BrokerCheck Report

MATTHEW MITCHELL CHANCEY

CRD# 5645874

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

MATTHEW M. CHANCEY

CRD# 5645874

Currently employed by and registered with the following Firm(s):

B **CRESCENT SECURITIES GROUP, INC.**
4975 PRESTON PARK BLVD. SUITE 820
PLANO, TX 75093
CRD# 114993
Registered with this firm since: 07/08/2026

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 34 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

IA **REALTA INVESTMENT ADVISORS, INC**
CRD# 134952
WILMINGTON, DE
12/2021 - 07/2026

B **REALTA EQUITIES, INC.**
CRD# 23769
WILMINGTON, DE
11/2021 - 07/2026

IA **DEMPSEY LORD SMITH, LLC**
CRD# 141238
ROME, GA
05/2021 - 11/2021

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Customer Dispute	1

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 34 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **CRESCENT SECURITIES GROUP, INC.**

Main Office Address: **4975 PRESTON PARK BLVD. SUITE 820**
PLANO, TX 75093

Firm CRD#: **114993**

	SRO	Category	Status	Date
B	FINRA	Direct Participation Programs	Approved	07/08/2026
B	FINRA	General Securities Representative	Approved	07/08/2026

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	07/27/2026
B	Alaska	Agent	Approved	07/08/2026
B	Arizona	Agent	Approved	08/12/2026
B	Arkansas	Agent	Approved	07/08/2026
B	California	Agent	Approved	07/08/2026
B	Colorado	Agent	Approved	09/01/2026
B	Connecticut	Agent	Approved	07/08/2026
B	Delaware	Agent	Approved	08/11/2026
B	Florida	Agent	Approved	07/08/2026
B	Georgia	Agent	Approved	07/08/2026
B	Illinois	Agent	Approved	07/08/2026

Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Kansas	Agent	Approved	07/08/2026
B	Louisiana	Agent	Approved	07/08/2026
B	Maine	Agent	Approved	08/10/2026
B	Maryland	Agent	Approved	07/08/2026
B	Michigan	Agent	Approved	07/08/2026
B	Minnesota	Agent	Approved	07/09/2026
B	Mississippi	Agent	Approved	07/08/2026
B	Montana	Agent	Approved	07/08/2026
B	Nebraska	Agent	Approved	08/10/2026
B	Nevada	Agent	Approved	07/08/2026
B	New Jersey	Agent	Approved	07/24/2026
B	New York	Agent	Approved	07/08/2026
B	North Carolina	Agent	Approved	07/08/2026
B	Ohio	Agent	Approved	07/08/2026
B	Oklahoma	Agent	Approved	07/08/2026
B	Pennsylvania	Agent	Approved	07/08/2026
B	South Carolina	Agent	Approved	07/08/2026
B	Tennessee	Agent	Approved	07/08/2026
B	Utah	Agent	Approved	08/03/2026
B	Virginia	Agent	Approved	07/08/2026
B	Washington	Agent	Approved	07/08/2026

Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	West Virginia	Agent	Approved	07/08/2026
B	Wyoming	Agent	Approved	07/08/2026

Branch Office Locations

CRESCENT SECURITIES GROUP, INC.
4975 PRESTON PARK BLVD. SUITE 820
PLANO, TX 75093

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination	Series 7TO	11/26/2019
B	Securities Industry Essentials Examination	SIE	10/01/2018
B	Direct Participation Programs Representative Examination	Series 22	09/23/2018

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination	Series 63	06/15/2020
IA	Uniform Investment Adviser Law Examination	Series 65	03/05/2009

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates		Firm Name	CRD#	Branch Location
IA	12/2021 - 07/2026	REALTA INVESTMENT ADVISORS, INC	134952	WINTER PARK, FL
B	11/2021 - 07/2026	REALTA EQUITIES, INC.	23769	WILMINGTON, DE
IA	05/2021 - 11/2021	DEMPSEY LORD SMITH, LLC	141238	Tampa, FL
B	09/2018 - 11/2021	DEMPSEY LORD SMITH, LLC	141238	TAMPA, FL
IA	07/2017 - 12/2019	CLARAPHI ADVISORY NETWORK, LLC	165868	Tampa, FL
IA	05/2014 - 07/2017	RETIREMENT WEALTH ADVISORS, INC.	137658	ORLANDO, FL
IA	01/2012 - 05/2014	HORTER INVESTMENT MANAGEMENT, LLC	119880	ORLANDO, FL
IA	08/2011 - 02/2012	GLOBAL FINANCIAL PRIVATE CAPITAL, LLC	132070	ORLANDO, FL
IA	06/2009 - 09/2009	GRADIENT INVESTMENTS, LLC	141726	ORLANDO, FL

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
07/2026 - Present	Crescent Advisor Group, Inc.	Investment Advisor Representative	Y	Plano, TX, United States
07/2026 - Present	Crescent Securities Group, Inc.	Registered Representative	Y	Plano, TX, United States
11/2006 - Present	MICEL FINANCIAL	INSURANCE AGENT/REAL-ESTATE/TEACHING/INVESTMENT ADVISORY	Y	ORLANDO, FL, United States

Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
11/2021 - 07/2026	REALTA EQUITIES, INC.	REGISTERED REPRESENTATIVE	Y	WILMINGTON, DE, United States
11/2021 - 07/2026	REALTA INVESTMENT ADVISORS, INC.	INVESTMENT ADVISOR REPRESENTATIVE	Y	WILMINGTON, DE, United States
08/2018 - 11/2021	DEMPSEY LORD SMITH, LLC	REGISTERED REPRESENTATIVE	Y	ROME, GA, United States
07/2017 - 11/2021	CLARAPHI ADVISORY NETWORK, LLC	Investment Advisor Representative	Y	Orlando, FL, United States
05/2014 - 07/2017	RETIREMENT WEALTH ADVISORS, INC.	IAR	Y	JENISON, MI, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

(1) MICEL FINANCIAL, LLC; 100 S EOALA DRIVE ORLANDO, FL 32801 DBA; HTTPS://WWW.MATTCHANCEYLIVE.COM/HOME; OWNER/INSURANCE SALES/REAL ESTATE/TEACHING/IAR DBA; COMPENSATION IN THE FORM OF COMMISSIONS AND FEES; 5 HOURS PER WEEK DURING NORMAL TRADING HOURS.

(2) MAKEUR CONSTRUCTION; 687 HAROLD AVENUE WINTER PARK, FL 32789; GENERAL CONTRACTING BUSINESS; WWW.MAKEUR.COM; LICENSE HOLDER & BOARD OF DIRECTORS INVOLVEMENT; COMPENSATION IN THE FORM OF PROFITS; 20-30 HOURS PER YEAR DURING NON-TRADING HOURS.

(3) CORBELO, LLC; 1330 PALMETTO AVENUE STE B WINTER PARK, FL 32799; GENERAL CONTRACTING BUSINESS; WWW.CORBELO.COM; LICENSE HOLDER & BOARD OF DIRECTORS INVOLVEMENT; COMPENSATION IN THE FORM OF PROFITS; 20-30 HOURS PER YEAR DURING NON-TRADING HOURS.

(4) TAX-ALPHA SOLUTIONS; WINTER PARK, FL; HOST OF PODCAST INTERVIEWING INDUSTRY PROFESSIONALS; NO COMPENSATION; 4-8 HOURS PER WEEK DURING NORMAL TRADING HOURS.

(5) REALTA INVESTMENT ADVISORS, INC.; WILMINGTON, DE; INVESTMENT ADVISORY SERVICES, INVESTMENT ADVISOR REPRESENTATIVE; WWW.REALTAWEALTH.COM; COMPENSATION IN THE FOR OF FEES; 40 HOURS PER WEEK DURING NORMAL TRADING HOURS.

(6) DBA TAX ALPHA COMPANIES (TO INCLUDE ALL TAX ALPHA ENTITIES: TAX ALPHA SOLUTIONS LLC, TAX ALPHA TITLE LLC AND TAX ALPHA INSTITUTE); NOT INVESTMENT RELATED; START: 11/2023; EDUCATTION TO CPAS, TAX AND REAL ESTATE ATTORNEY'S AND INVESTMENT ADVICE TO CLIENTS; TAXALPHATITLE.COM; FOUNDER/OWNER; COMMISSIONS PAID THROUGH THE BROKER DEALER

Registration and Employment History

Other Business Activities, continued

AND FEES PAID THROUGH THE RIA; 40 HOURS PER WEEK DURING NORMAL TRADING HOURS.

(7) WORTHINGTON RENTAL, LLC; START: 4/2024; PURCHASING HEAVY EQUIPMENT TO BE ADDED TO EQUIPMENT SHARING PLATFORM; OWNER; FEES FROM RENTAL EQUIPMENT; 40 HOURS PER WEEK DURING NORMAL BUSINESS HOURS.

(8) RENTAL PROPERTY; 1112 CHICHESTER STREET ORLANDO, FL 32803; NOT INVESTMENT RELATED; START: 12/2024; OWN RENTAL HOUSE AND USE PROPERTY MANAGEMENT COMPANY AND REAL ESTATE AGENT TO MANAGE IT; RENTS PAID MONTHLY; 1 HOUR PER WEEK SOME DURING NORMAL TRADING HOURS.

Disclosure Events

What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

2. **Certain thresholds must be met before an event is reported to CRD, for example:**
    - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
    - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.
    -
3. **Disclosure events in BrokerCheck reports come from different sources:**
    - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
    - A disclosure event may have a status of *pending, on appeal,* or *final.*
        - A "pending" event involves allegations that have not been proven or formally adjudicated.
        - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
        - A "final" event has been concluded and its resolution is not subject to change.
    - A final event generally has a disposition of *adjudicated, settled* or *otherwise resolved.*
        - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
        - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
        - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Customer Dispute	1	0	N/A

Disclosure Event Details

When evaluating this information, please keep in mind that a discloure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Customer Dispute - Pending

This type of disclosure event involves (1) a pending consumer-initiated, investment-related arbitration or civil suit that contains allegations of sales practice violations against the broker; or (2) a pending, consumer-initiated, investment-related written complaint containing allegations that the broker engaged in, sales practice violations resulting in compensatory damages of at least $5,000, forgery, theft, or misappropriation, or conversion of funds or securities.

Disclosure 1 of 1

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	REALTA EQUITIES, INC.
Allegations:	Claimant alleges improper recommendations and other acts and omissions.
Product Type:	Other: Alternative Investments
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	Alleged compensatory damages listed $100,000 to $500,000

Arbitration Information

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA
Docket/Case #:	26-00489
Date Notice/Process Served:	03/03/2026
Arbitration Pending?	Yes

End of Report

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