

BrokerCheck Report

KEITH FETZER

CRD# 5825422

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 3
Registration and Employment History	5 - 6



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

KEITH FETZER

CRD# 5825422

Currently employed by and registered with the following Firm(s):**IA INTEGRITY ALLIANCE, LLC**

999 Eisenhower Blvd
STE D2-6
Johnstown, PA 15904
CRD# 139627
Registered with this firm since: 11/04/2025

B INTEGRITY ALLIANCE, LLC.

999 Eisenhower Blvd
STE D2-6
Johnstown, PA 15904
CRD# 139627
Registered with this firm since: 11/04/2025

Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Registration History

This broker was previously registered with the following securities firm(s):**IA CETERA INVESTMENT ADVISERS LLC**

CRD# 105644
SCHAUMBURG, IL
09/2025 - 10/2025

B CETERA WEALTH SERVICES, LLC

CRD# 13572
Johnstown, PA
09/2025 - 10/2025

IA AVANTAX ADVISORY SERVICES

CRD# 104556
DALLAS, TX
11/2012 - 09/2025

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.

Employment 1 of 1

Firm Name: **INTEGRITY ALLIANCE, LLC.**

Main Office Address: **4135 NW URBANDALE DR
URBANDALE, IA 50322**

Firm CRD#: **139627**

SRO	Category	Status	Date
B FINRA	General Securities Representative	Approved	11/04/2025
U.S. State/ Territory			
B Pennsylvania	Agent	Approved	11/04/2025
IA Pennsylvania	Investment Adviser Representative	Approved	11/04/2025

Branch Office Locations

INTEGRITY ALLIANCE, LLC.

999 Eisenhower Blvd

STE D2-6

Johnstown, PA 15904

Broker Qualifications



Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	08/11/2012

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	10/27/2012
B Uniform Securities Agent State Law Examination	Series 63	08/25/2012

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History



Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 09/2025 - 10/2025	CETERA INVESTMENT ADVISERS LLC	105644	JOHNSTOWN, PA
B 09/2025 - 10/2025	CETERA WEALTH SERVICES, LLC	13572	Johnstown, PA
IA 11/2012 - 09/2025	AVANTAX ADVISORY SERVICES	104556	Johnstown, PA
B 08/2012 - 09/2025	AVANTAX INVESTMENT SERVICES, INC.	13686	Johnstown, PA

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
10/2025 - Present	Integrity Alliance, LLC	RR/IAR	Y	Johnstown, PA, United States
10/2025 - Present	Perspective Insurance Group	Producer	Y	Johnstown, PA, United States
10/2017 - Present	Keith W Fetzer CPA	Tax Preparation/Accounting	N	Johnstown, PA, United States
08/2017 - Present	Century 21 All Service, Inc.	Real Estate Sales	Y	Johnstown, PA, United States
11/2016 - Present	Aflac	Insurance Sales Agent	Y	Johnstown, PA, United States
11/2016 - Present	Rupp and Fiore Insurance Management	Insurance Sales Agent	Y	North Huntingdon, PA, United States
07/2003 - Present	KJ Fetz Properties Inc	Real Estate/Mortgage	Y	Johnstown, PA, United States
10/2002 - Present	MUSSER FORESTS, INC.	CPA	N	INDIANA, PA, United States
09/2025 - 10/2025	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE/F	Y	SCHAUMBURG, IL, United States

Registration and Employment History



Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
09/2025 - 10/2025	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	Johnstown, PA, United States
11/2012 - 09/2025	AVANTAX ADVISORY SERVICES	INVESTMENT ADVISER REPRESENTATIVE	Y	JOHNSTOWN, PA, United States
10/2012 - 09/2025	AVANTAX INSURANCE AGENCY INC	INSURANCE AGENT	Y	JOHNSTOWN, PA, United States
06/2012 - 09/2025	AVANTAX INVESTMENT SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	JOHNSTOWN, PA, United States
06/2018 - 12/2020	Howard Hanna Heritage Real Est	Agent	N	Johnstown, PA, United States
08/2017 - 06/2018	Remax Real Estate Agent	Real Estate Sales Associate	N	Indiana, IN, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. Aflac; investment-related; 622 Demuth St. Rear, Johnstown, PA 15904; Insurance Agent; Insurance Sales Agent; 11/15/2016; 5 hours per month; 5 hours during securities trading hours; Referring sales to agents
2. CENTURY 21 ALL SERVICES INC; investment-related; 212 Bloomfield St., Johnstown, PA 15904; Real Estate Sales; Realtor; 08/01/2017; 1 hour per month; 0 hours during securities trading hours; Real Estate Agent
3. Keith W Fetzer CPA DBA Fetzer Financial; not investment-related; 622 Demuth St. Rear, Johnstown, PA 15904; Tax preparation/accounting; Owner; 10/01/2017; 20 hours per month; 20 hours during securities trading hours; Income taxes
4. KJ Fetz Properties Inc.; investment-related; 212 Oak Eden Dr., Johnstown, PA, 15904; Lessors of residential and commercial real estate; President; 07/01/2003; 10 hours per month; 2 hours during securities trading hours; Rental properties & Airbnbs
5. Musser Forests Inc.; not investment-related; 1880 Route 119 Hwy N, Indiana, PA, 15701; Accounting services; Business manager; 10/13/2002; 32 hours per month; 32 hours during securities trading hours; Tax returns, banking, write up, purchasing, accounts receivable, accounts payable, budgeting, human resources
6. Perspective Insurance Group; investment-related; 999 Eisenhower Blvd, STE D2-6; Johnstown, PA, 15904; Life, Fixed annuities, and property/casualty; Producer; 09/08/2025; 5 hours per month; 2 hours during securities trading hours; Write property and casualty policies
7. Rupp and Flore Insurance Management; investment-related; 12875 U.S. Route 30, Irwin, PA, 15642; Property and Casualty insurance; Producer; 11/01/2016; 25 hours per month; 25 hours during securities trading hours; Auto, home, and commercial insurance sales
8. Perspective Wealth Planning; investment-related; 999 Eisenhower Blvd, Suite D2-6, Johnstown, PA, 15904; DBA for securities business; Relationship Manager; 11/04/2025; 10 hours per month; 10 hours during securities trading hours; Referring advisor

End of Report



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