

BrokerCheck Report

Joseph T Averett

CRD# 6171102

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



Joseph T. Averett

CRD# 6171102

Currently employed by and registered with the following Firm(s):

IA CETERA INVESTMENT ADVISERS LLC
 4055 INTERNATIONAL PLAZA
 SUITE 630
 FORT WORTH, TX 76109
 CRD# 105644
 Registered with this firm since: 09/05/2025

B CETERA WEALTH SERVICES, LLC
 4055 International Plaza
 Suite 630
 Fort Worth, TX 76109
 CRD# 13572
 Registered with this firm since: 09/05/2025

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 4 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

IA AVANTAX ADVISORY SERVICES
 CRD# 104556
 DALLAS, TX
 08/2015 - 09/2025

B AVANTAX INVESTMENT SERVICES, INC.
 CRD# 13686
 Fort Worth, TX
 09/2014 - 09/2025

IA WFG ADVISORS, LP
 CRD# 125073
 DALLAS, TX
 05/2013 - 09/2014

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 4 U.S. states and territories through his or her employer.

Employment 1 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**

Main Office Address: **1450 AMERICAN LANE
6TH FLOOR, SUITE 650
SCHAUMBURG, IL 60173-2096**

Firm CRD#: **105644**

	U.S. State/ Territory	Category	Status	Date
IA	Texas	Investment Adviser Representative	Approved	09/05/2025

Branch Office Locations

4055 INTERNATIONAL PLAZA
SUITE 630
FORT WORTH, TX 76109

Employment 2 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**

Main Office Address: **2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245**

Firm CRD#: **13572**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	09/05/2025
B	FINRA	Invest. Co and Variable Contracts	Approved	09/05/2025



Broker Qualifications

Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Colorado	Agent	Approved	09/05/2025
B	Georgia	Agent	Approved	09/05/2025
B	Texas	Agent	Approved	09/05/2025
B	Virginia	Agent	Approved	09/05/2025

Branch Office Locations

CETERA WEALTH SERVICES, LLC
4055 International Plaza
Suite 630
Fort Worth, TX 76109



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	08/24/2016
B Investment Company Products/Variable Contracts Representative Examination	Series 6	03/15/2013

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination	Series 63	08/25/2015
B IA Uniform Combined State Law Examination	Series 66	04/19/2013

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Broker Qualifications

Professional Designations

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 08/2015 - 09/2025	AVANTAX ADVISORY SERVICES	104556	Fort Worth, TX
B 09/2014 - 09/2025	AVANTAX INVESTMENT SERVICES, INC.	13686	Fort Worth, TX
IA 05/2013 - 09/2014	WFG ADVISORS, LP	125073	FORT WORTH, TX
B 03/2013 - 09/2014	WFG INVESTMENTS, INC.	22704	FORT WORTH, TX

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
09/2025 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	Fort Worth, TX, United States
01/2013 - Present	JOSEPH AVERETT, CPA	OWNER	N	FORT WORTH, TX, United States
08/2015 - 09/2025	AVANTAX ADVISORY SERVICES	INVESTMENT ADVISER REPRESENTATIVE	Y	FORT WORTH, TX, United States
09/2014 - 09/2025	AVANTAX INSURANCE AGENCY, LLC	INSURANCE AGENT	Y	FORT WORTH, TX, United States
09/2014 - 09/2025	AVANTAX INVESTMENT SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	FORT WORTH, TX, United States



Registration and Employment History

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1) Avantax Insurance Agency LLC Fort Worth TX Equity Indexed Annuity~8/5/2015~1hrs~1hrs~Sales of Equity Indexed Annuities.
- 2) Avantax Insurance Agency LLC Fort Worth TX Independent Insurance Agent~Insurance Sales Agent~9/1/2014~40hrs~20hrs~Sell insurance products.
- 3) PILOT-LEGACY FOUNDRY, LLC; POSITION: passive investor NATURE: Apartment complex in Fort Worth Texas INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/28/2021; 16051 Addison Road, Suite 201, Addison TX 75001; : passive investor.
- 4) WYRE PARTNERS, LLC; POSITION: none NATURE: Wyre Partners LLC is a group of around 5 invests that each invested about \$100K. The sum of the investment was then used to purchase a small ownership position in Wyreline Transformation, LLC, a oil field wireline services company. Wyreline Transformation, LLC was a start up company in 2018. Wyre Partners has no other activity other than the investment in Wyreline Transformation. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 11/28/2018; 6050 Southwest Blvd, Suite 201, Fort Worth TX 76109; I have no duties to Wyre Partners, LLC or Wyreline Transformation, LLC. Averett Financial does provide accounting services and tax services to Wyre Partners and Wyreline Transformation.
- 5) AVERETT FINANCIAL, LLC
POSITION: Partner NATURE: Tax Preparation/Accounting INVESTMENT RELATED: Yes NUMBER OF HOURS: 40 SECURITIES TRADING HOURS: 40 START DATE: 10/03/2014
ADDRESS: 6050 Southwest Blvd Suite 200, Fort Worth TX 76109, United States
DESCRIPTION: Prepare tax returns.
- 6) CANOPY WEALTH STRATEGIES
POSITION: Partner NATURE: Financial planning services through Avantax and tax planning INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 130 START DATE: 05/16/2023
ADDRESS: 6050 Southwest Blvd, Suite 201, Fort Worth TX 76109, United States
DESCRIPTION: Financial planning servicesTax Planning

End of Report



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