

BrokerCheck Report

GLEN ALLEN DAVENPORT

CRD# 61367

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**GLEN A. DAVENPORT**

CRD# 61367

Currently employed by and registered with the following Firm(s):

- B** **OSAIC WEALTH, INC.**
 11528 Jefferson Ave
 Newport News, VA 23601
 CRD# 23131
 Registered with this firm since: 06/14/2024

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 20 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

- B** **SECURITIES AMERICA, INC.**
 CRD# 10205
 Newport News, VA
 04/2016 - 06/2024
- B** **TRANSAMERICA FINANCIAL ADVISORS, INC**
 CRD# 16164
 NEWPORT NEWS, VA
 07/1994 - 04/2016
- B** **AETNA INVESTMENT SERVICES, INC.**
 CRD# 34815
 WINDSOR, CT
 10/1993 - 07/1994

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

The following types of disclosures have been reported:

Type	Count
Customer Dispute	1

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 20 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**

Main Office Address: **18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255**

Firm CRD#: **23131**

	SRO	Category	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	06/14/2024

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	06/14/2024
B	Colorado	Agent	Approved	06/14/2024
B	Connecticut	Agent	Approved	06/14/2024
B	Florida	Agent	Approved	06/14/2024
B	Georgia	Agent	Approved	06/14/2024
B	Hawaii	Agent	Approved	06/14/2024
B	Indiana	Agent	Approved	06/14/2024
B	Maryland	Agent	Approved	06/14/2024
B	Massachusetts	Agent	Approved	06/14/2024
B	Michigan	Agent	Approved	06/14/2024
B	Missouri	Agent	Approved	06/14/2024
B	New Jersey	Agent	Approved	03/25/2025



Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	North Carolina	Agent	Approved	06/14/2024
B	Ohio	Agent	Approved	06/14/2024
B	Pennsylvania	Agent	Approved	06/14/2024
B	Tennessee	Agent	Approved	09/04/2024
B	Texas	Agent	Approved	06/14/2024
B	Virginia	Agent	Approved	06/14/2024
B	Washington	Agent	Approved	06/14/2024
B	Wisconsin	Agent	Approved	07/18/2025

Branch Office Locations

OSAIC WEALTH, INC.
11528 Jefferson Ave
Newport News, VA 23601



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B Investment Company Products/Variable Contracts Representative Examination	Series 6	12/03/1987
B Registered Representative Examination	Series 1	09/05/1973

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	03/29/1994

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 04/2016 - 06/2024	SECURITIES AMERICA, INC.	10205	Newport News, VA
B 07/1994 - 04/2016	TRANSAMERICA FINANCIAL ADVISORS, INC	16164	NEWPORT NEWS, VA
B 10/1993 - 07/1994	AETNA INVESTMENT SERVICES, INC.	34815	WINDSOR, CT
B 12/1987 - 10/1993	AETNA LIFE INSURANCE AND ANNUITY COMPANY	13256	HARTFORD, CT
B 08/1978 - 08/1981	FRANKLIN FINANCIAL SERVICES CORPORATION	5435	
B 09/1973 - 04/1978	JOHN HANCOCK DISTRIBUTORS, INC.	468	
B 09/1973 - 04/1978	JOHN HANCOCK MUTUAL LIFE INSURANCE COMPANY	5181	

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	Newport News, VA, United States
02/1999 - Present	TREES POINT, INC.	PRESIDENT	N	NEWPORT NEWS, VA, United States
07/1994 - Present	TRANSAMERICA FINANCIAL ADVISORS, INC.	REG. REP.	Y	NEWPORT NEWS, VA, United States
02/1978 - Present	PROTECTIVE LIFE INSURANCE CO	GEN. MGR.	N	BIRMINGHAM, AL, United States
08/1977 - Present	TEAGLE INSURANCE AGENCY, INC.	OWNER	N	NEWPORT NEWS, VA, United States



Registration and Employment History

Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
02/2016 - 06/2024	SECURITIES AMERICA INC	REGISTERED REP	Y	Newport News, VA, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

***TREES POINT INC.

NOT INV-REL. 11528 JEFFERSON AVE NEWPORT NEWS VA. LAND HOLDING FOR HUNTING OPPORTUNITIES. PRESIDENT SINCE 2/1999. 2 HRS/MO. CONDUCT 1 ANNUAL MTG, ISSUE NEW STOCK CERTIFICATES, SUPERVISE TAX RETURNS (CONTRACTED OUT)

***TEAGLE INSURANCE AGENCY INC.

11528 JEFFERSON AVE NEWPORT NEWS VA. INV-REL. GENERAL INS SALES. OWNER/PRESIDENT. 1972. ALSO USED AS DBA NAME FOR INS & SECURITIES SALES, SOME RECORD-KEEPING SERVICES ALSO OFFERED TO CLIENTS, APPROX 130 HRS/MO. 2 HRS/DAY DURING TRADING HRS. OWNER. MGMT OF STAFF. SRVC OF GENERAL INS (LIFE, FIXED ANNUITIES, P&C, HEALTH, ETC)

***TEAGLE FINANCIAL SERVICES

11528 JEFFERSON AVE, NEWPORT NEWS, VA, PRESIDENT OF C CORP, DBA NAME FOR SECURITIES SALES, INV-REL, START 04/2011, 30 HRS/MO, 30 HRS/MO 6) OWNER OF RENTAL PROPERTY, CHARLES CITY, VA, NOT INV-REL, START 11/2011, 1 HR/MO, 1 HR/MO

***GLEN A. DAVENPORT

POSITION: Property Owner NATURE: Rental Property Commercial INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 06/01/1994 ADDRESS: 11528 Jefferson Avenue, Newport News VA 23601 DESCRIPTION: Glen Davenport owns and manages commercial property which he rents to Teagle Insurance Agency, Inc.

***TEAGLE FINANCIAL ADVISORS

POSITION: President NATURE: To market and sell equities with Securities America INVESTMENT RELATED: Yes NUMBER OF HOURS: 100 SECURITIES TRADING HOURS: 100 START DATE: 04/01/2011 ADDRESS: 11528 Jefferson Avenue, Newport News VA 23601 DESCRIPTION: Sales of mutual funds and variable annuities

***JAMES RIVER ROD AND GUN CLUB

POSITION: Board Member NATURE: Hunting and fishing activities INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 09/01/1970 ADDRESS: 1802 Bayberry Court, Ste 102, Henrico VA 23226 DESCRIPTION: Supervising and organizing activities such as fishing events and cook outs.

***GLEN DAVENPORT

POSITION: Owner NATURE: Rental residential property. one property INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 11/01/2011 ADDRESS: 1822 CC road, Charles City VA 23030 DESCRIPTION: Own property, rent, contract out all maintenance.



Registration and Employment History

Other Business Activities, continued

***APPRENTICE SCHOOL FOUNDATION

POSITION: Board Member NATURE: Reviewing Applicants for scholarships INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 12/02/2019 ADDRESS: Washington Avenue, Newport News VA 23607 DESCRIPTION: Review Scholarship applications

***RENTAL PROPERTY CHARLES CITY

POSITION: Owner NATURE: OWNER OF RENTAL PROPERTY CHARLES CITY VA NOT INV-REL START 11/2011 1 HR/MO 1 HR/MO INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 11/01/2011 ADDRESS: 1822 CC Road, Charles City VA 23030 DESCRIPTION: Rental property, sole owner

***GEORGETOWN

POSITION: dock master NATURE: Managment responsibility for homeowner's association, contract manager for association is Andleton management cop. INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2022 ADDRESS: 601 Thimble Shoals Blvd, Ste 202, Newport News VA 23606 DESCRIPTION: Managment responsibility for boat dock.

Disclosure Events



What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - o A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
 - o A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
 - o
3. **Disclosure events in BrokerCheck reports come from different sources:**
 - o As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
 - o
4. **There are different statuses and dispositions for disclosure events:**
 - o A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" event involves allegations that have not been proven or formally adjudicated.
 - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" event has been concluded and its resolution is not subject to change.
 - o A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Customer Dispute	0	1	N/A



Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

Customer Dispute - Closed-No Action / Withdrawn / Dismissed / Denied

This type of disclosure event involves (1) a consumer-initiated, investment-related arbitration or civil suit containing allegations of sales practice violations against the individual broker that was dismissed, withdrawn, or denied; or (2) a consumer-initiated, investment-related written complaint containing allegations that the broker engaged in sales practice violations resulting in compensatory damages of at least \$5,000, forgery, theft, or misappropriation, or conversion of funds or securities, which was closed without action, withdrawn, or denied.

Disclosure 1 of 1

Reporting Source:	Broker
Employing firm when activities occurred which led to the complaint:	Securities America, Inc.
Allegations:	The client alleges misrepresentation related to change of investment product.
Product Type:	Mutual Fund
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	The Firm cannot make a good faith determination that the damages would be less than \$5,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	06/29/2018
Complaint Pending?	No
Status:	Denied



Status Date: 09/10/2018

Settlement Amount:

**Individual Contribution
Amount:**

End of Report



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