

## BrokerCheck Report

**DANIEL ANTENOR, JR**

CRD# 5839052

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Broker Qualifications	2 - 7
Registration and Employment History	9 - 10



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at [brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**DANIEL ANTENOR, JR**

CRD# 5839052

**Currently employed by and registered with the following Firm(s):****IA CONSOLIDATED PORTFOLIO REVIEW CORP**

125 FROEHLICH FARM BLVD.  
WOODBURY, NY 11797  
CRD# 112694

Registered with this firm since: 09/22/2020

**IA VANDERBILT ADVISORY SERVICES**

125 FROEHLICH FARM BLVD.  
WOODBURY, NY 11797  
CRD# 116537

Registered with this firm since: 10/22/2018

**B ARK GLOBAL, LLC**

125 Froehlich Farm Blvd  
Woodbury, NY 11797  
CRD# 172684

Registered with this firm since: 01/11/2023

**B IMPACTU.INVESTMENTS, LLC**

125 Froehlich Farm Blvd  
Woodbury, NY 11797  
CRD# 122696

Registered with this firm since: 03/04/2020

This broker is currently registered with FINRA or a national securities exchange at more than four brokerage firms. Please see the Registration History section of the report for a list of these brokerage firms.

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 5 U.S. states and territories

**This broker has passed:**

- 4 Principal/Supervisory Exams
- 5 General Industry/Product Exams
- 2 State Securities Law Exams

**Registration History****This broker was previously registered with the following securities firm(s):****IA MML INVESTORS SERVICES, LLC**

CRD# 10409  
SPRINGFIELD, MA  
02/2018 - 10/2018

**B MML INVESTORS SERVICES, LLC**

CRD# 10409  
EAST HILLS, NY  
10/2017 - 10/2018

**B NORTHEAST SECURITIES, INC.**

CRD# 25996  
MITCHELDFIELD, NY  
02/2012 - 10/2017

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 5 U.S. states and territories through his or her employer.**

### Employment 1 of 5

Firm Name: **ARK GLOBAL, LLC**

Main Office Address: **125 FROELICH FARMS BLVD.  
WOODBURY, NY 11797**

Firm CRD#: **172684**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	01/11/2023
B	FINRA	General Securities Representative	Approved	01/11/2023
B	FINRA	Municipal Securities Principal	Approved	01/11/2023
B	FINRA	Municipal Securities Representative	Approved	01/11/2023
B	FINRA	Operations Professional	Approved	01/11/2023
B	FINRA	Registered Options Principal	Approved	01/11/2023

	U.S. State/ Territory	Category	Status	Date
B	New York	Agent	Approved	01/11/2023

### Branch Office Locations

**ARK GLOBAL, LLC**  
125 Froehlich Farm Blvd  
Woodbury, NY 11797

### Employment 2 of 5

Firm Name: **CONSOLIDATED PORTFOLIO REVIEW CORP**

Main Office Address: **125 FROEHLICH FARM BLVD.**



## Broker Qualifications

### Employment 2 of 5, continued

WOODBURY, NY 11797

Firm CRD#: 112694

U.S. State/ Territory	Category	Status	Date
<b>IA</b> California	Investment Adviser Representative	Approved	09/22/2020

### Branch Office Locations

125 FROEHLICH FARM BLVD.  
WOODBURY, NY 11797

### Employment 3 of 5

Firm Name: **IMPACTU.INVESTMENTS, LLC**

Main Office Address: **125 FROEHLICH FARM BLVD.  
WOODBURY, NY 11797**

Firm CRD#: 122696

SRO	Category	Status	Date
<b>B</b> FINRA	General Securities Principal	Approved	03/04/2020
<b>B</b> FINRA	General Securities Representative	Approved	03/04/2020
<b>B</b> FINRA	Municipal Securities Principal	Approved	03/04/2020
<b>B</b> FINRA	Municipal Securities Representative	Approved	03/04/2020
<b>B</b> FINRA	Operations Professional	Approved	03/04/2020
<b>B</b> FINRA	Registered Options Principal	Approved	03/04/2020

U.S. State/ Territory	Category	Status	Date
<b>B</b> New York	Agent	Approved	03/04/2020



## Broker Qualifications

### Employment 3 of 5, continued

#### Branch Office Locations

##### IMPACTU.INVESTMENTS, LLC

125 Froehlich Farm Blvd  
Woodbury, NY 11797

### Employment 4 of 5

Firm Name: **VANDERBILT ADVISORY SERVICES**  
Main Office Address: **125 FROEHLICH FARM BLVD.**  
**WOODBURY, NY 11797**  
Firm CRD#: **116537**

	U.S. State/ Territory	Category	Status	Date
IA	Texas	Investment Adviser Representative	Approved	10/22/2018

#### Branch Office Locations

125 FROEHLICH FARM BLVD.  
WOODBURY, NY 11797

### Employment 5 of 5

Firm Name: **VANDERBILT SECURITIES, LLC**  
Main Office Address: **125 FROEHLICH FARM BLVD.**  
**WOODBURY, NY 11797**  
Firm CRD#: **5953**

	SRO	Category	Status	Date
B	FINRA	General Securities Principal	Approved	10/22/2018
B	FINRA	General Securities Representative	Approved	10/22/2018
B	FINRA	Operations Professional	Approved	10/22/2018
B	FINRA	Registered Options Principal	Approved	10/22/2018



## Broker Qualifications

### Employment 5 of 5, continued

SRO	Category	Status	Date
<b>B</b> FINRA	Municipal Securities Principal	Approved	02/19/2019
<b>B</b> FINRA	Municipal Securities Representative	Approved	02/19/2019

U.S. State/ Territory	Category	Status	Date
<b>B</b> Florida	Agent	Approved	01/02/2019
<b>B</b> Nebraska	Agent	Approved	02/04/2021
<b>B</b> New York	Agent	Approved	10/22/2018
<b>B</b> Texas	Agent	Approved	10/22/2018

### Branch Office Locations

**VANDERBILT SECURITIES, LLC**  
 125 FROELICH FARM BLVD.  
 WOODBURY, NY 11797

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## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 4 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.**

### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> Municipal Advisor Principal Qualification Examination	Series 54	03/15/2023
<b>B</b> Municipal Securities Principal Examination	Series 53	03/12/2018
<b>B</b> Registered Options Principal Examination	Series 4	09/21/2015
<b>B</b> General Securities Principal Examination	Series 24	10/07/2014

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Municipal Advisor Representative Qualification Exam	Series 50	02/13/2023
<b>B</b> Municipal Securities Representative Examination	Series 52TO	02/19/2019
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	02/19/2013
<b>B</b> Operations Professional Examination	Series 99	02/21/2012

### State Securities Law Exams

Exam	Category	Date
<b>IA</b> Uniform Investment Adviser Law Examination	Series 65	11/27/2017
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	06/05/2017

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>IA</b> 02/2018 - 10/2018	MML INVESTORS SERVICES, LLC	10409	EAST HILLS, NY
<b>B</b> 10/2017 - 10/2018	MML INVESTORS SERVICES, LLC	10409	EAST HILLS, NY
<b>B</b> 02/2012 - 10/2017	NORTHEAST SECURITIES, INC.	25996	MITCHELFIELD, NY
<b>B</b> 09/2017 - 09/2017	INSIGNEO SECURITIES, LLC	29249	MIAMI, FL

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
01/2023 - Present	ARK Global	Chief Operations Officer	Y	Woodbury, NY, United States
09/2020 - Present	CONSOLIDATED PORTFOLIO REVIEW CORP	CHIEF OPERATIONS OFFICER	Y	WOODBURY, NY, United States
03/2020 - Present	IMPACTU.INVESTMENTS, LLC	CHIEF OPERATIONS OFFICER/ OPTIONS/ MUNI	Y	WOODBURY, NY, United States
10/2018 - Present	VANDERBILT ADVISORY SERVICES	CHIEF OPERATIONS OFFICER	Y	WOODBURY, NY, United States
10/2018 - Present	VANDERBILT SECURITIES, LLC	CHIEF OPERATIONS OFFICER/ OPTIONS/ MUNI	Y	WOODBURY, NY, United States
10/2017 - 10/2018	MML INVESTORS SERVICES, LLC	ASO	Y	EAST HILLS, NY, United States
09/2017 - 09/2017	INSIGNEO SECURITIES, LLC	OPS ASSOCIATE	Y	MIAMI, FL, United States



## Registration and Employment History

### Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
08/2010 - 09/2017	NORTHEAST SECURITIES	OPERATIONS AND COMPLIANCE ASSOCIATE	Y	MITCHEL FIELD, NY, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

True Gritt LTD dba Vanderbilt Financial Group. Not investment-related. Start date: 10/22/2018. Address: 125 Froehlich Farm Blvd, Woodbury, NY 11797. Duties: Marketing name/payroll/compensation.

## End of Report



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