Nacogdoches, TX 75961

Employment 2 of 2

Firm Name: **LEVEL FOUR FINANCIAL, LLC**

Main Office Address: **12400 COIT ROAD
SUITE 700
DALLAS, TX 75251**

Firm CRD#: **25700**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	11/05/2020

Broker Qualifications



Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	05/12/2023
B	Arkansas	Agent	Approved	12/16/2020
B	Louisiana	Agent	Approved	05/12/2023
B	Massachusetts	Agent	Approved	02/15/2022
B	Oklahoma	Agent	Approved	11/05/2020
B	Texas	Agent	Approved	11/05/2020

Branch Office Locations

LEVEL FOUR FINANCIAL, LLC

204 North Street
Nacogdoches, TX 75961



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	01/20/2015

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	04/07/2015

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 11/2020 - 12/2021	LEVEL FOUR FINANCIAL, LLC	25700	Nacogdoches, TX
IA 08/2019 - 11/2020	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	149018	NACOGDOCHES, TX
B 08/2019 - 11/2020	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	NACOGDOCHES, TX
B 05/2019 - 09/2019	FSC SECURITIES CORPORATION	7461	NACOGDOCHES, TX
IA 05/2019 - 09/2019	FSC SECURITIES CORPORATION	7461	NACOGDOCHES, TX
B 11/2015 - 05/2019	EDWARD JONES	250	NACOGDOCHES, TX
IA 11/2015 - 05/2019	EDWARD JONES	250	NACOGDOCHES, TX
IA 04/2015 - 11/2015	WELLS FARGO ADVISORS, LLC	19616	NACOGDOCHES, TX
B 01/2015 - 11/2015	WELLS FARGO ADVISORS, LLC	19616	NACOGDOCHES, TX

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
04/2021 - Present	LEVEL FOUR ADVISORY SERVICES, LLC	BUSINESS UNIT LEADER / FINANCIAL ADVISOR	Y	Dallas, TX, United States
11/2020 - Present	LEVEL FOUR FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	DALLAS, TX, United States
05/2020 - 02/2021	UBank	Trainer	N	Nacogdoches, TX, United States
08/2019 - 11/2020	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC.	INVESTMENT ADVISER REP	Y	NACOGDOCHES, TX, United States



Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
08/2019 - 11/2020	RAYMOND JAMES FINANCIAL SERVICES, INC.	FINANCIAL ADVISOR	Y	NACOGDOCHES, TX, United States
05/2019 - 08/2019	FSC SECURITIES CORPORATION	REGISTERED REPRESENTATIVE	Y	NACOGDOCHES, TX, United States
11/2015 - 05/2019	EDWARD JONES	FINANCIAL ADVISOR	Y	ST LOUIS, MO, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. The Chosen Ones Ministry Network, Not investment related non-profit organization, Virtual church/ministry created to reach and educate people for Christ in non-traditional format, Founding member, Work to facilitate gatherings; work on branding and marketing for the organizations; and setting up 501C3 and bank accounts, started 8/3/25, Devote approximately 25 hours per month to this activity with 1 hour during trading hours.
2. Goodwill Central East Texas, Non-profit retail organization that takes in donations and sells them to provide training and jobs for people that need a second chance., Not investment related, started 3/2023, As a Board Member I help support the CEO and his business objectives for his region along with other board members
3. Level Four Advisory Services, Investment Related, 12400 Coit Road, Suite 700, Dallas TX 75251, Investment Advisor Representative, Started 4/2021, 10 hours per month all during trading hours, Financial Planning.

End of Report



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