

## BrokerCheck Report

**DAVID A WIELAND**

CRD# 5690840

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 3
Registration and Employment History	5 - 6



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**DAVID A. WIELAND**

CRD# 5690840

**Currently employed by and registered with the following Firm(s):**

- B REALIZED FINANCIAL, INC.**  
 500 W. 13TH STREET  
 AUSTIN, TX 78701  
 CRD# 22333  
 Registered with this firm since: 11/05/2019

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

**This broker has passed:**

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

**Registration History****This broker was previously registered with the following securities firm(s):**

- B WEALTHFORGE SECURITIES, LLC**  
 CRD# 152550  
 Austin, TX  
 06/2016 - 10/2019

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.**

### Employment 1 of 1

Firm Name: **REALIZED FINANCIAL, INC.**

Main Office Address: **500 W. 13TH STREET  
AUSTIN, TX 78701**

Firm CRD#: **22333**

SRO	Category	Status	Date
<b>B</b> FINRA	Direct Participation Programs	Approved	11/05/2019

U.S. State/ Territory	Category	Status	Date
<b>B</b> Texas	Agent	Approved	11/05/2019

### Branch Office Locations

**REALIZED FINANCIAL, INC.**  
500 W. 13TH STREET  
AUSTIN, TX 78701

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## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> Direct Participation Programs Representative Examination	Series 22	06/29/2016

### State Securities Law Exams

Exam	Category	Date
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	03/17/2017

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>B</b> 06/2016 - 10/2019	WEALTHFORGE SECURITIES, LLC	152550	Austin, TX

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
11/2019 - Present	THORNHILL SECURITIES	REGISTERED REPRESENTATIVE	Y	AUSTIN, TX, United States
03/2014 - Present	Realized Holdings	Founder & CEO	Y	Austin, TX, United States
10/2011 - Present	Centrist Capital	Advising Portfolio Companies	N	Austin, TX, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Realized Holdings - Investment Related - 109 E. 10th Street, Suite 300, Austin TX, 78701 - Real Estate Crowdfunding - CEO - oversee strategic direction - 120 hours per month, 120 hours during trading - start date -03/01/2014.

Realized Technologies LLC- Investment Related - 109 E. 10th Street, Suite 300, Austin TX, 78701 - Entity that develops and online technology platforms- Wholly -owned by Realized Holdings, LLC- 40 hours per month - 0 hours during trading - start date 02/28/2015.

Realized Securities, LLC- Investment Related - 109 E. 10th Street, Suite 300, Austin TX, 78701 - Entity that leases Realized Holdings technology platform- Wholly -owned by Realized Holdings, LLC- 1 hour per month, 0 hours during trading, start date 02/28/2015.

Realized Advisors, LLC - Investment Related - 109 E. 10th Street, Suite 300, Austin TX, 78701 - Entity anticipated to eventually be a Registered Investment Advisor- Wholly -owned by Realized Holdings, LLC- 1 hour per month - 0 hours during trading - start date 04/30/2014.

Hill Country Technologies, LLC dba Memberize- Not Investment Related - 2705 Bee Caves Rd Ste. 210, Austin TX 78746- Online Membership



## Registration and Employment History

### Other Business Activities, continued

Management Technology- Passive Investor/Advisor. Memberize, founded in 2002 in an online membership management platform for clubs, organizations and small associations - 1 hour per month, 0 hours during trading - start date 10/31/2005.

Elton Street, LLC- Not Investment Related - 1312 Elton Lane, Austin TX 78703 - Manager - this entity is General Partner of Elton Street Investments, Ltd,- 1 hour per month, 0 hours during trading - start date 07/18/2008.

ELTON STREET INVESTMENTS, LTD. - Investment Related- 1312 Elton Lane, Austin TX 78703- Owner - Family Limited Partnership, Holding company owned by David and Laura Wieland for family assets - 1 hour per month, 0 hours during trading - start date 06/11/2009.

Conceivable, Inc- Not Investment Related - 1011 San Jacinto Blvd Suite 202, Austin, TX 78701 - Technology Treatment of Infertility- Investor, Co-Founder and Board Member of early-stage start-up using technology for the treatment of infertility- 4 hours per month, 0 hours during trading - start date 11/13/2013.

QCAC, Inc. (DBA QuickGifts)- Not Investment Related - 2705 Bee Caves Rd, suite 210, Austin TX 78746 - Online gift cards- Investor since 2011, Member of Board of Directors since 2013. QuickGifts, which was founded in 2002, provides gift card and pre- paid currency solutions for local and regional merchants - 4 hours per month, 0 hours during trading - 04/07/2011.

Centrist Capital, LLC- Not Investment Related - 109 E. 10th Street, Suite 300, Austin TX, 78701 - Sole Managing Member - A limited liability company created in 2011 to make investments in early-stage start-up companies and real estate. Current investments include Kiss Rocket, Inc. (DBA Sum Entertainment) and and lease on the Wooten Building (109 E 10th, Austin, Texas 78701) - 2 hours per month, 0 hours during trading - start date 10/11/2011.

Realized Realty, LLC is owned by Realized Holdings, Inc.; owner; real estate sales; not investment related

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## End of Report



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