

## BrokerCheck Report

**JEREMY JOE WALKER**

CRD# 5527474

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).



## JEREMY J. WALKER

CRD# 5527474

**Currently employed by and registered with the following Firm(s):**

**IA CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.**

255 Elk Dr.  
Ste. D  
Burleson, TX 76028  
CRD# 134139  
Registered with this firm since: 01/15/2025

**B CAMBRIDGE INVESTMENT RESEARCH, INC.**

255 Elk Dr.  
Ste. D  
Burleson, TX 76028  
CRD# 39543  
Registered with this firm since: 01/15/2025

## Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

### Broker Qualifications

**This broker is registered with:**

- 1 Self-Regulatory Organization
- 24 U.S. states and territories

**This broker has passed:**

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

### Registration History

**This broker was previously registered with the following securities firm(s):**

**IA KESTRA ADVISORY SERVICES, LLC**

CRD# 283330  
AUSTIN, TX  
08/2021 - 01/2025

**B KESTRA INVESTMENT SERVICES, LLC**

CRD# 42046  
Burleson, TX  
08/2021 - 01/2025

**B LPL FINANCIAL LLC**

CRD# 6413  
BURLESON, TX  
05/2015 - 08/2021

### Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 24 U.S. states and territories through his or her employer.**

### Employment 1 of 2

Firm Name: **CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.**

Main Office Address: **1776 PLEASANT PLAIN RD.  
FAIRFIELD, IA 52556-8757**

Firm CRD#: **134139**

	U.S. State/ Territory	Category	Status	Date
IA	Texas	Investment Adviser Representative	Approved	01/15/2025

### Branch Office Locations

255 Elk Dr.  
Ste. D  
Burleson, TX 76028

### Employment 2 of 2

Firm Name: **CAMBRIDGE INVESTMENT RESEARCH, INC.**

Main Office Address: **1776 PLEASANT PLAIN RD.  
FAIRFIELD, IA 52556-8757**

Firm CRD#: **39543**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	01/15/2025

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	01/15/2025

## Broker Qualifications



### Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	01/15/2025
B	Arkansas	Agent	Approved	01/15/2025
B	California	Agent	Approved	01/15/2025
B	Colorado	Agent	Approved	01/15/2025
B	Connecticut	Agent	Approved	01/15/2025
B	Delaware	Agent	Approved	01/29/2025
B	Florida	Agent	Approved	11/05/2025
B	Indiana	Agent	Approved	01/15/2025
B	Kansas	Agent	Approved	01/15/2025
B	Louisiana	Agent	Approved	01/15/2025
B	Maine	Agent	Approved	01/15/2025
B	Maryland	Agent	Approved	01/15/2025
B	Massachusetts	Agent	Approved	03/18/2025
B	Michigan	Agent	Approved	01/15/2025
B	Missouri	Agent	Approved	01/15/2025
B	North Carolina	Agent	Approved	01/17/2025
B	Ohio	Agent	Approved	09/02/2025
B	Oklahoma	Agent	Approved	01/15/2025
B	Oregon	Agent	Approved	01/19/2025
B	South Carolina	Agent	Approved	01/15/2025
B	Texas	Agent	Approved	01/15/2025



Broker Qualifications

Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Utah	Agent	Approved	11/05/2025
B	West Virginia	Agent	Approved	01/15/2025

Branch Office Locations

CAMBRIDGE INVESTMENT RESEARCH, INC.  
255 Elk Dr.  
Ste. D  
Burleson, TX 76028

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## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	07/05/2008

### State Securities Law Exams

Exam	Category	Date
<b>B IA</b> Uniform Combined State Law Examination	Series 66	09/09/2008

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.





## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>IA</b> 08/2021 - 01/2025	KESTRA ADVISORY SERVICES, LLC	283330	Burleson, TX
<b>B</b> 08/2021 - 01/2025	KESTRA INVESTMENT SERVICES, LLC	42046	Burleson, TX
<b>B</b> 05/2015 - 08/2021	LPL FINANCIAL LLC	6413	BURLESON, TX
<b>IA</b> 04/2017 - 08/2021	ADVISOR RESOURCE COUNCIL	164109	Burleson, TX
<b>IA</b> 05/2015 - 07/2017	LPL FINANCIAL LLC	6413	BURLESON, TX
<b>IA</b> 06/2015 - 03/2017	MAVERICK WEALTH MANAGEMENT	226664	BURLESON, TX
<b>IA</b> 09/2008 - 05/2015	AMERIPRISE FINANCIAL SERVICES, INC.	6363	BURLESON, TX
<b>B</b> 07/2008 - 05/2015	AMERIPRISE FINANCIAL SERVICES, INC.	6363	BURLESON, TX

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
01/2025 - Present	Cambridge Investment Research Advisors, Inc.	Investment Advisor Representative	Y	Fairfield, IA, United States
01/2025 - Present	Cambridge Investment Research, Inc.	Registered Representative	Y	Fairfield, IA, United States
08/2021 - 01/2025	Kestra Advisory Services LLC	Investment Adviser Representative	Y	BURLESON, TX, United States
08/2021 - 01/2025	Kestra Investment Services LLC	REGISTERED REPRESENTATIVE	Y	BURLESON, TX, United States
04/2017 - 08/2021	Advisor Resource Council (Formerly: 360 Wealth Management, LLC)	Investment Adviser Representative	Y	Burleson, TX, United States



## Registration and Employment History

### Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
05/2015 - 08/2021	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	BURLESON, TX, United States
05/2015 - 06/2018	MAVERICK WEALTH MANAGEMENT	PRESIDENT	Y	BURLESON, TX, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1) MAVERICK WEALTH MANAGEMENT, 255 Elk Dr., Suite D, Burleson TX 76028, 01/2025, PRESIDENT, OWNER, INV REL, 160 HR/MO - 160 HR/MO TRADING.
- 2) JJ WALKER INVESTMENTS, LLC, 3008 Freeman Ln, Crowley TX 76036, 01/2025, OWNER, ADMINISTRATIVE, NIR, 40 HR/MO - 40 HR/MO TRADING.
- 3) JWH MANAGEMENT LLC, 13618 Old Stoney Rd, Ponder TX 76259, 01/2025, VICE PRESIDENT, REAL ESTATE, NIR, 1 HR/MO - 0 HR/MO TRADING.
- 4) JJ WALKER ARMS, 3008 Freeman Ln, Crowley TX 76036, 01/2025, PRESIDENT, FIREARMS, NIR, 10 HR/MO - 0 HR/MO TRADING.
- 5) JWH PARTNERS LTD, 13618 Old Stoney Rd, Ponder TX 76259, 01/2025, VICE PRESIDENT, REAL ESTATE, NIR, 2 HR/MO - 0 HR/MO TRADING.
- 6) STATE BAR OF TEXAS GRIEVANCE COMMITTEE, Austin TX 78701, 01/2025, MEMBER, VOLUNTEER, NIR, 4 HR/MO - 4 HR/MO TRADING.
- 7) CIRA, 1776 PLEASANT PLAIN RD, FAIRFIELD, IA, 01/2025, AS ADMINISTRATIVE REP OF A RIA. INV REL, 80 HR/MO- 80 HR/MO TRADING.
- 8) JJ WALKER INSURANCE, 255 Elk Dr., Suite D, Burleson TX 76028, 01/2025, Owner, Insurance/Benefits/Human Resources, NIR, 5 HR/MO, 5 HR/MO TRADING.
- 9) THE YW RANCH LLC, 5405 Kingston Cove Ct, Willis TX 77318-6303, United States, 11/05/2025, President, Agriculture, NIR, 2 HR/MO - 0 HR/MO TRADING

## End of Report



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