

BrokerCheck Report

DANIEL ANTENOR, JR

CRD# 5839052

| <u>Section Title</u> | <u>Page(s)</u> |
|-------------------------------------|----------------|
| Report Summary | 1 |
| Broker Qualifications | 2 - 7 |
| Registration and Employment History | 9 - 10 |



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

DANIEL ANTENOR, JR

CRD# 5839052

Currently employed by and registered with the following Firm(s):**IA CONSOLIDATED PORTFOLIO REVIEW CORP**125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797
CRD# 112694

Registered with this firm since: 09/22/2020

IA VANDERBILT ADVISORY SERVICES125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797
CRD# 116537

Registered with this firm since: 10/22/2018

B ARK GLOBAL, LLC125 Froehlich Farm Blvd
Woodbury, NY 11797
CRD# 172684

Registered with this firm since: 01/11/2023

B IMPACTU.INVESTMENTS, LLC125 Froehlich Farm Blvd
Woodbury, NY 11797
CRD# 122696
Registered with this firm since: 03/04/2020

This broker is currently registered with FINRA or a national securities exchange at more than four brokerage firms. Please see the Registration History section of the report for a list of these brokerage firms.

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 5 U.S. states and territories

This broker has passed:

- 4 Principal/Supervisory Exams
- 5 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):****IA MML INVESTORS SERVICES, LLC**CRD# 10409
SPRINGFIELD, MA
02/2018 - 10/2018**B MML INVESTORS SERVICES, LLC**CRD# 10409
EAST HILLS, NY
10/2017 - 10/2018**B NORTHEAST SECURITIES, INC.**CRD# 25996
MITCHELFIELD, NY
02/2012 - 10/2017**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 5 U.S. states and territories through his or her employer.

Employment 1 of 5

Firm Name: **ARK GLOBAL, LLC**

Main Office Address: **125 FROELICH FARMS BLVD.
WOODBURY, NY 11797**

Firm CRD#: **172684**

| SRO | Category | Status | Date |
|---|-------------------------------------|---------------|-------------|
|  FINRA | General Securities Principal | Approved | 01/11/2023 |
|  FINRA | General Securities Representative | Approved | 01/11/2023 |
|  FINRA | Municipal Securities Principal | Approved | 01/11/2023 |
|  FINRA | Municipal Securities Representative | Approved | 01/11/2023 |
|  FINRA | Operations Professional | Approved | 01/11/2023 |
|  FINRA | Registered Options Principal | Approved | 01/11/2023 |

| U.S. State/ Territory | Category | Status | Date |
|--|-----------------|---------------|-------------|
|  New York | Agent | Approved | 01/11/2023 |

Branch Office Locations

ARK GLOBAL, LLC

125 Froehlich Farm Blvd
Woodbury, NY 11797

Employment 2 of 5

Firm Name: **CONSOLIDATED PORTFOLIO REVIEW CORP**

Main Office Address: **125 FROEHLICH FARM BLVD.**



Broker Qualifications

Employment 2 of 5, continued

WOODBURY, NY 11797

Firm CRD#: 112694

| U.S. State/ Territory | Category | Status | Date |
|-----------------------|-----------------------------------|----------|------------|
| IA California | Investment Adviser Representative | Approved | 09/22/2020 |

Branch Office Locations

125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797

Employment 3 of 5

Firm Name: IMPACTU.INVESTMENTS, LLC

Main Office Address: 125 FROEHLICH FARM BLVD.
WOODBURY, NY 11797

Firm CRD#: 122696

| SRO | Category | Status | Date |
|---------|-------------------------------------|----------|------------|
| B FINRA | General Securities Principal | Approved | 03/04/2020 |
| B FINRA | General Securities Representative | Approved | 03/04/2020 |
| B FINRA | Municipal Securities Principal | Approved | 03/04/2020 |
| B FINRA | Municipal Securities Representative | Approved | 03/04/2020 |
| B FINRA | Operations Professional | Approved | 03/04/2020 |
| B FINRA | Registered Options Principal | Approved | 03/04/2020 |

| U.S. State/ Territory | Category | Status | Date |
|-----------------------|----------|----------|------------|
| B New York | Agent | Approved | 03/04/2020 |



Broker Qualifications

Employment 3 of 5, continued

Branch Office Locations

IMPACTU.INVESTMENTS, LLC
 125 Froehlich Farm Blvd
 Woodbury, NY 11797

Employment 4 of 5

Firm Name: **VANDERBILT ADVISORY SERVICES**

Main Office Address: **125 FROEHLICH FARM BLVD.
 WOODBURY, NY 11797**

Firm CRD#: **116537**

| U.S. State/ Territory | Category | Status | Date |
|-----------------------|-----------------------------------|----------|------------|
| IA Texas | Investment Adviser Representative | Approved | 10/22/2018 |

Branch Office Locations

125 FROEHLICH FARM BLVD.
 WOODBURY, NY 11797

Employment 5 of 5

Firm Name: **VANDERBILT SECURITIES, LLC**

Main Office Address: **125 FROEHLICH FARM BLVD.
 WOODBURY, NY 11797**

Firm CRD#: **5953**

| SRO | Category | Status | Date |
|---------|-----------------------------------|----------|------------|
| B FINRA | General Securities Principal | Approved | 10/22/2018 |
| B FINRA | General Securities Representative | Approved | 10/22/2018 |
| B FINRA | Operations Professional | Approved | 10/22/2018 |
| B FINRA | Registered Options Principal | Approved | 10/22/2018 |

Broker Qualifications



Employment 5 of 5, continued

| SRO | Category | Status | Date |
|---------|-------------------------------------|----------|------------|
| B FINRA | Municipal Securities Principal | Approved | 02/19/2019 |
| B FINRA | Municipal Securities Representative | Approved | 02/19/2019 |

| U.S. State/ Territory | Category | Status | Date |
|-----------------------|----------|----------|------------|
| B Florida | Agent | Approved | 01/02/2019 |
| B Nebraska | Agent | Approved | 02/04/2021 |
| B New York | Agent | Approved | 10/22/2018 |
| B Texas | Agent | Approved | 10/22/2018 |

Branch Office Locations

VANDERBILT SECURITIES, LLC
 125 FROEHLICH FARM BLVD.
 WOODBURY, NY 11797

Broker Qualifications



Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 4 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

| Exam | Category | Date |
|---|-----------|------------|
| Municipal Advisor Principal Qualification Examination | Series 54 | 03/15/2023 |
| Municipal Securities Principal Examination | Series 53 | 03/12/2018 |
| Registered Options Principal Examination | Series 4 | 09/21/2015 |
| General Securities Principal Examination | Series 24 | 10/07/2014 |

General Industry/Product Exams

| Exam | Category | Date |
|---|-------------|------------|
| Municipal Advisor Representative Qualification Exam | Series 50 | 02/13/2023 |
| Municipal Securities Representative Examination | Series 52TO | 02/19/2019 |
| Securities Industry Essentials Examination | SIE | 10/01/2018 |
| General Securities Representative Examination | Series 7 | 02/19/2013 |
| Operations Professional Examination | Series 99 | 02/21/2012 |

State Securities Law Exams

| Exam | Category | Date |
|--|-----------|------------|
| Uniform Investment Adviser Law Examination | Series 65 | 11/27/2017 |
| Uniform Securities Agent State Law Examination | Series 63 | 06/05/2017 |

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History



Registration History

The broker previously was registered with the following firms:

| Registration Dates | Firm Name | CRD# | Branch Location |
|----------------------|-----------------------------|-------|-----------------|
| IA 02/2018 - 10/2018 | MML INVESTORS SERVICES, LLC | 10409 | EAST HILLS, NY |
| B 10/2017 - 10/2018 | MML INVESTORS SERVICES, LLC | 10409 | EAST HILLS, NY |
| B 02/2012 - 10/2017 | NORTHEAST SECURITIES, INC. | 25996 | MITCHFIELD, NY |
| B 09/2017 - 09/2017 | INSIGNEO SECURITIES, LLC | 29249 | MIAMI, FL |

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

| Employment | Employer Name | Position | Investment Related | Employer Location |
|-------------------|------------------------------------|---|--------------------|-------------------------------|
| 01/2023 - Present | ARK Global | Chief Operations Officer | Y | Woodbury, NY, United States |
| 09/2020 - Present | CONSOLIDATED PORTFOLIO REVIEW CORP | CHIEF OPERATIONS OFFICER | Y | WOODBURY, NY, United States |
| 03/2020 - Present | IMPACTU.INVESTMENTS, LLC | CHIEF OPERATIONS OFFICER/ OPTIONS/ MUNI | Y | WOODBURY, NY, United States |
| 10/2018 - Present | VANDERBILT ADVISORY SERVICES | CHIEF OPERATIONS OFFICER | Y | WOODBURY, NY, United States |
| 10/2018 - Present | VANDERBILT SECURITIES, LLC | CHIEF OPERATIONS OFFICER/ OPTIONS/ MUNI | Y | WOODBURY, NY, United States |
| 10/2017 - 10/2018 | MML INVESTORS SERVICES, LLC | ASO | Y | EAST HILLS, NY, United States |
| 09/2017 - 09/2017 | INSIGNEO SECURITIES, LLC | OPS ASSOCIATE | Y | MIAMI, FL, United States |

Registration and Employment History



Employment History, continued

| Employment | Employer Name | Position | Investment Related | Employer Location |
|-------------------|----------------------|-------------------------------------|--------------------|----------------------------------|
| 08/2010 - 09/2017 | NORTHEAST SECURITIES | OPERATIONS AND COMPLIANCE ASSOCIATE | Y | MITCHEL FIELD, NY, United States |

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

True Gritt LTD dba Vanderbilt Financial Group. Not investment-related. Start date: 10/22/2018. Address: 125 Froehlich Farm Blvd, Woodbury, NY 11797. Duties: Marketing name/payroll/compensation.

End of Report



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