

BrokerCheck Report
JOHN W PYLANT
CRD# 5594047

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

JOHN W. PYLANT

CRD# 5594047

Currently employed by and registered with the following Firm(s):**IA STONEX ADVISORS INC.**224 MOULTON STREET EAST
DECATUR, AL 35601
CRD# 174182

Registered with this firm since: 06/30/2016

B STONEX SECURITIES INC.224 MOULTON STREET EAST
DECATUR, AL 35601
CRD# 18456
Registered with this firm since: 10/01/2008**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 11 U.S. states and territories

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History**This broker was previously registered with the following securities firm(s):****IA STERNE AGEE ASSET MANAGEMENT, INC.**CRD# 130888
BIRMINGHAM, AL
11/2008 - 06/2016

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 11 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **STONEX ADVISORS INC.**
 Main Office Address: **2 PERIMETER PARK SOUTH
SUITE 500 WEST
BIRMINGHAM, AL 35243**
 Firm CRD#: **174182**

U.S. State/ Territory	Category	Status	Date
IA Alabama	Investment Adviser Representative	Approved	06/30/2016

Branch Office Locations

**2 PERIMETER PARK SOUTH
SUITE 500 WEST
BIRMINGHAM, AL 35243**

**224 MOULTON STREET EAST
DECATUR, AL 35601**

Employment 2 of 2

Firm Name: **STONEX SECURITIES INC.**
 Main Office Address: **2 PERIMETER PARK SOUTH
SUITE 500 WEST
BIRMINGHAM, AL 35243**
 Firm CRD#: **18456**

SRO	Category	Status	Date
B FINRA	General Securities Representative	Approved	10/01/2008

Broker Qualifications



Employment 2 of 2, continued

U.S. State/ Territory	Category	Status	Date
B Alabama	Agent	Approved	11/07/2008
B California	Agent	Approved	10/20/2016
B Florida	Agent	Approved	10/08/2014
B Mississippi	Agent	Approved	11/07/2024
B Ohio	Agent	Approved	10/29/2021
B South Carolina	Agent	Approved	08/20/2025
B Tennessee	Agent	Approved	04/22/2010
B Texas	Agent	Approved	04/15/2009
B Virginia	Agent	Approved	08/23/2018
B West Virginia	Agent	Approved	03/25/2025
B Wyoming	Agent	Approved	11/03/2014

Branch Office Locations

STONEX SECURITIES INC.
 224 MOULTON STREET EAST
 DECATUR, AL 35601

Broker Qualifications



Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	09/29/2008

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	10/30/2008

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>

Registration and Employment History



Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 11/2008 - 06/2016	STERNE AGEE ASSET MANAGEMENT, INC.	130888	DECATUR, AL

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
06/2016 - Present	STONEX ADVISORS INC	INVESTMENT ADVISOR REPRESENTATIVE	Y	DECATUR, AL, United States
08/2008 - Present	STONEX SECURITIES INC	REGISTERED REPRESENTATIVE	Y	DECATUR, AL, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1) Eagle Creek Wealth Management - 601 Johnston Street, Suite 1, Decatur, AL 35601

Nature of Business: Financial Services

Investment Related: Yes

Business Position: Managing Partner

Business Duties: My duties consist of meeting with clients to review their financial needs and provide financial instruments to meet that need and investment objectives

Business Start Date: 10/03/2022

Approximately 180 hours spent on this OBA monthly, with 150 during market hours.

2) Arkansas Olive Corporation - 213 Oakwood Avenue, Huntsville, AL 35811

Nature of Business: It will be used to purchase hunting property in Arkansas

Investment Related: No

Business Position: Partner

Business Duties: Member of Hunting Club

Registration and Employment History



Other Business Activities, continued

Business Start Date: 04/11/2024

Approximately 2 hours spent on this OBA monthly, with zero during market hours.

3) John Pylant - 2010 Country Club Road SE Decatur, AL 35601

Nature of Business: The LLC will be used to hold the property to be purchased to operate my financial advising practice.

Investment Related: Yes

Business Position: Member

Business Duties: I will be the landlord

Business Start Date: 07/03/2024

Approximately 10 hours spent on this OBA monthly, with zero during market hours.

4) Axient Investment Advisors, LLC - 224 East Moulton Street Decatur, AL 35601

Nature of Business: Investment Advisory, Investment Management, Consulting and Financial Planning Services

Investment Related: Yes

Business Position: 1/7th Partner

Business Duties: 1/7th Partner

Business Start Date: 05/06/2025

Approximately 1 hour spent on this OBA monthly during market hours

End of Report



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