

## BrokerCheck Report

**MATTHEW THOMAS DENDINGER**

CRD# 6108789

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

**Thank you for using FINRA BrokerCheck.**



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

[brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

For more information about FINRA, visit [www.finra.org](http://www.finra.org).

**MATTHEW T. DENDINGER**

CRD# 6108789

**Currently employed by and registered with the following Firm(s):**

**IA THRIVENT INVESTMENT MANAGEMENT INC.**

6200 S OLD VILLAGE PL  
SIOUX FALLS, SD 57108  
CRD# 18387

Registered with this firm since: 09/04/2014

**B THRIVENT INVESTMENT MANAGEMENT INC.**

Ponca, NE  
CRD# 18387

Registered with this firm since: 01/22/2013

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications**

**This broker is registered with:**

- 1 Self-Regulatory Organization
- 48 U.S. states and territories

**This broker has passed:**

- 0 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

**Registration History**

**This broker was previously registered with the following securities firm(s):**

**B PROEQUITIES, INC.**

CRD# 15708  
SIOUX CITY, IA  
09/2012 - 01/2013

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 48 U.S. states and territories through his or her employer.**

### Employment 1 of 1

Firm Name: **THRIVENT INVESTMENT MANAGEMENT INC.**

Main Office Address: **600 PORTLAND AVENUE SOUTH  
MINNEAPOLIS, MN 55415**

Firm CRD#: **18387**

	SRO	Category	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	01/22/2013
B	FINRA	General Securities Representative	Approved	03/20/2014

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	09/19/2017
B	Alaska	Agent	Approved	09/01/2021
B	Arizona	Agent	Approved	01/04/2017
B	Arkansas	Agent	Approved	01/04/2017
B	California	Agent	Approved	08/16/2016
B	Colorado	Agent	Approved	01/04/2017
B	Connecticut	Agent	Approved	03/16/2022
B	Delaware	Agent	Approved	01/05/2022
B	District of Columbia	Agent	Approved	03/16/2022
IA	District of Columbia	Investment Adviser Representative	Approved	03/16/2022
B	Florida	Agent	Approved	05/14/2018

## Broker Qualifications



### Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Georgia	Agent	Approved	11/13/2015
B	Idaho	Agent	Approved	09/07/2018
B	Illinois	Agent	Approved	10/12/2017
B	Indiana	Agent	Approved	10/07/2021
B	Iowa	Agent	Approved	02/06/2013
B	Kansas	Agent	Approved	01/04/2017
B	Kentucky	Agent	Approved	01/04/2017
B	Louisiana	Agent	Approved	05/26/2020
B	Maryland	Agent	Approved	03/17/2020
B	Massachusetts	Agent	Approved	03/16/2022
B	Michigan	Agent	Approved	10/28/2013
B	Minnesota	Agent	Approved	01/04/2017
B	Mississippi	Agent	Approved	07/22/2022
B	Missouri	Agent	Approved	09/19/2017
B	Montana	Agent	Approved	05/14/2018
B	Nebraska	Agent	Approved	01/22/2013
IA	Nebraska	Investment Adviser Representative	Approved	09/04/2014
B	Nevada	Agent	Approved	01/04/2017
B	New Hampshire	Agent	Approved	09/14/2023
B	New Jersey	Agent	Approved	07/13/2021
B	New Mexico	Agent	Approved	09/28/2021

## Broker Qualifications



### Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	New York	Agent	Approved	09/28/2021
B	North Carolina	Agent	Approved	09/25/2018
B	North Dakota	Agent	Approved	05/14/2018
B	Ohio	Agent	Approved	05/14/2018
B	Oklahoma	Agent	Approved	11/08/2018
IA	Oklahoma	Investment Adviser Representative	Approved	11/13/2018
B	Oregon	Agent	Approved	02/27/2017
B	Pennsylvania	Agent	Approved	05/14/2018
B	Rhode Island	Agent	Approved	03/16/2022
B	South Carolina	Agent	Approved	03/16/2021
B	South Dakota	Agent	Approved	02/06/2013
B	Tennessee	Agent	Approved	11/14/2019
B	Texas	Agent	Approved	01/04/2017
IA	Texas	Investment Adviser Representative	Restricted Approval	01/04/2017
B	Utah	Agent	Approved	02/02/2021
B	Virginia	Agent	Approved	05/14/2018
B	Washington	Agent	Approved	09/15/2021
B	West Virginia	Agent	Approved	02/27/2023
B	Wisconsin	Agent	Approved	01/04/2017
B	Wyoming	Agent	Approved	08/07/2020

## Broker Qualifications



### Employment 1 of 1, continued

#### Branch Office Locations

**THRIVENT INVESTMENT MANAGEMENT INC.**  
Ponca, NE

**THRIVENT INVESTMENT MANAGEMENT INC.**  
6200 S OLD VILLAGE PL  
SIOUX FALLS, SD 57108

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## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	03/20/2014
<b>B</b> Investment Company Products/Variable Contracts Representative Examination	Series 6	09/04/2012

### State Securities Law Exams

Exam	Category	Date
<b>B</b> <b>IA</b> Uniform Combined State Law Examination	Series 66	09/02/2014
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	09/10/2012

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).



## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>B</b> 09/2012 - 01/2013	PROEQUITIES, INC.	15708	SIOUX CITY, IA

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
03/2013 - Present	THRIVENT FINANCIAL	FINANCIAL ASSOCIATE	Y	APPLETON, WI, United States
01/2013 - Present	THRIVENT INVESTMENT MANAGEMENT INC	REGISTERED REPRESENTATIVE	Y	Ponca, NE, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

#### MATT DENDINGER PERSONAL FARM

POSITION: OWNER NATURE: I OWN 40 ACRES IN DIXON COUNTY, NE THAT PART OF IS CURRENTLY ENROLLED IN THE CRP AND NRD GRASS CONSERVATION PROGRAMS. I RECEIVE AN INCOME PER ACRE ON THIS GROUND AND HAVE TO DO ANNUAL CONSERVATION PRACTICES SUCH AS FOODPLOTS AND BURNS ETC.... THERE IS NO INVESTMENT RELATED ASPECT OF THIS GROUND.

INVESTMENT RELATED: NO NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 02/01/2009

ADDRESS: 58467 878 RD, PONCA NE 68770

DESCRIPTION: PLANT FOOD PLOTS, SPRAY WEEDS, MANAGE FENCELINES AND MAINTAIN OVERALL FUNCTIONALITY OF THE GROUND.

#### WISNER LAUNDROMAT

POSITION: Owner NATURE: I purchased an office building in Wisner, NE for my Thrivent Financial Business and it also has at that building location in separate nonadjoining area a laundromat that is currently operational. I don't necessarily know what the future holds for it I may rent out the laundromat to be managed by another party or may sell off the equipment and create an apartment. This location also currently already has an apartment. It is not currently rented but I intend to rent the apartment to a nonthrivent member to reside in. INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 2 START DATE: 06/14/2016



## Registration and Employment History

### Other Business Activities, continued

ADDRESS: 812 Avenue E, Wisner NE 68791

DESCRIPTION: Overseeing and managing the laundromat to ensure it is running and operational.

#### MATT DENDINGER RENTAL PROPERTY

POSITION: Owner of rental NATURE: I own a small apartment that came with the building I purchased for my Thrivent Financial office in Wisner, NE. My intent is to rent this apartment out and charge rent. I will be careful not to knowingly rent to any Thrivent members. INVESTMENT

RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 2 START DATE: 07/09/2016

ADDRESS: 812 Avenue E, Wisner NE 68791

DESCRIPTION: Keeping all utilities and structures inhabitable.

#### WISNER OFFICE RENTAL

POSITION: I am the owner/landlord NATURE: Renting unused office space to an unaffiliated organization for their use in their business.

INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 09/01/2022

ADDRESS: 812 Avenue E, Wisner NE 68770, United States

DESCRIPTION: There are no duties required of me other than maintaining the building to be suitable for renters.

#### PROPERTY AT 106 EAST 3RD RENTAL

POSITION: Owner NATURE: The nature of the business activity will be to rent out space for storage and to rent an office frontage for business purposes of the renter. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 07/01/2025

ADDRESS: 106 East 3rd, Ponca NE 68770, United States

DESCRIPTION: I simply am the contact with my wife for folks to store their equipment and items there and the frontage is just rented to another business not in a competing line . Neither of these rental agreements involve any expectations of hours spent during trading hours to work here or really otherwise.

#### HIGHLANDS OAKS GOLF COURSE BOARD

POSITION: board member NATURE: Board governing golf course decisions for our local course. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 06/26/2025

ADDRESS: 88052 Highland Road, Ponca NE 68770, United States

DESCRIPTION: We have monthly meetings or bi monthly outside of business hours to review the golf course decisions and help govern future ones.

## End of Report



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